# **High-Level Data Races**

Cyrille Artho<sup>1</sup>, Klaus Havelund<sup>2</sup>, and Armin Biere<sup>1</sup>

<sup>1</sup> Computer Systems Institute, ETH Zurich, Switzerland
 <sup>2</sup> Kestrel Technology, NASA Ames Research Center, Moffett Field, California USA

**Abstract.** Data races are a common problem in concurrent programming. Experience shows that the notion of data race is not powerful enough to capture certain types of inconsistencies occurring in practice. In this paper we investigate data races on a higher abstraction layer. This enables us to detect inconsistent uses of shared variables, even if no classical race condition occurs. For example, a data structure representing a coordinate pair may have to be treated atomically. By lifting the meaning of a data race to a higher level, such problems can now be covered. The paper defines the concepts *view* and *view consistency* to give a notation for this novel kind of property. It describes what kinds of errors can be detected with this new definition, and where its limitations are. It also gives a formal guideline for using data structures in a multi-threaded environment.

# 1 Introduction

Multi-threaded, or concurrent, programming is becoming increasingly popular in enterprise applications and information systems [3, 26]. The Java programming language [2] explicitly supports this paradigm [17]. Multi-threaded programming, however, provides a potential for introducing intermittent concurrency errors that are hard to find using traditional testing. The main source of the problem is that a multi-threaded program may execute differently from one run to another due to the apparent randomness in the way threads are scheduled. Since testing typically cannot explore all schedules, some bad schedules may never be discovered. One kind of error that often occurs in multithreaded programs is a data race. In this paper we shall go beyond the traditional notion of what we shall refer to as low-level data races, and introduce high-level data races, together with an algorithm for detecting them. The algorithm has been implemented in the Java PathExplorer (JPaX) tool [13, 5], which provides a general framework for instrumenting Java programs, and for monitoring and analyzing execution traces. In particular JPaX contains algorithms for detecting problems in multi-threaded programs, such as data races and deadlocks [5]. Although JPaX analyzes Java programs, the principles and theory presented here are universal and apply in full to concurrent programs written in languages like C and C++ as well [20].

#### 1.1 Low-level Data Races

The traditional definition of a data race is as follows [23]:

A data race occurs when two concurrent threads access a shared variable and when at least one access is a write, and the threads use no explicit mechanism to prevent the accesses from being simultaneous. Consider for example two threads, that both access a shared object containing a counter variable x, and assume that both threads call an *increase()* method on the object, which increases x by 1. The *increase()* method is compiled into a sequence of bytecode instructions (load x to the operand stack, add 1, write back the result). The Java Virtual Machine (JVM) executes this sequence non-atomically. Suppose the two threads call *increase()* at nearly the same time and that each of the threads execute the *load* instruction first, which loads the value of x to the thread-local operand stack. Then they will both add 1 to the original value, which results in a combined increment of 1 instead of 2. We shall refer to this traditional notion of data race as a *low-level data race*, since it focuses on a single variable.

The standard way to avoid low-level data races on a variable is to protect the variable with a lock: all accessing threads must acquire this lock before accessing the variable, and release it again after. In Java, methods can be defined as synchronized which causes such a method to execute while locking the current object instance. Java also provides an explicit statement synchronized (*obj*) {*block*}, for taking a lock on the object *obj*, and executing block *block* protected under that lock. If the above mentioned *increase()* method is declared synchronized, the low-level data race cannot occur.

Several algorithms and tools have been developed for analyzing multi-threaded programs for low-level data races. The Eraser algorithm [23], which has been implemented in the Visual Threads tool [11] to analyze C and C++ programs, is an example of a such an algorithm that examines a program execution trace for locking patterns and variable accesses in order to predict potential data races.

#### 1.2 High-level Data Races

A program may be inconsistent even when it is free of low-level data races, where we consider the set of locks protecting a single variable. In this paper we shall turn this around and study the *variable set* associated to a *lock*. This notion makes it possible to detect what we shall refer to as *high-level data races*. The inspiration for this problem was originally due to a small example provided by Doug Lea [18]. It is presented in modified form in Sec. 2. It defines a simple class representing a coordinate pair with two components x and y. All accesses are protected by synchronization on this, using synchronized methods. Therefore, data race conditions on a low level are not possible. As this example will illustrate, there can still be data races on a higher level, and this can be detected as inconsistencies in the granularity of *variable sets* associated to locks in different threads. The algorithm for detecting high-level data races is a dynamic execution trace analysis algorithm like the Eraser algorithm [23].

As a realistic example of a high-level data race situation, we shall illustrate a problem that was detected in NASA's *Remote Agent* spacecraft controller [22]. The problem was originally detected using model checking [12]. The error was very subtle, and was originally regarded hard to find without actually exploring all execution traces as done by a model checker. As it turns out, it is an example of a high-level data race, and can therefore be detected with the low-complexity algorithm presented in this paper.

The Remote Agent is an artificial-intelligence-based software system for generating and executing plans on board a spacecraft. A plan essentially specifies a set of tasks to be executed within certain time constraints. The plan execution is performed by the *Executive*. A sub-component of the Executive is responsible for managing the execution of tasks, once the tasks have been activated. The data structures needed for managing task execution are illustrated in Fig. 1.

The state of the spacecraft (at any particular point) can be considered as an assignment of values to a fixed set of variables, each corresponding to a component sensor on board the spacecraft. The spacecraft maintains a current system state. The term property is used to refer to a particular assignment for a particular variable. Tasks may require that specific properties hold during their execution. Upon the start of a task, it first tries to lock those properties it requires in a lock table. For example, a task may require B to be ON. Now other threads cannot request B to be OFF as long as the property is locked

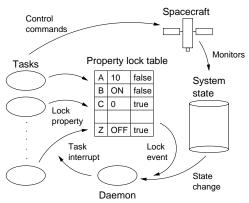


Fig. 1. The Remote Agent Executive

in the lock table. Next, the task tries to achieve this property (changing the state of the spacecraft, and thereby the system state), and when it is achieved, the task sets a flag *achieved* to *true* in the lock table, which has been *false* until then.

A Daemon constantly monitors the lock table, and checks: *if a property's flag* achieved *is true, then it must be a true property of the spacecraft, and hence true in the system state*. Violations of this property may occur by unexpected events on board the spacecraft. The daemon wakes up whenever events occur, such as when the lock table or the system state are modified. If an inconsistency is detected, the involved tasks are interrupted.

The task contains two separate accesses to the lock table, one where it updates the value and one where it updates flag *achieved*. The daemon on the other hand accesses all these fields in one atomic block. This can be described as an inconsistency in lock views, as described below, and actually presents an error potential.

The error scenario is as follows: suppose the task has just achieved the property, and is about to execute the second synchronized block, setting flag *achieved* to true. Suppose now however, that suddenly, due to unpredicted events, the property is destroyed on board the spacecraft, and hence in the system state, and that the daemon wakes up, and performs all checks. Since flag *achieved* is false, the daemon reasons incorrectly that the property is not supposed to hold in the system state, and hence it does not detect any inconsistency with the lock table (although conceptually now there is one). Only then the task continues, and sets flag *achieved* to true. The result is that the violation has been missed by the daemon.

Detecting this error using normal testing is very hard since it requires not only to execute the just described interleaving (or a similar one), but it also requires the formulation of a correctness property that can be tested for, and which is violated in the above scenario. However, regarding this as a view inconsistency problem allows

```
class Coord {
  double x, y;
  public Coord(double px, double py) { x = px; y = py; }
  synchronized double getX() { return x; }
  synchronized double getY() { return y; }
  synchronized Coord getXY() { return new Coord(x, y); }
  synchronized void setX(double px) { x = px; }
  synchronized void setY(Coord c) { x = c.x; y = c.y; }
}
```

Fig. 2. The Coord class encapsulating points with x and y coordinates.

us to find the error without actually executing this particular interleaving, and it does not require a requirement specification. The view inconsistency in this example can be described as follows:

The daemon accesses the value and flag *achieved* in one atomic block, while the task accesses them in two different blocks. Hence, the daemon has view  $v_1 = \{value, flag\}$  while the task has views  $v_2 = \{value\}, v_3 = \{flag\}$ . This is view-inconsistent: the task views form disjoint subsets of the daemon view.

View inconsistency is in itself not an error. However, in the above example it is a symptom that if pointed out may direct the programmer's attention to the real problem, that property achievement and setting flag *achieved* are not done in one atomic block<sup>3</sup>. More formal and generic definitions of view inconsistency are presented in Sec. 2 and 3.

## 1.3 Outline

The paper is organized as follows. Sec. 2 introduces high-level data races. Sec. 3 presents our concepts for detecting them. Sec. 4 describes experiments carried out. Sec. 5 gives an overview of related work. Sec. 6 outlines future work and Sec. 7 concludes the paper.

# 2 Intuition

Consistent lock protection for a shared field ensures that no concurrent modification is possible. However, this only refers to low-level access to the fields, not their entire use or their use in conjunction with other fields. The remainder of this paper assumes detection of low-level data races is covered by the Eraser algorithm [23], which can be applied in conjunction with our analysis.

Fig. 2 shows a class implementing a two-dimensional coordinate pair with two fields x, y, which are guarded by a single lock. If only getXY, setXY, and the constructor are used by any thread, the pair is treated atomically. However, the versatility offered by the other accessor (get/set) methods is dangerous: if a thread only uses getXY and

<sup>&</sup>lt;sup>3</sup> Note that repairing the situation is non-trivial since achieving properties may take several clock cycles, and it is therefore not desirable to hold the lock on the table during this process.

```
Thread t_1
                          Thread t_2
                                                Thread t_3
                                                                      Thread t_4
                                           synchronized(c) {
                                                                 synchronized(c) {
synchronized(c) {
                     synchronized(c) {
                                             access(x);
                                                                   access(x);
  access(x);
                       access(x);
                                           synchronized(c) {
                                                                 synchronized(c) {
  access(y);
}
                                              access(y);
                                                                   access(x);
                                                                   access(y);
                                                                 }
```

Fig. 3. One thread using a pair of fields and three threads accessing components individually.

setXY and relies on complete atomicity of these operations, threads using the other accessor methods may falsify this assumption.

Imagine a case where one thread reads both coordinates while another one sets them to zero. If the write operation occurs in two phases, setX and setY, the other thread may read an *intermediate result* which contains the value of x already set to zero but still the original y value. This is clearly an undesired and often unexpected behavior. We will use the term *high-level data race* to describe this kind of scenario.

Nevertheless, there exist scenarios where some of the other access methods are allowed and pair-wise consistency is still maintained. The novel concept of *view consistency* captures this notion of consistency while allowing partial accesses. In previous work [23], only the use of *locks for each variable* has been considered. The opposite perspective, the use of *variables under each lock*, is the core of our new idea.

Fig. 3 shows another example with four threads, which is abbreviated for better readability. Control structures within threads are hidden as well. Furthermore, it is assumed that each field accessed by a thread is a reference to a shared object, visible to all threads. Reading and writing are abstracted as access(f), where f is a shared field. Calls of synchronized methods accessing f under lock protection are represented using  $synchronized(lock) \{access(f); \}$ . Thread creation is not shown. Initially, we only consider the first two threads  $t_1$  and  $t_2$ . It is not trivial to see whether an access conflict occurs or not. As long as  $t_2$  does not use y as well, it does not violate the first thread's assumption that the coordinates are treated atomically. Even though  $t_1$  accesses the entire pair  $\{x, y\}$  atomically and  $t_2$  does not, the access to x alone can be seen as a partial read or partial write. A read access to x may be interpreted as reading  $\{x, y\}$  and discarding y; a write access may be seen as writing to x while leaving y unchanged. So both threads  $t_1$  and  $t_2$  behave in a consistent manner.

Partial use of the coordinates is allowed, as long as that use is consistent. Inconsistencies arise with thread  $t_3$ , which uses x in one operation and y in another operation, releasing the lock in between. If, for example, thread  $t_3$  reads its data in two parts, with another thread like  $t_1$  writing to it in between,  $t_3$  may obtain partial values corresponding to two *different* global states. If, on the other hand, thread  $t_3$  writes its data in two parts, other threads, like  $t_1$ , may read data corresponding to an *intermediate* state.

Since both read and write accesses result in an error, we do not have to distinguish between the two kinds of access operations, assuming that shared values are not readonly. The difficulty in analyzing such inconsistencies lies in the wish to still allow partial accesses to sets of fields, like the access to x of thread  $t_2$ . As an example of a situation which at first sight appears to provide a conflict, but which we shall regard as safe, consider the situation between  $t_1$  and  $t_4$ . This could potentially be regarded as a conflict since  $t_4$  contains two different synchronization statements. However, observing  $t_4$ , the second synchronization statement is completely self-contained. It accesses in addition to y everything the first synchronization statement accesses, which is x. Consequently, the first synchronization statement in  $t_4$  likely represents an operation that does not need y (whether read or write). Therefore, the two synchronization operations are unrelated and can be interleaved with the atomic synchronization statement in  $t_1$ .

On a more formal basis,  $t_4$  is safe because the set of variables accessed in the first synchronization statement of  $t_4$  is a subset of the set of variables accessed in its second synchronization statement. Put differently, the variable sets form a *chain*. Generally, a set F of fields of a thread t is atomic if they are accessed in a synchronization statement in t. A high-level data race occurs when a thread has an atomic set of fields F and another thread has atomic sets  $G_1$  and  $G_2$  such their overlaps with F do not form a chain. This will be formalized in the next section.

### **3** View Consistency

This section defines *view consistency*. It lifts the common notion of a data race on a single shared variable to a higher level, covering sets of shared variables and their uses.

#### 3.1 Views

A lock *guards* a shared field if it is held during an access to that field. The same lock may guard several shared fields. Views express what fields are guarded by a lock. Let I be the set of object instances generated by a particular run of a Java program. Then F is the set of all fields of all instances in I.

A view  $v \in \mathbb{P}(F)$  is a subset of F. Let l be a lock, t a thread, and B(t, l) the set of all synchronized blocks using lock l executed by thread t. For  $b \in B(t, l)$ , a view generated by t with respect to l, is defined as the set of fields accessed in b by t. The set of generated views  $V(t) \subseteq \mathbb{P}(F)$  of a thread t is the set of all views v generated by t. In the previous example in Fig. 3, thread  $t_1$  using both coordinates atomically generates view  $v_1 = \{x, y\}$  under lock l = c. Thread  $t_2$  only accesses x alone under l, having view  $v_2 = \{x\}$ . Thread  $t_3$  generates two views:  $V(t_3) = \{\{x\}, \{y\}\}$ . Thread  $t_4$  also generates two views:  $V(t_4) = \{\{x\}, \{x, y\}\}$ .

#### 3.2 Views in Different Threads

A view  $v_m$  generated by a thread t is a maximal view, iff it is maximal with respect to set inclusion in V(t):

$$\forall v \in V(t) \left[ (v_m \subseteq v) \to (v_m = v) \right]$$

Let M(t) denote the set of all maximal views of thread t. Only two views which have fields in common can be responsible for a conflict. This observation is the motivation for the following definition. Given a set of views V(t) generated by t and a view v' generated by another thread, the *overlapping views* of t with v' are all non-empty intersections of views in V(t) with v':

$$\operatorname{overlap}(t, v') \equiv \{ v' \cap v \mid (v \in V(t)) \land (v \cap v' \neq \emptyset) \}$$

A set of views V(t) is *compatible* with the maximal view  $v_m$  of another thread iff all overlapping views of t with  $v_m$  form a chain:

compatible
$$(t, v_m)$$
 iff  $\forall v_1, v_2 \in \text{overlap}(t, v_m) [(v_1 \subseteq v_2) \lor (v_2 \subseteq v_1)]$ 

*View consistency* is the mutual compatibility between all threads: A thread is only allowed to use views that are compatible with the maximal views of all other threads.

$$\forall t_1 \neq t_2, v_m \in M(t_1) [\text{compatible}(t_2, v_m)]$$

In the example in Fig. 3, we had  $V(t_1) = M(t_1) = \{\{x, y\}\}, V(t_2) = M(t_2) = \{\{x\}\}, V(t_3) = M(t_3) = \{\{x\}, \{y\}\}, \text{ and finally } V(t_4) = \{\{x\}, \{x, y\}\} \text{ and } M(t_4) = \{\{x, y\}\}.$  There is a conflict between  $t_1$  and  $t_3$  as stated, since  $\{x, y\} \in M(t_1)$  intersects with the elements in  $V(t_3)$  to  $\{x\}$  and  $\{y\}$ , which do not form a chain. A similar conflict exists between  $t_3$  and  $t_4$ .

The above definition of *view consistency* uses three concepts: the notion of *maximal views*, the notion of *overlaps*, and finally the *compatible* notion, also referred to as the *chain* property. The chain property is the core concept. Maximal views do not really contribute to the solution other than to make it more efficient to calculate and reduce the number of warnings if a violation is found. The notion of overlaps is used to filter out irrelevant variables.

#### 3.3 Soundness and Completeness

Essentially, this approach tries to infer what the developer intended when writing the multi-threaded code, by discovering view inconsistencies. However, an inconsistency may not automatically imply a fault in the software. An inconsistency that does not correspond to a fault is referred to as a *false positive* (spurious warning). Similarly, lack of a reported inconsistency does not automatically imply lack of a fault. Such a missing inconsistency report for an existing fault is referred to as a *false negative* (missed fault).

False positives are possible if a thread uses a coarser locking than actually required by operation semantics. This may be used to make the code shorter or faster, since locking and unlocking can be expensive. Releasing the lock between two independent operations requires splitting one synchronized block into two blocks.

False negatives are possible if all views are consistent, but locking is still insufficient. Assume a set of fields that must be accessed atomically, but is only accessed one element at a time by every thread. Then no view of any thread includes all variables as one set, and the view consistency approach cannot find the problem. Another source of false negatives is the fact that a particular (random) run through the program may not reveal the inconsistent views.

Application	Size	Run time [s],	Run time [s],	Log size	Warnings
	[LOC]	uninstrumented	instrumented	[MB]	issued
Elevator	500	16.7	17.5	1.9	2
SOR	250	0.8	343.2	123.5	0
TSP, very small run (4 cities)	700	0.6	1.8	0.2	0
TSP, larger run (10 cities)	700	0.6	28.1	2.3	0
NASA's K9 Rover controller	7000	-	-	_	1

Table 1. Analysis results for the given example applications.

The fact that false positives are possible means that the solution is not sound. Similarly, the possibility of false negatives means that the solution neither is complete. This may seem surprising, but actually also characterizes the Eraser low-level data race detection algorithm [23] implemented in the commercial Visual Threads tool [11], as well as the deadlock detection algorithm implemented in the same tool. The same holds for the similar algorithms implemented in JPaX. The reason for the usefulness of such algorithms is that they still have a much higher chance of detecting an error than if one relies on actually executing the particular interleaving that leads to an error, without requiring much computational resources. These algorithms are essentially based on turning the property to be verified (in this case: no high-level data races) into a more testable property (view consistency). This aspect is discussed in more detail in [5] in relation to deadlock detection.

## 4 Experiments

The experiments were all made with the run-time verification tool JPaX [13]. It consists of two parts: an instrumentation module and an observer module. The instrumentation module produces an instrumented version of the program, which when executed generates an event log with the information required for the observer to determine the correctness of the examined properties. The observer of the events used here only checks for high-level data races. For these experiments, a new and yet totally un-optimized version of JPaX was used. It instruments every field access, regardless of whether it can be statically proven to be thread-safe. Because of this, some data-intensive applications created log files which grew prohibitively large (> 0.5 GB) and could not be analyzed.

Four applications were analyzed. Those applications include a discrete-event elevator simulator, and two task-parallel applications: SOR (Successive Over-Relaxation over a 2D grid), and a Travelling Salesman Problem (TSP) application. The latter two use worker threads [17] to solve the global problem. Many thanks go to Christoph von Praun for kindly providing these examples, which were referred to in [28]. In addition, a Java model of a NASA planetary rover controller, named K9, was analyzed. The original code is written in C++ and contains about 35,000 lines of code, while the Java model is a heavily abstracted version with 7,000 lines. Nevertheless, it still includes the original, very complex, synchronization patterns.

Table 1 summarizes the results of the experiments. All experiments were run on a Pentium III with a clock frequency of 750 MHz using Sun's Java 1.4 Virtual Machine,

given 1 GB of memory. Only applications which could complete without running out of memory were considered. It should be noted that the overhead of the built-in Just-In-Time (JIT) compiler amounts to 0.4 s, so a run time of 0.6 s actually means only about 0.2 s were used for executing the Java application. The Rover application could not be executed on the same machine where the other tests were run, so no time is given there.

It is obvious that certain applications using large data sets incurred a disproportionately high overhead in their instrumented version. Most examples passed the view consistency checks without any warnings reported. For the elevator example, two false warnings referred to two symmetrical cases. In both cases, three fields were involved in the conflict. In thread  $t_1$ , the views  $V(t_1) = \{\{1,3\}, \{3\}, \{2,3\}\}$  were inconsistent with the maximal view  $v_m = \{1, 2, 3\}$  of  $t_2$ . While this looks like a simple case, the interesting aspect is that one method in  $t_1$  included a *conditional* access to field 1. If that branch had been executed, the view  $\{2,3\}$  would actually have been  $\{1,2,3\}$ , and there would have been no inconsistency reported. Since not executing the branch corresponds to reading data and discarding the result, both warnings are false positives.

One warning was also reported for the NASA K9 rover code. It concerned six fields which were accessed by two threads. The responsible developer explained the large scope of the maximal view with six fields as an optimization, and hence it was not considered an error. The Remote Agent spacecraft controller was only available in Lisp, so it could not be directly tested. However, we have successfully applied our tool to test cases reflecting different constellations including that particular high-level data race.

So far, experiments indicate that experienced programmers intuitively adhere to the principle of view consistency. Violations can be found, but are not very common, as shown in our experiments. Some optimizations produce warnings that constitute no error. Finally, the two false positives from the elevator example show that the definition of view consistency still needs some refinement.

# 5 Related Work

#### 5.1 Static Analysis and Model Checking

Beyond Eraser, several static analysis tools exist that examine a program for low-level data races. The Jlint tool [3] is such an example. The ESC [8] tool is also based on static analysis, or more generally on theorem proving. It, however, requires annotation of the program, and does not appear to be as efficient as the Eraser algorithm in finding low-level data races. Dynamic tools have the advantage of having more precise information available in the execution trace. More heavyweight dynamic approaches include model checking, which explores all possible schedules in a program. Recently, model checkers have been developed that apply directly to programs (instead of just on models thereof), such as the Java PathFinder system (JPF) developed by NASA [27], and similar systems [10, 9, 15, 4, 25]. Such systems, however, suffer from the state space explosion problem. A data race, low-level as well as high-level, can be hard to find with model checking since it typically needs to cause a violation of some explicitly stated property.

#### 5.2 Database Concurrency

In database theory, shared data is stored in a database and accessed by different processes. Each process performs *transactions*, sequences of read and write operations, on the data. A sequence of these operations corresponding to several transaction is called a *history*. Based on this history, it can be inferred whether each transaction is *serializable*, i.e., whether its outcome corresponds to having run that transaction in isolation [21, 6].

There are several parallels to multi-threaded programs, which share their data in memory instead of in a database. Data races on shared fields in a multi-threaded program can be be mapped to database access conflicts on shared records. Lock protection in a multi-threaded program corresponds to an encapsulation of read and write accesses in a transaction. The key problem addressed by this paper, having intermediate states accessible when writing non-atomically a set of fields, maps to the *inconsistent retrieval* problem in databases. In such a history, one transaction reads some data items in between another transaction's updates on these items. A correct *transaction scheduler* will prevent such an access conflict, as long as the accesses of each process are correctly encapsulated in transactions.

High-level data races concern accesses to sets of fields, where different accesses use different sets. Similar problems may be seen in databases, if the programmer incorrectly defines transactions which are too fine-grained. For example, assume a system consists of a global database and an application using reading and writing threads. The writing threads use two transactions to update the database, the reading threads access everything in a single transaction. Here, the reader's view is inconsistent, since it may read an intermediate state of the system. If the writer uses a single transaction, the fault is corrected. It is likely that the abstraction provided by database query languages such as SQL [7] prevents some of these problems occurring.

Furthermore, concurrency theory as used for databases and transaction systems is moving towards richer semantics and more general operations, called *activities* [24]. Like in classical transactions, low-level access conflicts are prevented by a scheduler which orders these operations. We are not sure how high-level access conflicts have to be treated with the richer semantics of activities.

Finally, database theory also uses the term *view* under different meanings. Specifically, the two terms *view equivalence* and *view serializability* occur [6]. These two terms are independent of view consistency as defined in this paper.

#### 5.3 Hardware Concurrency

In hardware design and compiler construction, Lamport has made a major step towards correct shared memory architectures for multiprocessors [16]. He uses *sequential consistency* as a criterion for ensuring correctness of interleaved operations. It requires all data operations to appear to have executed atomically. The order in which these operations execute has to be consistent with the order seen by individual processes.

Lamport's notion of sequential consistency is rather restrictive and can be relaxed such that processors are allowed to read older copies of data as long as the observed behavior is indistinguishable from a conventional shared memory system [1]. Mittal and Garg extended this work and Herlihy's linearizability [14] to multi-object operations, such as double-register compare and swap operations [19]. Problems occurring with such multi-object operations are very much alike to our high-level data races. Unlike our approach, which deals with access patterns, their approach is concerned with the interleaving of operations and based on histories as known in database literature.

# 6 Future Work

Areas for future work can be classified into technical and theoretical problems. On the technical side, there are still issues with the run-time analysis tool JPaX. The code instrumentation and event generation does not always provide a reliable identification of objects. It relies on name, type, and hash code of objects. The latter can change during execution, which causes difficulties in the observer. Nonetheless, the hash code is the best identification which can be obtained easily in Java.

Furthermore, the instrumentation has to be optimized with respect to statically provable thread-safety. For instance, read-only or thread-local variables do not have to be monitored. Another optimization would be to only execute logging instructions a few times, instead of every time they are reached. A few executions of each instruction (one by each thread involved) are often enough to detect a problem. Apart from that, the observer analysis could run on-the-fly without event logging. This would certainly eliminate most scalability problems. Additionally, the current version reports the same conflict for different instances of the same object class.

On the theoretical side, it is not yet fully understood how to properly deal with nested locks. Views of inner locks cause spurious conflicts with the larger views of outer locks. Moreover, the elevator case study has shown that a slightly different, control-flow-independent definition of view consistency is needed. Perhaps static analysis may be better suited to check such a revised definition.

# 7 Conclusions

Data races denote a concurrent access to shared variables where an insufficient lock protection can lead to a corrupted program state. Classical, or low-level, data races concern accesses to single fields. Our new notion of high-level data races deals with accesses to sets of fields which are related and should be accessed atomically.

View consistency is a novel concept considering the association of variable sets to locks. This permits detecting high-level data races that can lead to an inconsistent program state, similar to classical low-level data races. Experiments on a small set of applications have shown that developers seem to follow the guideline of view consistency to a surprisingly large extent. We think this concept, now formally defined, captures an important idea in multi-threading design.

# References

1. Y. Afek, G. Brown, and M. Merritt. Lazy Caching. ACM Transactions on Programming Languages and Systems, 15(1):182–205, January 1993.

- 2. K. Arnold and J. Gosling. The Java Programming Language. Addison-Wesley, 1996.
- C. Artho and A. Biere. Applying Static Analysis to Large-Scale, Multi-threaded Java Programs. In D. Grant, editor, *Proc. 13th ASWEC*, pages 68–75. IEEE Computer Society, 2001.
- T. Ball, A. Podelski, and S. Rajamani. Boolean and Cartesian Abstractions for Model Checking C Programs. In *Proc. TACAS'01*, LNCS, Italy, 2001.
- 5. S. Bensalem and K. Havelund. Reducing False Positives in Runtime Analysis of Deadlocks. Submitted for publication, January 2003.
- P. A. Bernstein, V. Hadzilacos, and N. Goodman. Concurrency Control and Recovery in Database Systems. Addison-Wesley, 1987.
- D. Chamberlin and R. Boyce. SEQUEL: A structured English query language. In Proc. 1976 ACM SIGFIDET workshop on Data description, access and control, pages 249–264, 1976.
- D. L. Detlefs, K. Rustan, M. Leino, G. Nelson, and J. B. Saxe. Extended Static Checking. Technical Report 159, Compaq Systems Research Center, Palo Alto, California, USA, 1998.
- 9. J. Corbett et al. Bandera: Extracting Finite-state Models from Java Source Code. In *Proc.* 22nd ICSE, Ireland, 2000. ACM Press.
- P. Godefroid. Model Checking for Programming Languages using VeriSoft. In Proc. 24th ACM Symposium on Principles of Programming Languages, pages 174–186, France, 1997.
- J. Harrow. Runtime Checking of Multithreaded Applications with Visual Threads. In 7th SPIN Workshop, volume 1885 of LNCS, pages 331–342. Springer, 2000.
- K. Havelund, M. R. Lowry, and J. Penix. Formal Analysis of a Space Craft Controller using SPIN. *IEEE Transactions on Software Engineering*, 27(8):749–765, August 2001.
- K. Havelund and G. Roşu. Monitoring Java Programs with Java PathExplorer. In *Proc. RV'01*, volume 55 of *ENTCS*, pages 97–114, France, 2001. Elsevier Science.
- M. Herlihy and J. Wing. Linearizability: A Correctness Condition for Concurrent Objects. ACM Transactions on Programming Languages and Systems, 12(3):463–492, 1990.
- G. Holzmann and M. Smith. A Practical Method for Verifying Event-Driven Software. In Proc. ICSE'99, USA, 1999. IEEE/ACM.
- L. Lamport. How to Make a Multiprocessor that Correctly Executes Multiprocess Programs. *IEEE Trans. Comput.*, 9:690–691, September 1979.
- 17. D. Lea. Concurrent Programming in Java. Addison-Wesley, 1997.
- 18. D. Lea. Personal e-mail communication, 2000.
- N. Mittal and V. Garg. Consistency Conditions for Multi-Object Distributed Operations. In International Conference on Distributed Computing Systems, pages 582–599, 1998.
- 20. B. Nichols, D. Buttlar, and J. P. Farrell. Pthreads Programming. O'Reilly, 1998.
- C. Papadimitriou. The Serializability of Concurrent Database Updates. *Journal of the ACM*, 26(4):631–653, 1979.
- B. Pell, E. Gat, R. Keesing, N. Muscettola, and B. Smith. Plan Execution for Autonomous Spacecrafts. In *Proc. IJCAI'97*, pages 1234–1239, August 1997. Japan.
- S. Savage, M. Burrows, G. Nelson, P. Sobalvarro, and T. Anderson. Eraser: A Dynamic Data Race Detector for Multithreaded Programs. *ACM Transactions on Computer Systems*, 15(4):391–411, 1997.
- H. Schuldt, G. Alonso, C. Beeri, and H.-J. Schek. Atomicity and Isolation for Transactional Processes. ACM Transactions on Database Systems, 27(1):63–116, 2002.
- S. D. Stoller. Model-Checking Multi-threaded Distributed Java Programs. In 7th SPIN Workshop, volume 1885 of LNCS, pages 224–244. Springer, 2000.
- 26. Sun Microsystems. Java 2 Enterprise Edition, 2002. http://java.sun.com/j2ee.
- 27. W. Visser, K. Havelund, G. Brat, and S. Park. Model Checking Programs. In *Proc. ASE'2000*. IEEE CS Press, 2000.
- 28. C. von Praun and T. Gross. Object-Race Detection. In OOPSLA, pages 70-82. ACM, 2001.