

DI-FCT-UNL

Segurança de Redes e Sistemas de Computadores  
*Network and Computer Systems Security*

Mestrado Integrado em Engenharia Informática  
MSc Course: Informatics Engineering  
2º Semestre, 2018/2019

## IPSec (IP Security)

*“If a secret piece of news is divulged by a spy before the time is ripe, he must be put to death, together with the man to whom the secret was told.”*

**—*The Art of War*, Sun Tzu**

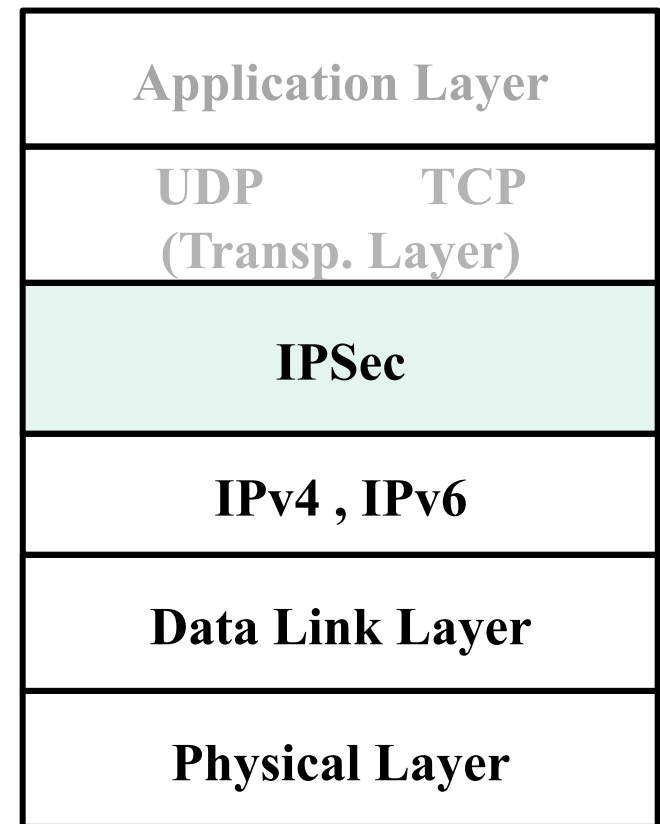
# IP Security Level Approach

## Security Approach at the Network Level (IP Traffic Protection)

- Initially addressed as answer to requirements and challenges in IAB (RFC 1636, Feb/1994)
- IPSec Architecture, AH and ESP Protocols (1<sup>st</sup> Approach):  
RFCs 1825, 1826, 1827 (Aug/1995)

IPSec is a Security Stack of  
Sub Protocols for IP Traffic  
Protection

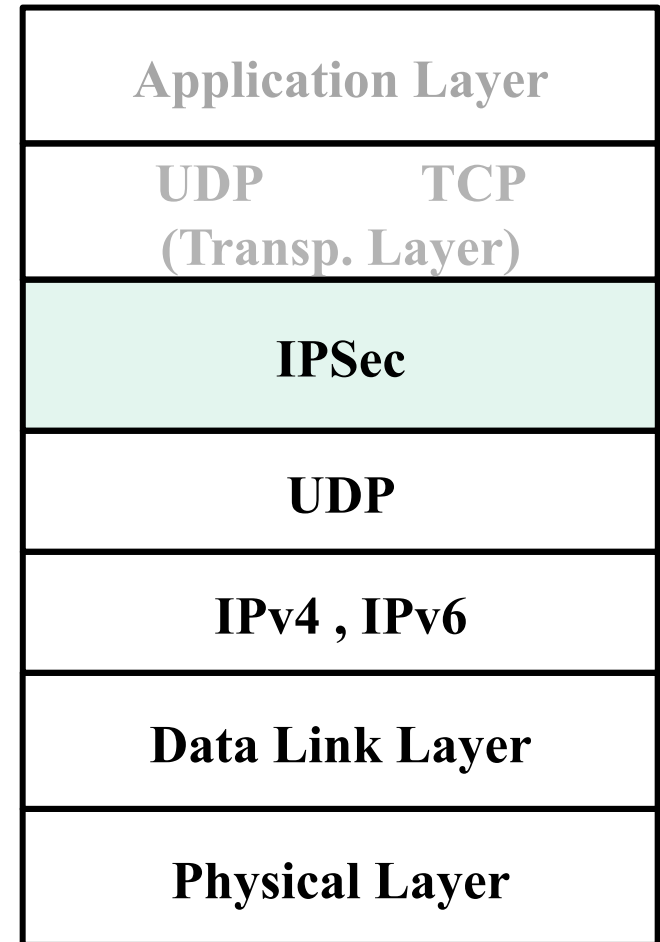
Can be supported / added to  
current versions of IPV4 and  
IPV6 Protocols:



# Other Possible IP Security Encapsulations

IPSec is a Security Stack of Sub Protocols for IP Traffic Protection

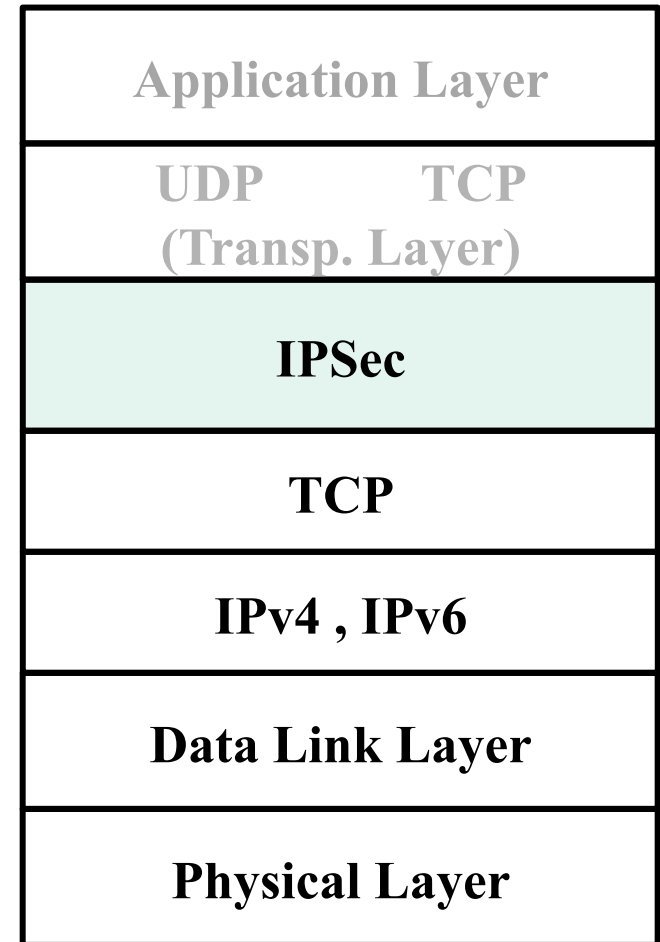
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IPSec is a Security Stack of Sub Protocols for IP Traffic Protection

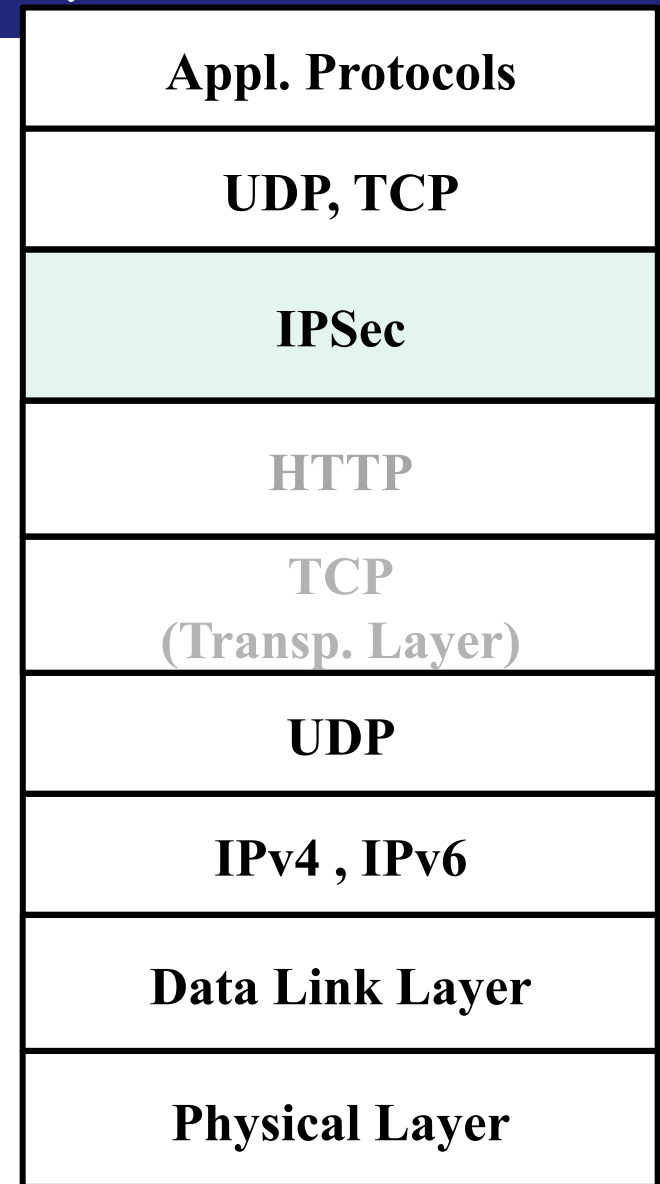
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# Other Possible IP Security Encapsulations

IPSec is a Security Stack of Sub Protocols for IP Traffic Protection

Can be supported / added to current versions of IPV4 and IPV6 Protocols:



# Roadmap / Outline

- **IPSec (IP Security)**
  - IP Security Overview
  - IPsec uses and benefits
  - IPSec Standardization
  - IP Security Architecture (and IPSec Stack)
  - IPSec Modes: Transport vs. Tunneling
  - IPSec Security Associations
  - IPSec Security protocols and encapsulation
  - Anti-Replaying Service
  - Security and Flexibility: Combination of Security Associations
  - IPSec crypto-suite
  - Key Management Schemes

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# IP Security Stack (Archit. and Sub-Protocols)

IPSec Architecture and vast related standardization effort  
Ipssec Protocols Stack: IKE, ISAKMP, ESP and AH Protocols

## **IKE**

Internet Key Exchange

## **ISAKMP**

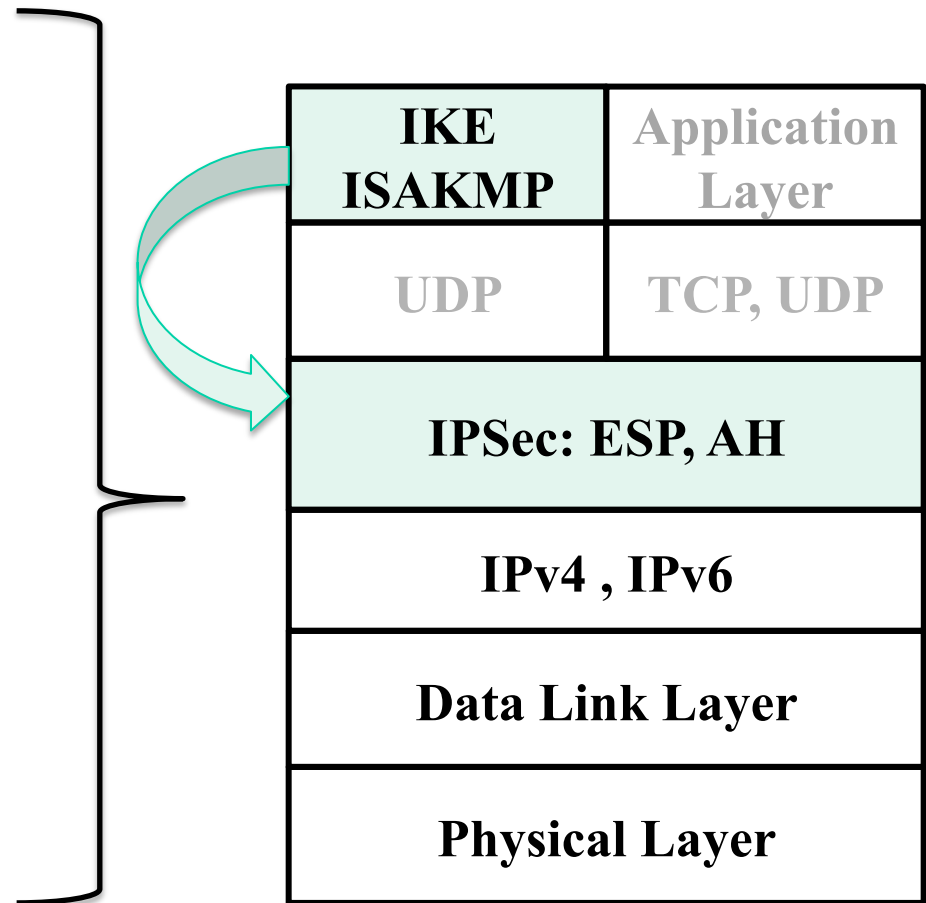
Internet Security Association  
and Key Management Protocol

## **ESP**

Encapsulating Security Payload

## **AH**

Authentication Header





# IP Security Sub-Protocols

## IPsec Stack: IKE, ISAKMP, ESP and AH Protocols

### IKE

Internet Key Exchange

### ISAKMP

Internet Security Association  
and Key Management Protocol

### ESP (ESP-A, ESP-AE)

Encapsulating Security Payload

### AH

Authentication Header

Authentication of IPSec Endpoints  
(IP Addresses)

Secure Establishment of Keys and  
SA Parameters

Access-Control Mechanism

Payload Data Origin Authentication  
Connectionless-Integrity  
Anti-Replaying  
Connectionless-Confidentiality  
Limited Traffic Flow Confidentiality

Payload Data Origin Authentication  
Connectionless-Integrity  
Anti-Replaying

# IP Security Stack: Base security mechanisms

## IPsec Stack: IKE, ISAKMP, ESP and AH Protocols

### IKE

Internet Key Exchange

X509 Certification +  
Authenticated Diffie-Hellman  
Agreement and other techniques

### ISAKMP

Internet Security Association  
and Key Management Protocol

Security Message Format for IKE

### ESP (ESP-A, ESP-AE)

Encapsulating Security Payload

ESP-Authentication Only:  
(use of HMACs)  
ESP-Authentication and Encryption:  
(Use of HMACs + Symmetric  
Encryption)

### AH

Authentication Header

Authentication Header  
(Use of HMACs)

# Summary of IPSec Services (Ref ESP, RFC 4301)

- Access control for IPsec packets
  - Connectionless integrity
  - Data origin authentication (IP Authentication) of delivered/received IP packets (\*)
  - Anti-Replaying Protection: Rejection of replayed packets
    - a form of partial sequence integrity
  - Confidentiality (encryption)
  - Approach to traffic flow confidentiality protection (or limited traffic flow confidentiality)
  - Key-Establishment Services (via IKE)
- 
- Helps in securing routing, but no routing control: different routing attacks require other contra-measures complementarily to IPSec
    - Problem/Focus: Security in Routing Protocols (Ex., Secure BGP)

# Protection in the IPSec protocol suite

Protection against communication attacks against IP Traffic  
(remember ref. X.800 or RFC 2828)

|  | AH | ESP (E-Only) | ESP (A+E) |
|--|----|--------------|-----------|
| • Access control<br>– AIP packet admission                               | X  | X            | X         |
| • Connectionless integrity   | X  |              | X         |
| • Authentication (IP origin)<br>(authentication of the IP packet origin) | X  |              | X         |
| • Anti-replay (IP packet replay)<br>(Form of Sequential integrity)       | X  | X            | X         |
| • Payload Data Confidentiality   |    | X            | X         |
| • Limited Traffic-Flow Confidentiality                                   |    | X            | X         |
| • Availability (DoS, DDoS)   | ?  | ?            | ?         |
| • Routing control<br>(IP routing control)                                | ?  | ?            | ?         |

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# Use of IPSec

- Essentially, Protection w/ IPV4 and IPV6 Encapsulation
- Secure branch office connectivity over the Internet
- Secure remote access over the Internet
  - Ex., VPN Access ( IP / IPSec )
- Establishing extranet and intranet connectivity with partners (secure internetworking between private intranets or secure extranets)
- Enhancing security for
  - Electronic commerce infrastructures
  - Critical infrastructures and related secure systems and applications

# Benefits and Support of IPSec

- **Protection below transport layer (at the network level)**, hence transparent to transport and application level protocols
  - Secure IP Traffic between IP Sec Endpoints
- Can be **transparent providing security to transport protocols, applications end user**
- **IPSec is supported:**

- **In routers or network firewalls:**

- Provides strong security to all traffic crossing the perimeter (perimeter protection strategy)
- Resistant to bypass
- NAT supported

**Tunnel  
Mode**

- **In end-hosts**

- Provides end-to-end (host-to-host) traffic
- Resistant to bypass w/ local-enabled firewalls

**Transport  
Mode**

# Other benefits of IPSec

**Helps in securing routing architecture (and other “control plane” management protocols)**

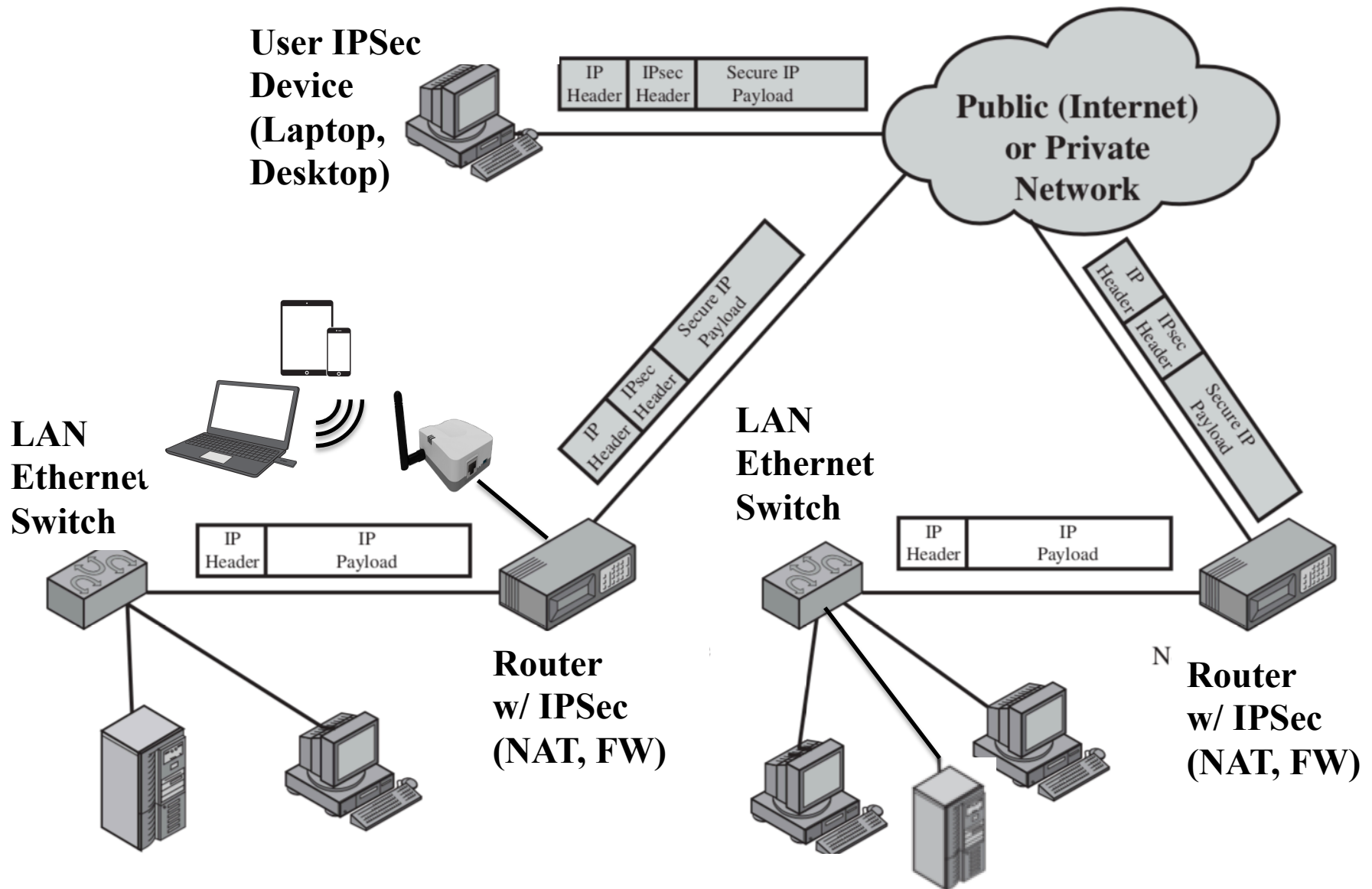
- Base protection of router advertisements, authentication/ authorization of advertisements, control of authenticated/ authorized neighbors, authentication of redirections, contra-measures against forged update announcements
- Protection of routing protocols (VD-LS, OSPF)  
Note: BGPSpecProtocol (RFC 8205) when using IP (no sec)

**But there are some issues in playing well together:**

- Performance penalties due to IP Sec rekeying (IKE sub protocol)
- Outages due to “missed or desync. keys and security associations”
- DoS / DDoS Issues due to overheads imposed by IPSec processing
- For example in general BGP routers have layered DoS protection that encapsulated IPSec BGP packets may weaken
  - Mitigation requires that routers must have access to the BGP packets
- Alternatives: Secure BGP without IPSec (S-BGP, BGPsec, RFC8205)

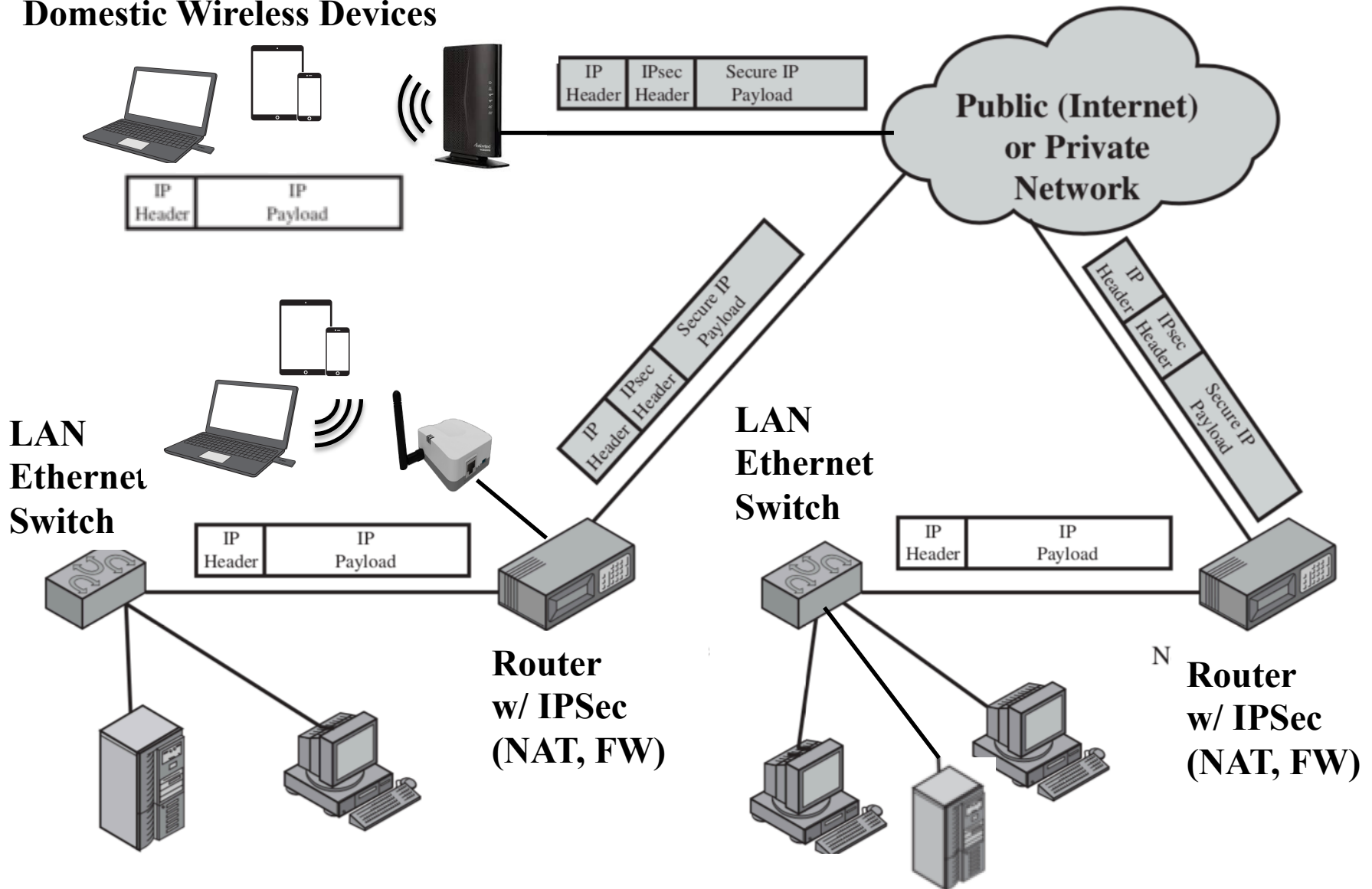


# IPSec Internetworking scenario



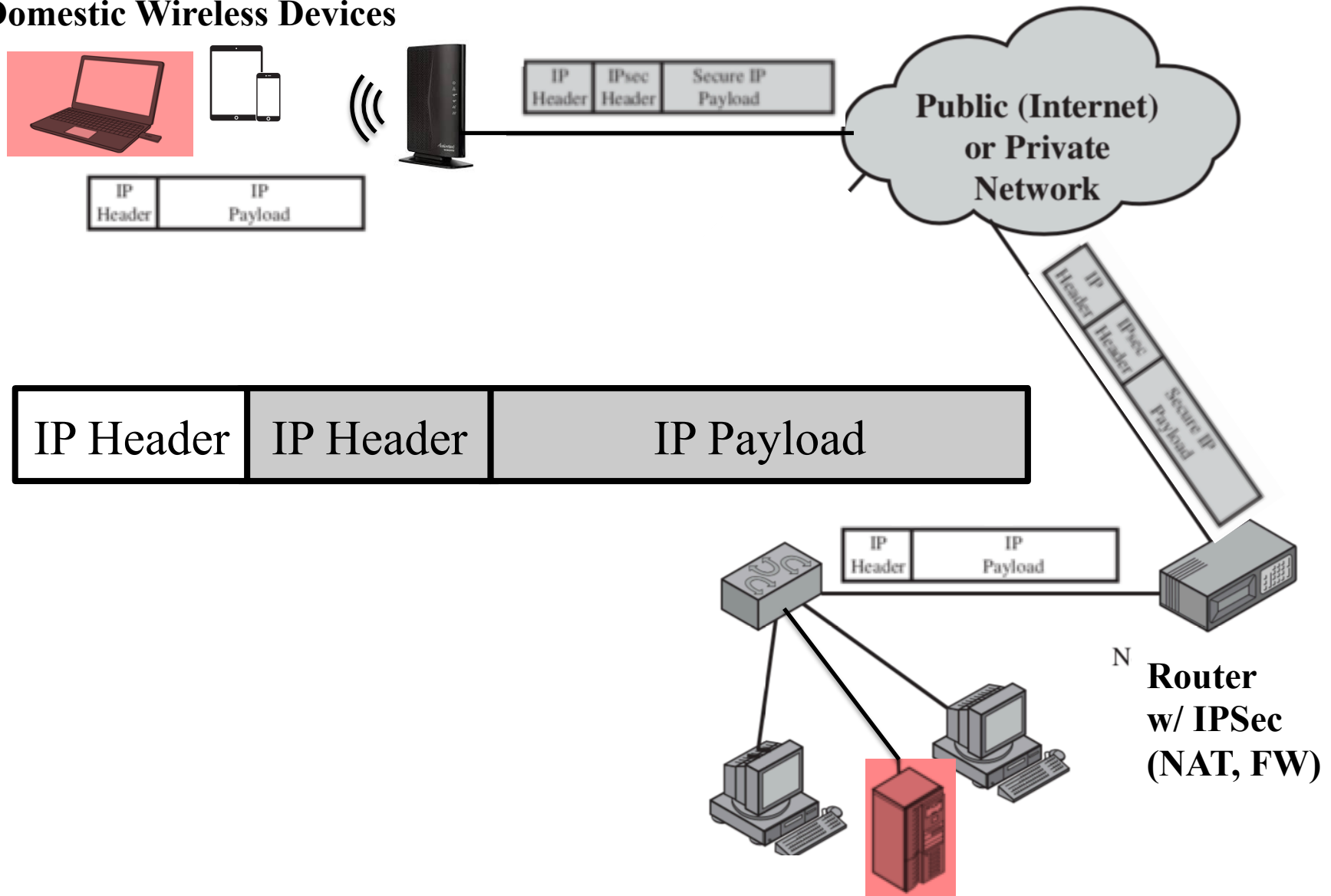
# IPSec Internetworking scenario

## Domestic Wireless Devices

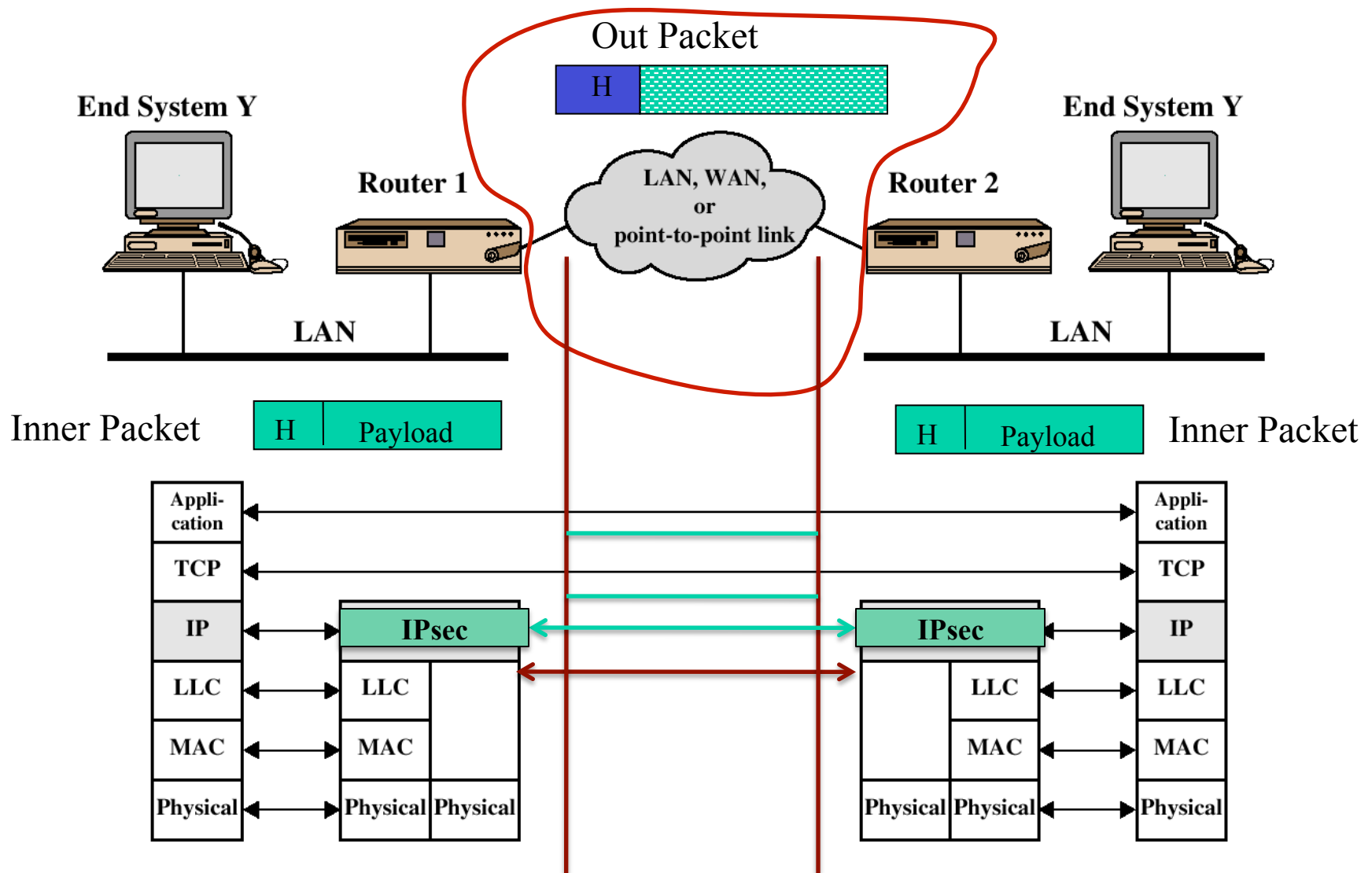


# IPSec Internetworking scenario

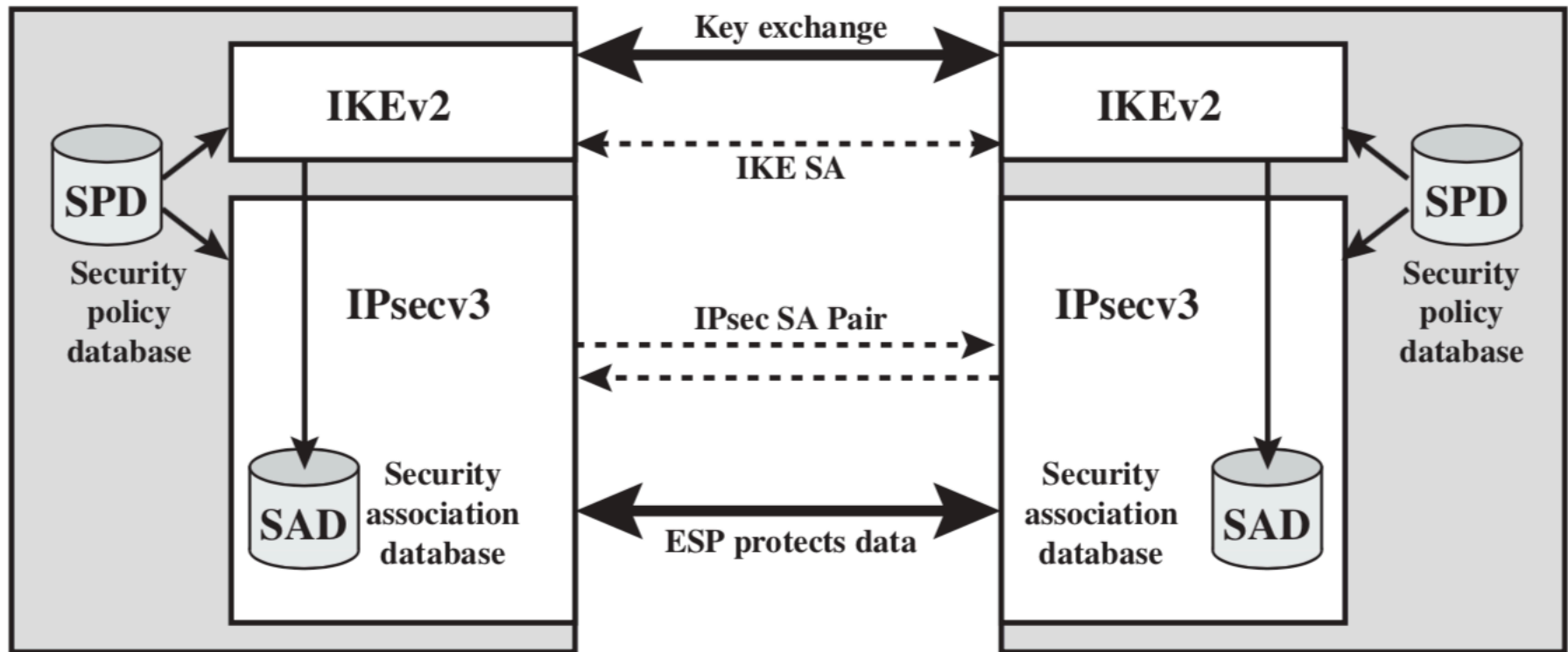
## Domestic Wireless Devices



# Secure LAN to LAN interoperability



# IPSec operation overview



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# IPSec Protection

- **Principals as Secure “IP endpoints”:**
  - **IP Addresses (with peer-authenticity guarantees)**
- Can use over LANs, across public & private WANs, & Internet
- **Current Ref. Standards for the IPSec Protocol Suite:**
  - **IKE** ( currently v2, workdrafts for v3 )  
Authentication and Establishment of Keys and Security Association Parameters
  - **IPSec** ( currently v3)  
Main protocol suite: AH, ESP-E and ESP-AE Sub protocols and related encapsulations over IPV4 and IPV6

# IPSec standardization

IPSec is a Security Suite with different dimensions involved and on-going standardization effort:

- **Conceptual bases**
  - IPSec Domain of Interpretation
  - IPSec Architecture Reference
- **Sub-protocols (IPSec protocol stack)**
  - ESP (ESP AE, ESP A only), AH
- **Configuration and Management Protocols**
  - IKE, ISAKMP and Management of SAs and SPDs
- **IPSec Standardized Cryptography and Techniques**
- **Adaptation and integration issues (TCP/IP stack)**
  - IPV4 and IPV6 Support and Encapsulation



# IETF, IPsec standardization effort

On-Going  
From 1995  
until now

Context for RFC 2411, 6071

## Arquitectura IPsec

RFC 1825, 2401...4301, 6040, 7619

## ESP

RFCs 1827,  
2406 ... 4303, 4305

## DOI

RFC 2407,  
4306

## AH

RFC 1826,  
... 4302

## Crypto

RFC 2405

Método e Alg. Auth  
RFCs...

## Crypto

RFC 5930  
7670  
...

## IKEv1

RFC 2409 ...  
(Photuris, Oakley)  
...

## ISAKMP

RFC 2407  
RFC 2408,

IKEv2, RFCs 4306,  
4397, 4718, 5996, 5998,  
6989, 7296, 8247  
=> IKEv3 Drafts

# IPSec suite: Architecture, AH and ESP

- **Architecture:** Covers the general concepts, security requirements, definitions, and mechanisms defining IPsec technology.
- **Authentication Header (AH Protocol):**
  - AH is an extension header to provide message authentication.
  - Because message authentication is also provided by ESP, the use of AH is now deprecated.
  - It is included in IPsecv3 for backward compatibility but should not be used in new applications. We do not discuss AH in this chapter.
- **Encapsulating Security Payload (ESP Protocol):**
  - ESP consists of an encapsulating header and trailer
  - Used to provide encryption (ESP-E) or combined encryption/authentication (ESP-AE)

# IPSec suite: IKE, Crypto and SA/SP Management

- **Internet Key Exchange (IKE):** This is a collection of documents describing the key management schemes for use with IPsec. The initial specification is RFC 4306, *Internet Key Exchange (IKEv2) Protocol*, but there are a number of related / evolved RFCs.
  - Evolution effort for **IKEv3**
- **Cryptographic algorithms:** This category encompasses a large set of documents that define and describe cryptographic algorithms for encryption, message authentication, pseudorandom functions (PRFs), and cryptographic key exchange.
- **Others:** There are a variety of other IPsec-related RFCs, including those dealing with security policy and management information base (MIB) content.

# IETF, IETF WorkGroups and OnGoing Work

- IETF
  - <https://www.ietf.org>
- IETF WG Charter .. See Active WGs  
<https://datatracker.ietf.org/wg/>
- IPSec, <https://datatracker.ietf.org/wg/ipsec/about/>
- IPSec Maintenance and Extensions (**ipsecme**)  
<https://datatracker.ietf.org/wg/ipsecme/about/>

Last and Ongoing Efforts (2009-2019 ...)

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# IPV4 Packet and IPSec Encapsulation

|                     |          |                 |                 |
|---------------------|----------|-----------------|-----------------|
| 4                   | 4        | 8               | 16              |
| Version             | IHL      | Type of Service | Total Length    |
| Identification      |          | Flags           | Fragment Offset |
| Time to Live        | Protocol | Header Checksum |                 |
| Source Address      |          |                 |                 |
| Destination Address |          |                 |                 |
| Options (+ Padding) |          |                 |                 |
| Data (Variable)     |          |                 |                 |

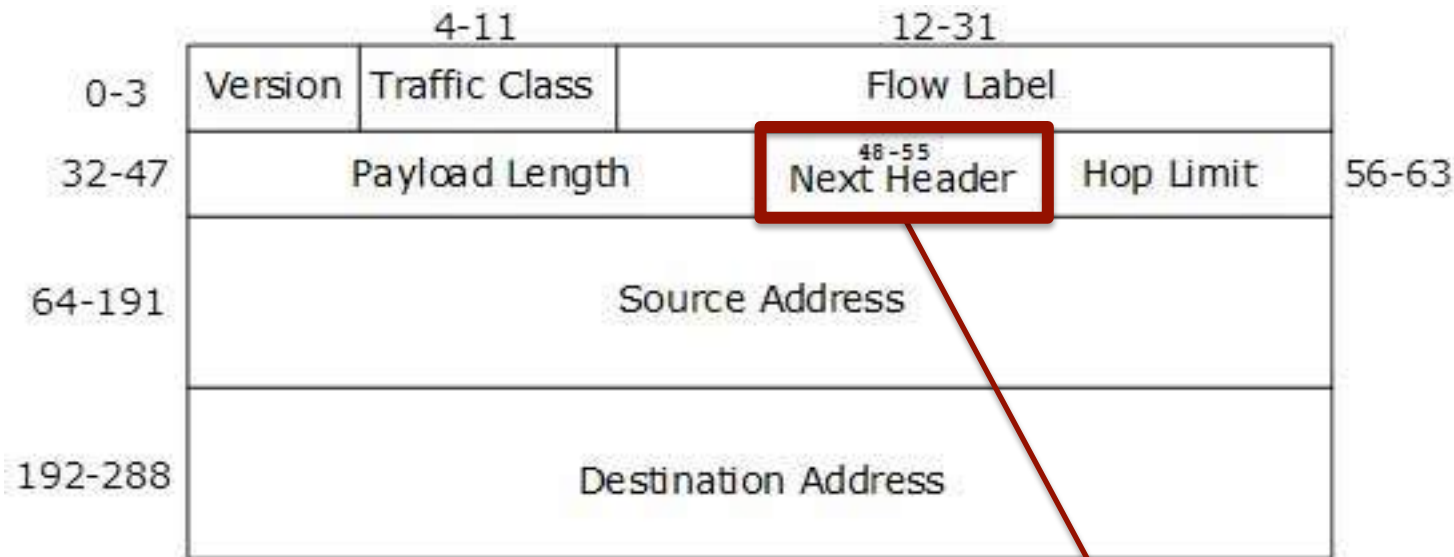
## Protocol Codes, ex:

1 (ICMP Control Packet)  
6 (TCP packet)  
41 (IPV6 encapsulation), 4  
(IPV4 encapsulation)  
17 (UDP datagram packet ...  
**51 (AH Encapsulated Packet)**  
**50 (ESP Encapsulated Packet)**

<https://www.iana.org/assignments/protocol-numbers/protocol-numbers.xhtml>

# IPv6 Packet and IPSec encapsulation

see [https://en.wikipedia.org/wiki/IPv6\\_packet](https://en.wikipedia.org/wiki/IPv6_packet) for details



**Next Header (encapsulated packet), ex:**

1 (ICMP Control Packet)

6 (TCP packet)

41 (IPv6 encapsulation), 4 (IPv4 encapsulation)

17 (UDP datagram packet ...)

**51 (AH Encapsulated Packet)**

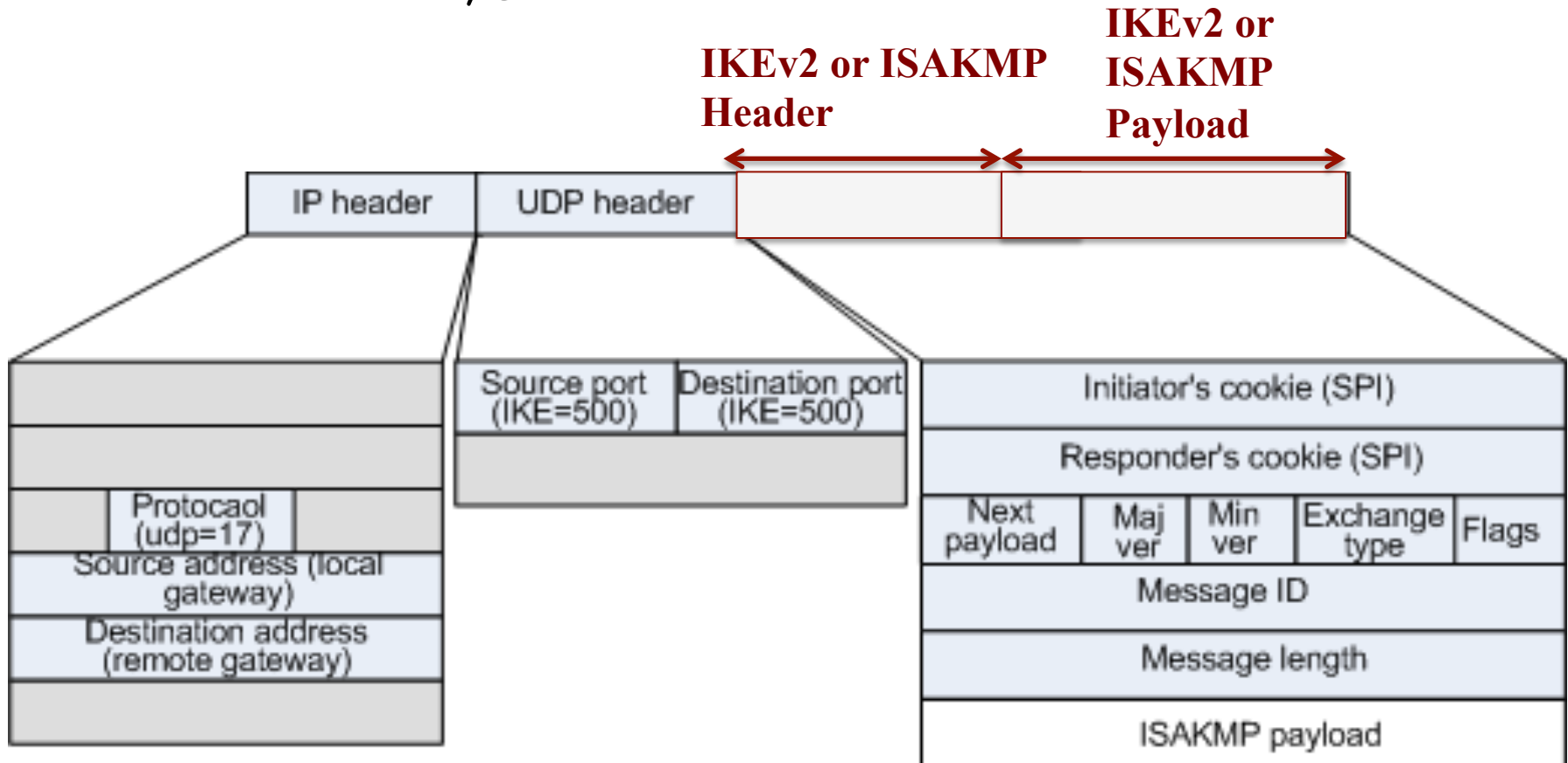
**50 (ESP Encapsulated Packet)**

## IP (v4,v6) and IKE or ISAKMP encapsulation

## IKE is usually encapsulated on UDP Packets

(On-going/recent RFC proposals on TCP and also HTTP encapsulation)

- Via IKEv2 or ISAKMP Headers
- Source Port: 500, Destination Port: 500





# Other forms of encapsulation ...

- Can have IPSec (ESP-E, ESP-AE or AH packets) encapsulated in other options
- Can also have IP (not necessarily IPSec) encapsulated in other stackable solutions for VPNs, ex:
  - VPN SSL/TLS
  - VPN IPSec
  - VPN PPPT
  - VPN L2PT
- Other IP Protection solutions by tunneling: STUNNEL (TLS tunnels), SSH Tunnels

Ex., Solutions (opensource):

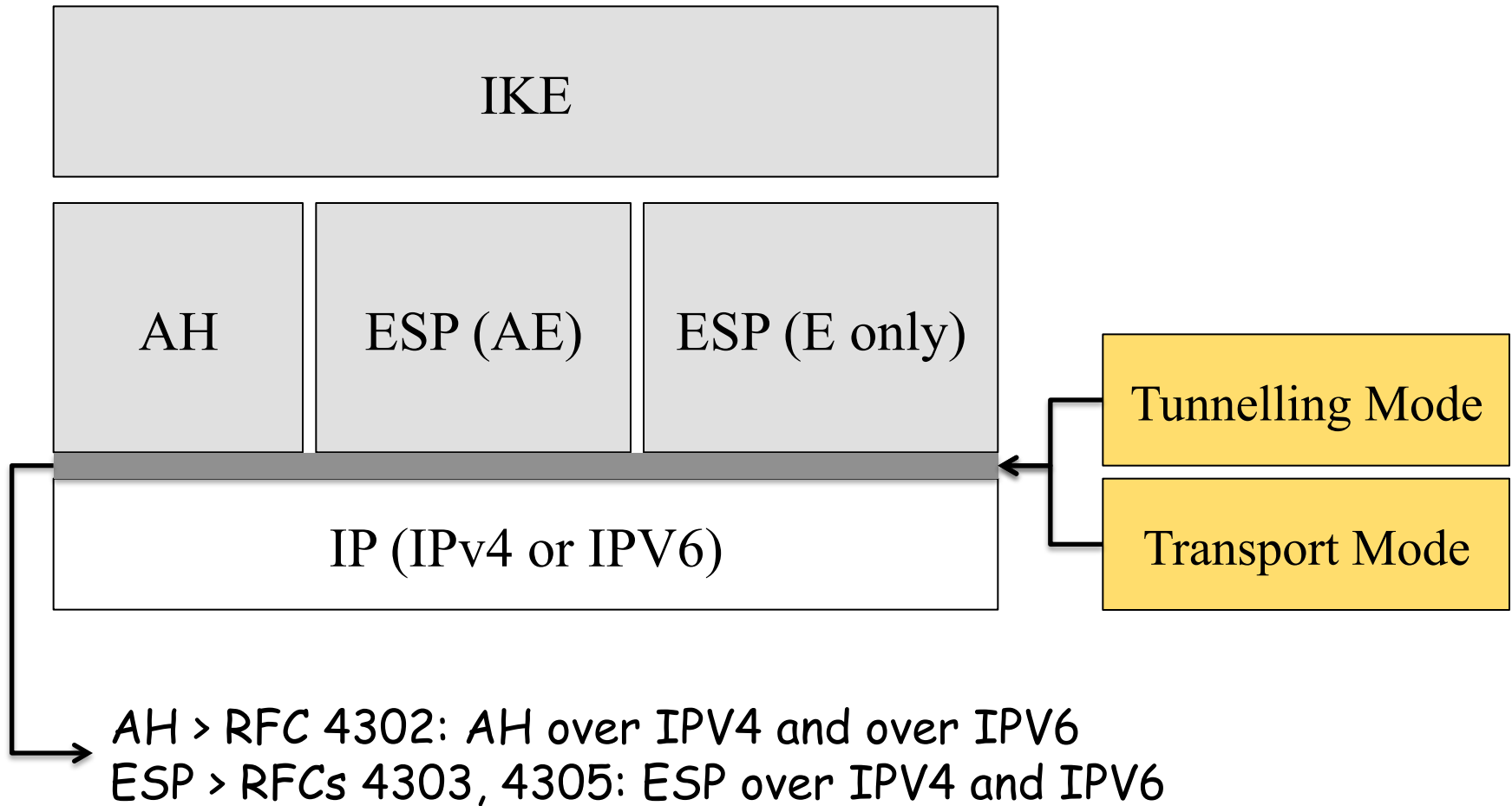
- Stunnel <https://www.stunnel.org>
- OpenVPN <https://openvpn.net>

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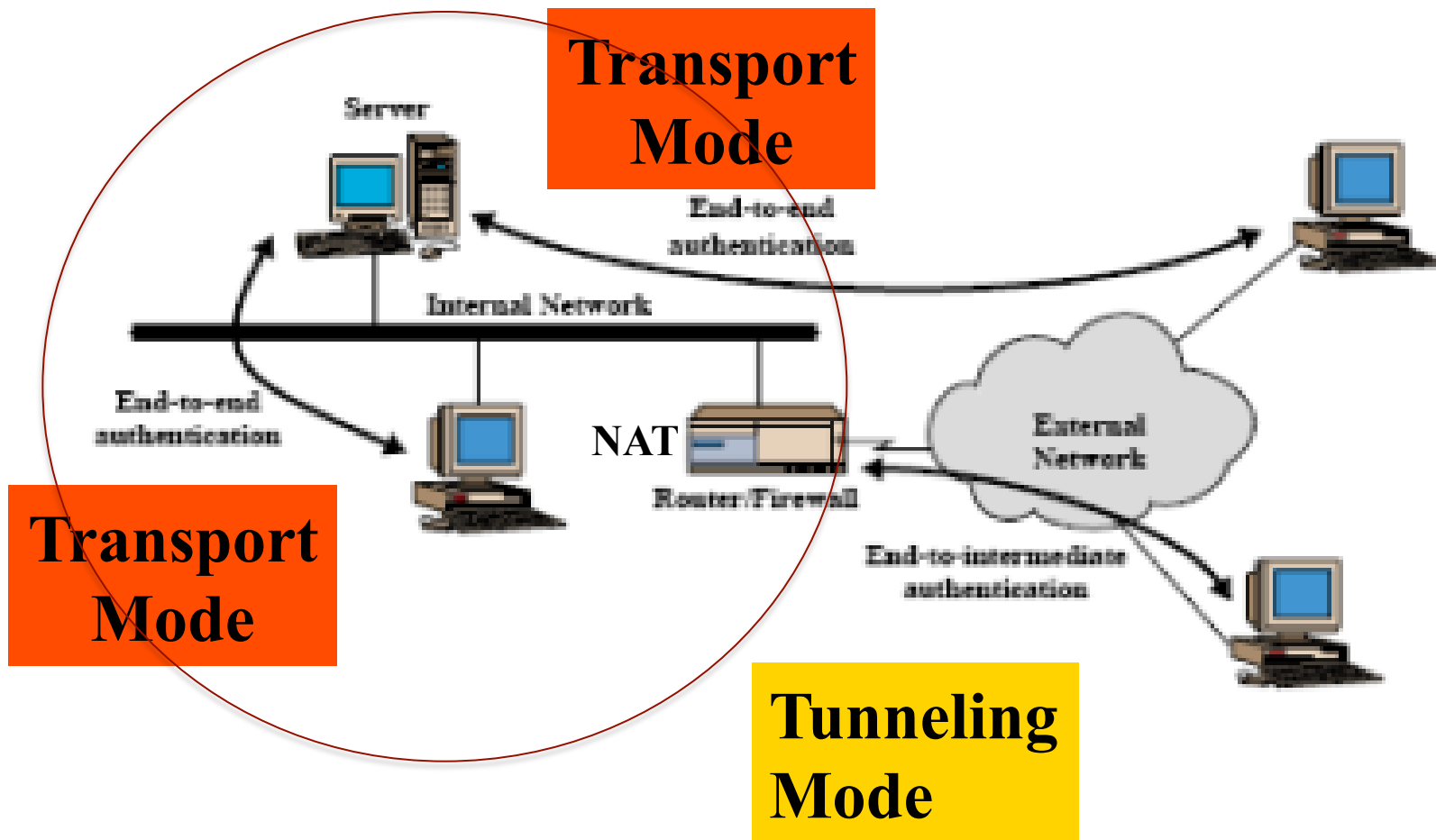
# IP Security Stack (and Sub-Protocols)

- Sub-Protocols and Modes + Encapsulation (IPV4 or IPV6), ... as well as other (tunneling) encapsulation options



# IPSec modes

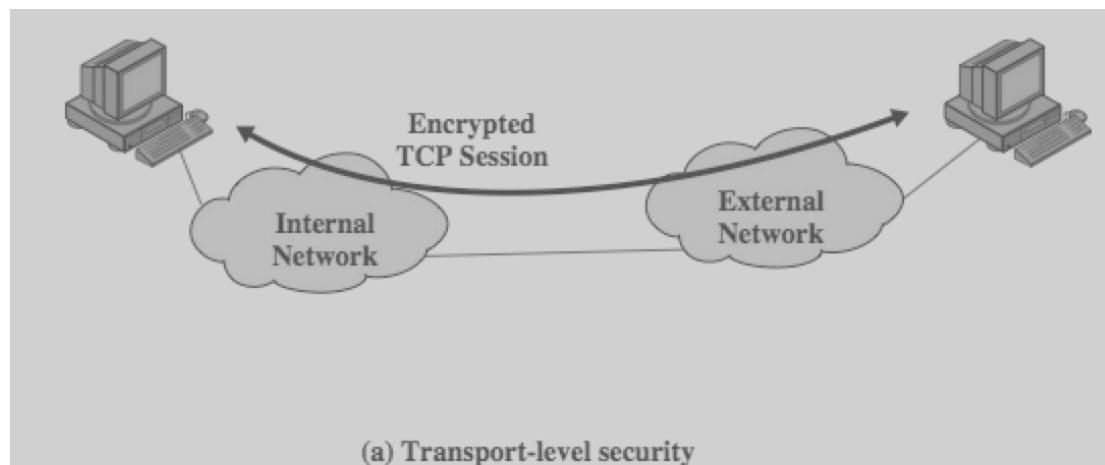
Modes are considered for different IPSec uses



# Transport and Tunnel Modes

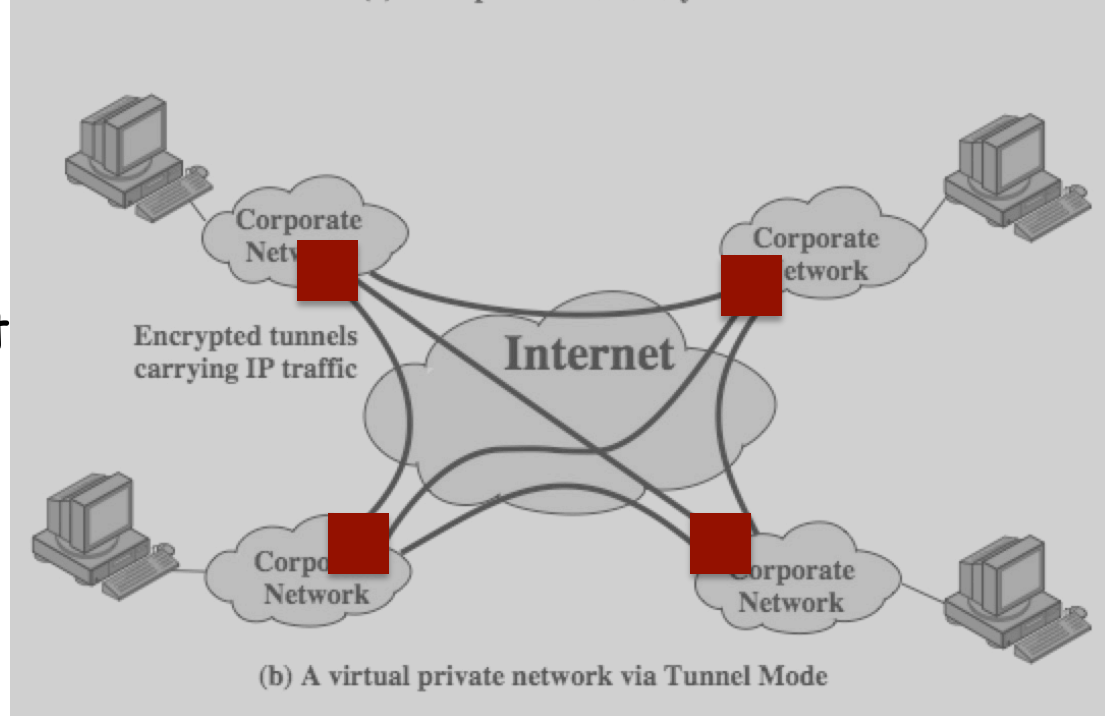
- **Transport Mode:**

- End-to-End Security
- Host-to-Host



- **Tunnel Mode:**

- Intermediary-Support
- via Routers, Firewalls
- NAT supported



# Specific encapsulation of IPsec modes

- Depending on the IPsec modes, encapsulation of ESP and AH is done in a different way
- Combinations:
  - AH in Transport mode
  - AH in Tunnel mode
  - ESP-Authentication Only in Transport mode
  - ESP-Authentication Only in Tunnel mode
  - ESP-Auth & Encryption in Transport mode
  - ESP-Auth & Encryption in Tunnel mode
- Combinations imply on different provided security properties:

# AH/IP in Transport and Tunnel modes

Before applying AH



IPSec Transport Mode: After applying AH



Transport  
Mode

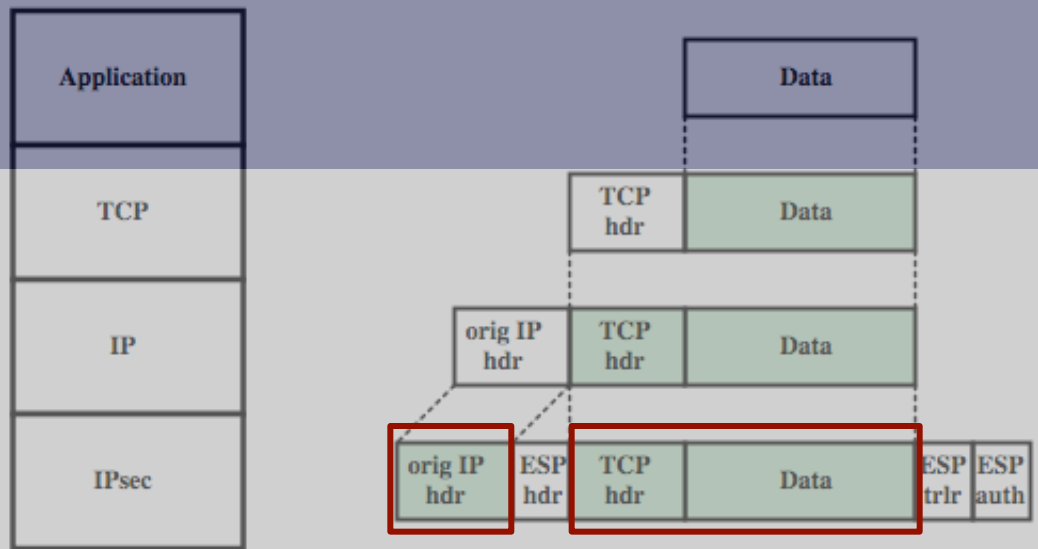
IPSec Tunnel Mode: After applying AH



Tunnel  
Mode

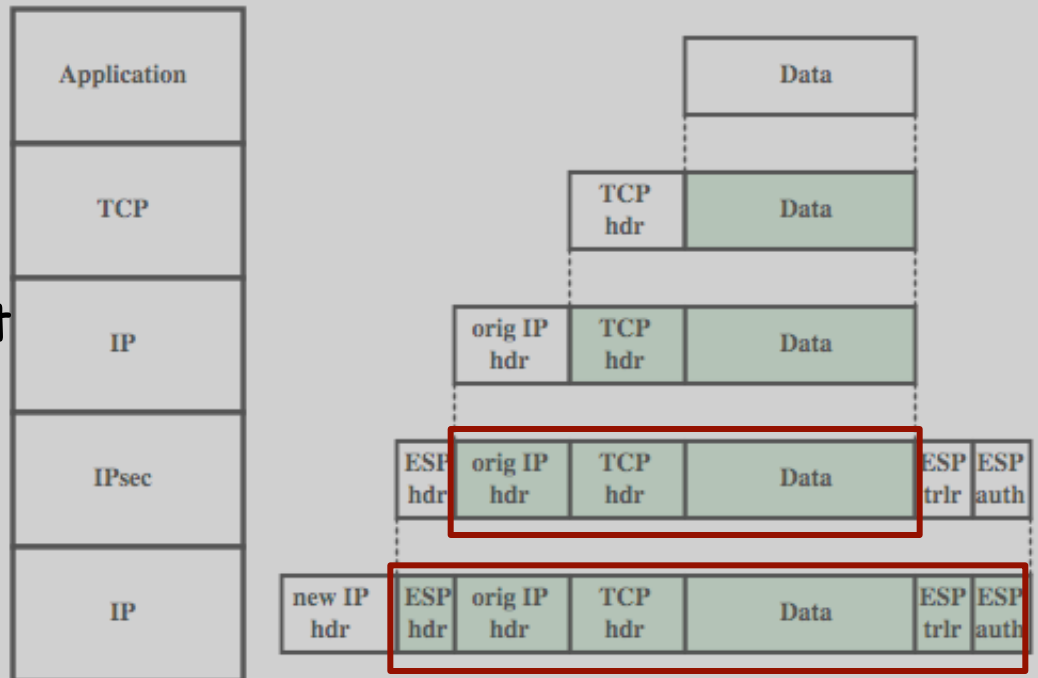
# ESP/IP encapsulation

- **Transport Mode:**
  - End-to-End Security
  - Host-to-Host



(a) Transport mode

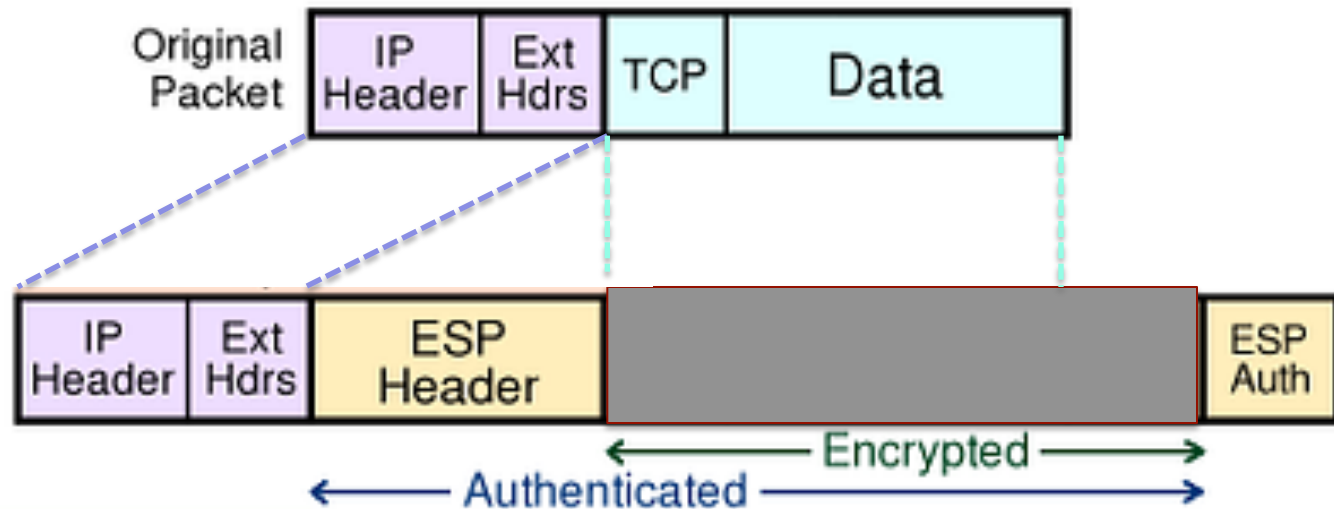
- **Tunnel Mode:**
  - Intermediary-Support
  - Routers, Firewalls



(b) Tunnel mode



# ESP Encapsulation: Transport Mode



## Transport Mode

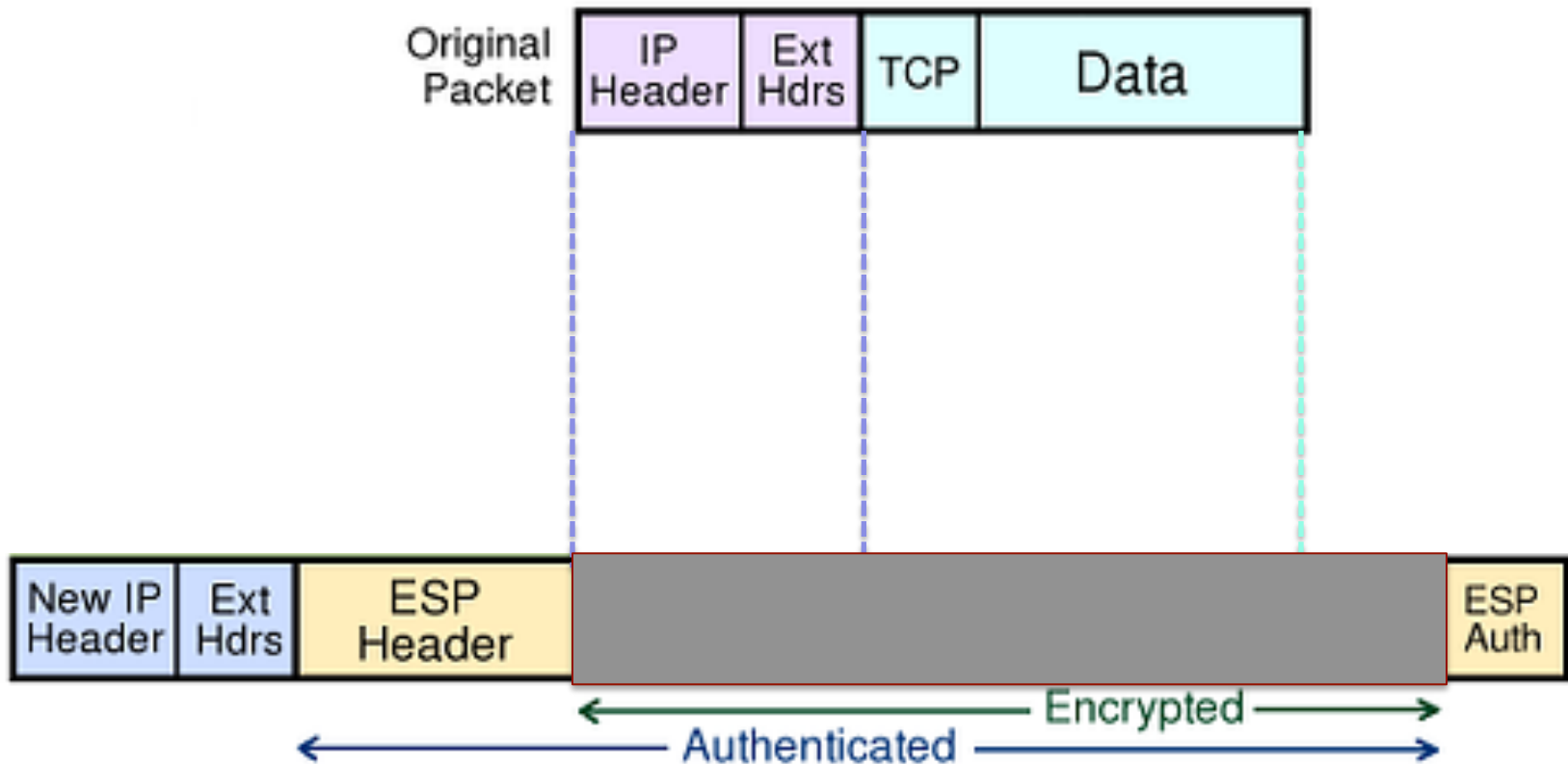
Encrypts & Optionally authenticates IP data

Can do traffic analysis ... but it is efficient

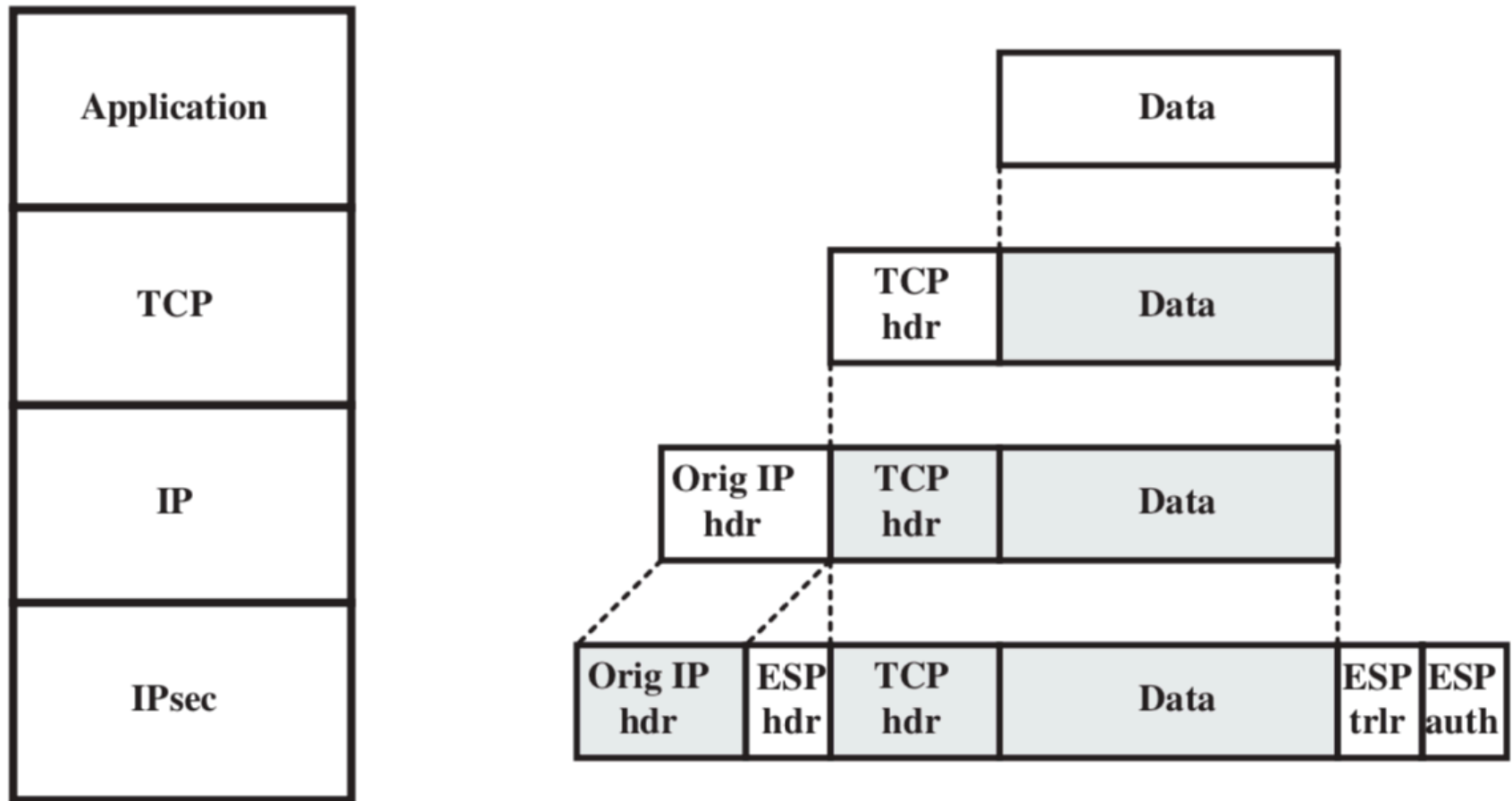
Good for ESP "host to host" traffic, end-to-end

*Note: what about "covert channels" control, "non-controlled access for malicious software entry points, ... etc ? Implications ?*

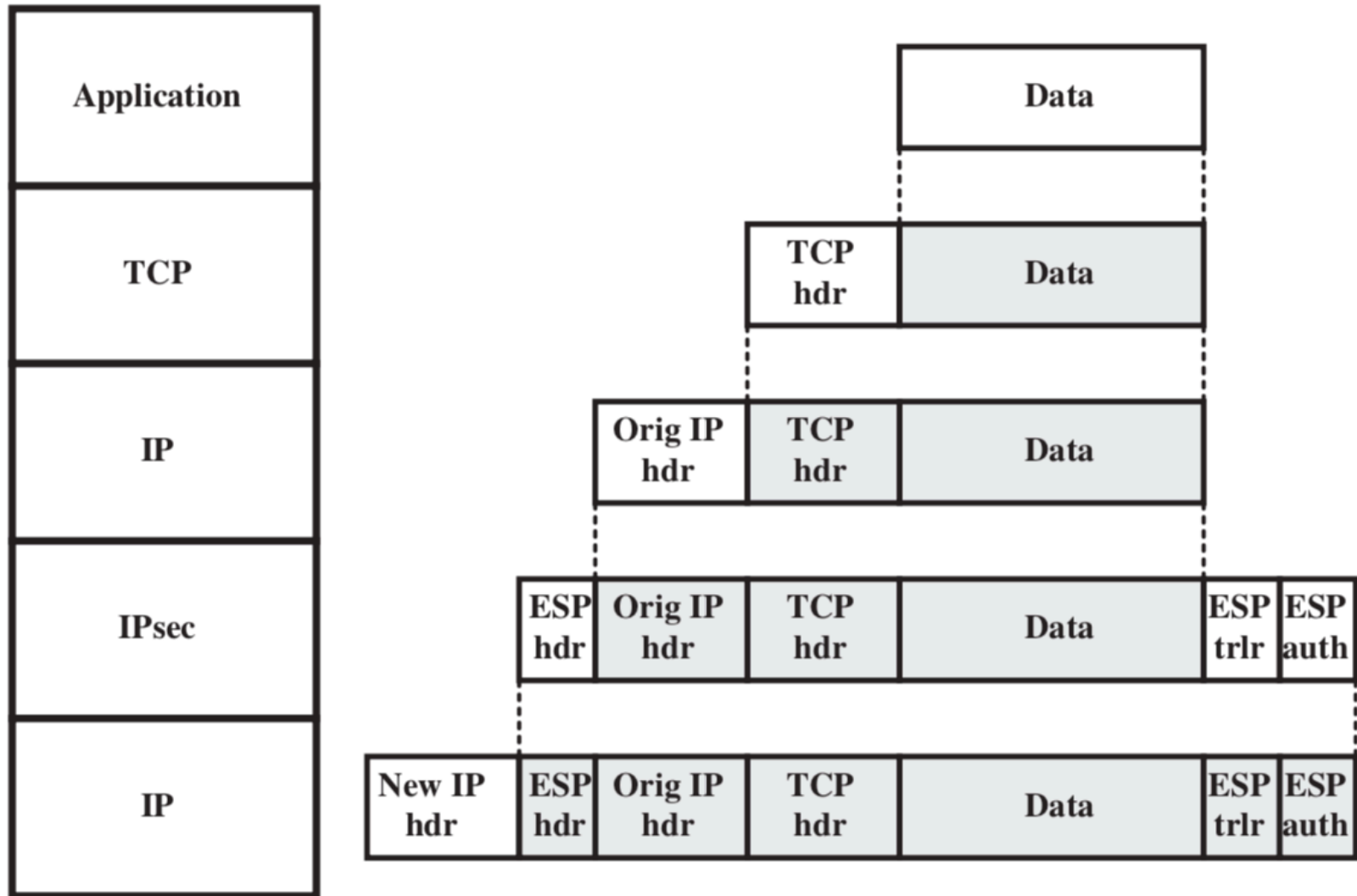
# ESP Encapsulation: Tunnel Mode



# ESP Processing: Transport Mode



# ESP Processing: Tunnel Mode



# IPSec Sub-Protocols and Modes

Support for six different protection behaviours and related SAs (IPSec Security Associations)

|                         | Transport Mode SA  | Tunnel Mode SA  |
|-------------------------|--|---|
| AH                      | Authenticates IP payload and selected portions of IP header and IPv6 extension headers.                                  | Authenticates entire inner IP packet (inner header plus IP payload) plus selected portions of outer IP header and outer IPv6 extension headers. |
| ESP                     | Encrypts IP payload and any IPv6 extension headers following the ESP header.   | Encrypts entire inner IP packet.  |
| ESP with Authentication | Encrypts IP payload and any IPv6 extension headers following the ESP header. Authenticates IP payload but not IP header. | Encrypts entire inner IP packet. Authenticates inner IP packet.   |

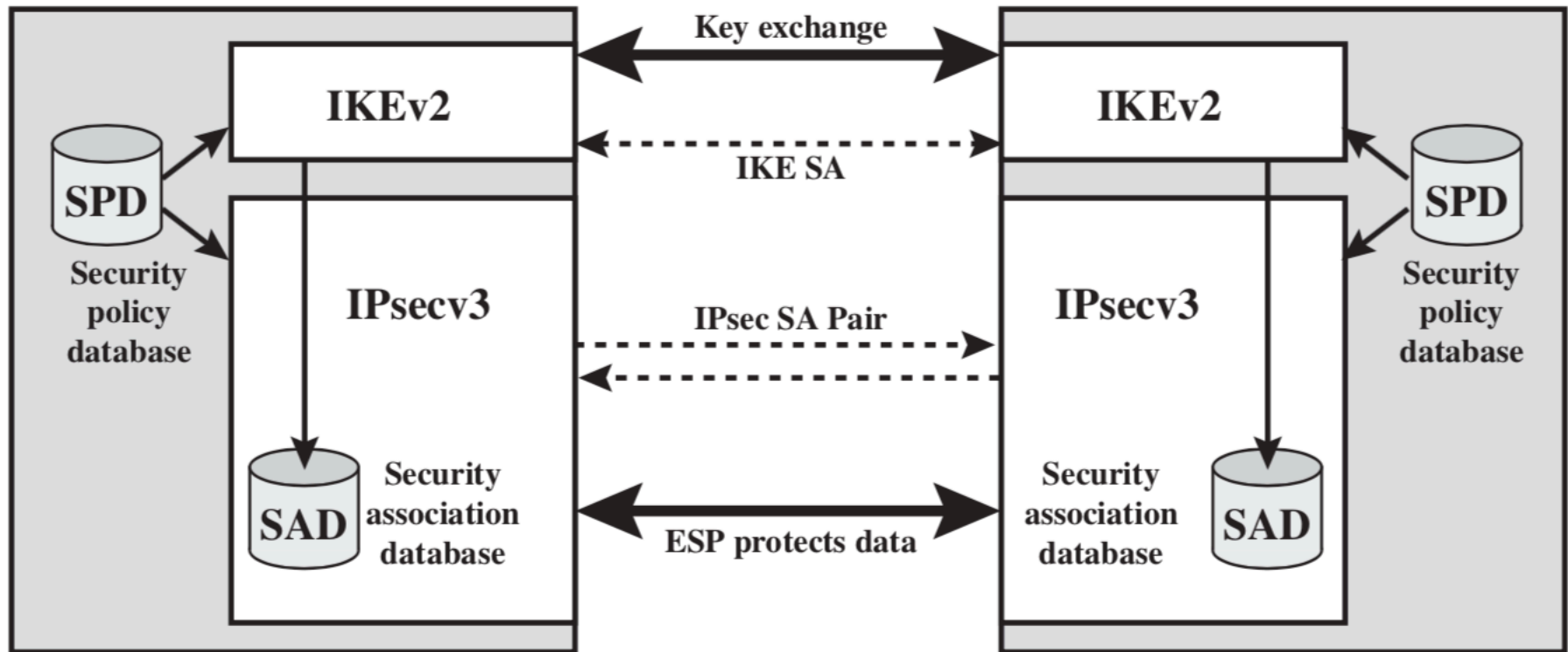
# ESP A&E in Tunnel vs. Transport Mode

- **Transport modes (Host-Based, End-to-End)**
  - Encrypts entire IP packet
  - Limited Traffic Flow Protection. Why and How ?
  - End-to-End Protected Packets
  - No Switches nor LAN-to-LAN MiM on way can examine inner IP header and Payloads ! Issues ? How to address ?
- **Tunnel Mode (Router or FW Intermediation)**
  - Encrypts entire IP packet
  - Limited Traffic Flow Protection. Why and How ?
  - Add new header for "each" next hop
  - But no routers/firewalls on way can examine inner IP header and Payloads ! Issues ? How to address ?
  - Good for Secure VPNs, Gateway to Gateway security or Host-to-Relay Security

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# IPSec operation review



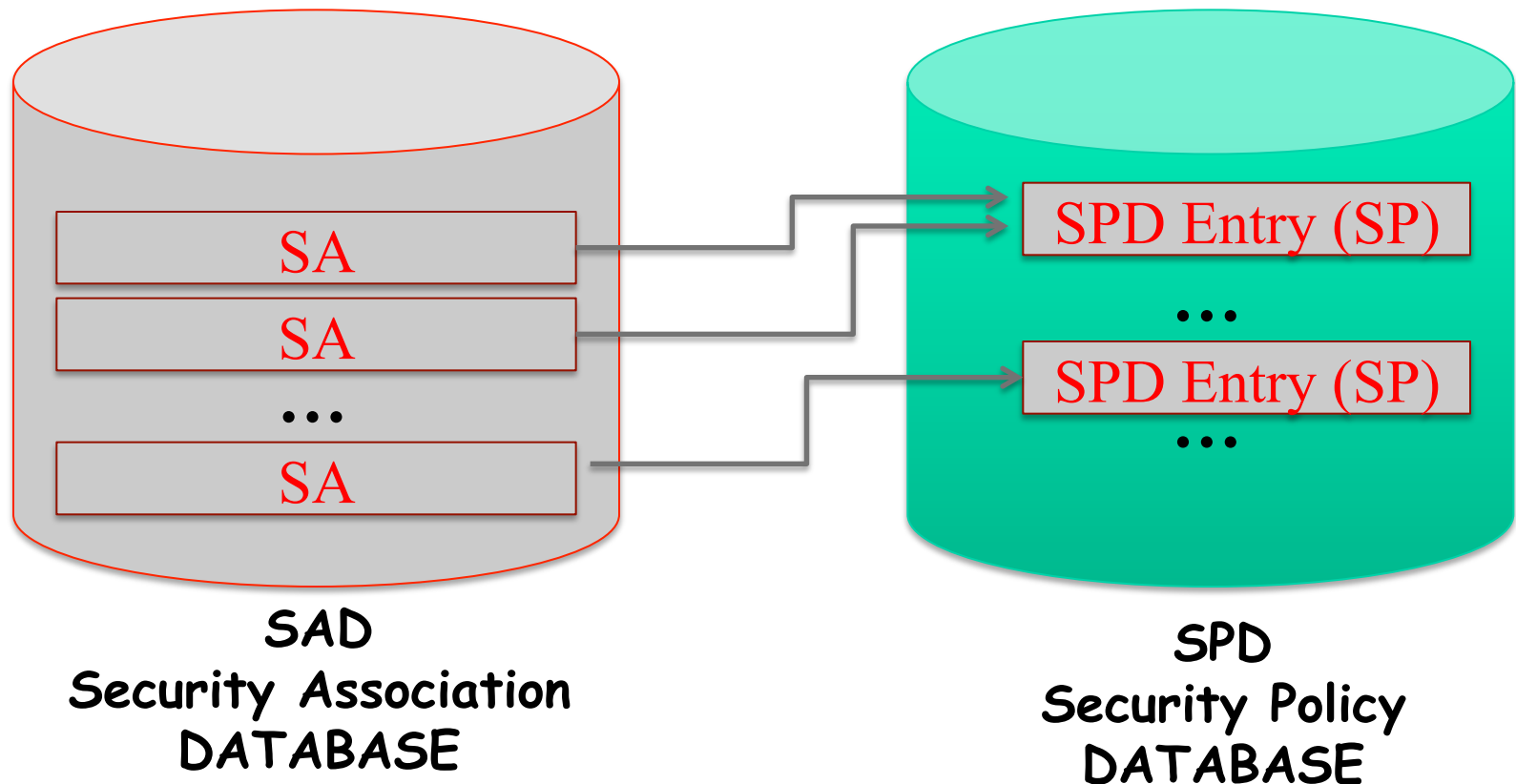


# SADs, SPDs, and SAs

- **Management of Security Associations and Security Policies established and managed in IPSec endpoints**
- Determined by the information managed in **two persistent "Databases"**:
  - **SAD (Security Association Database)**
    - Contains SAs (Security Associations) as entries
    - SA entries correspond to entries in the SPD
  - **SPD (Security Policy Database)**
    - In the SPD, the IPSec policies for each Security Association are established and managed
    - Different SAs may share the same policy

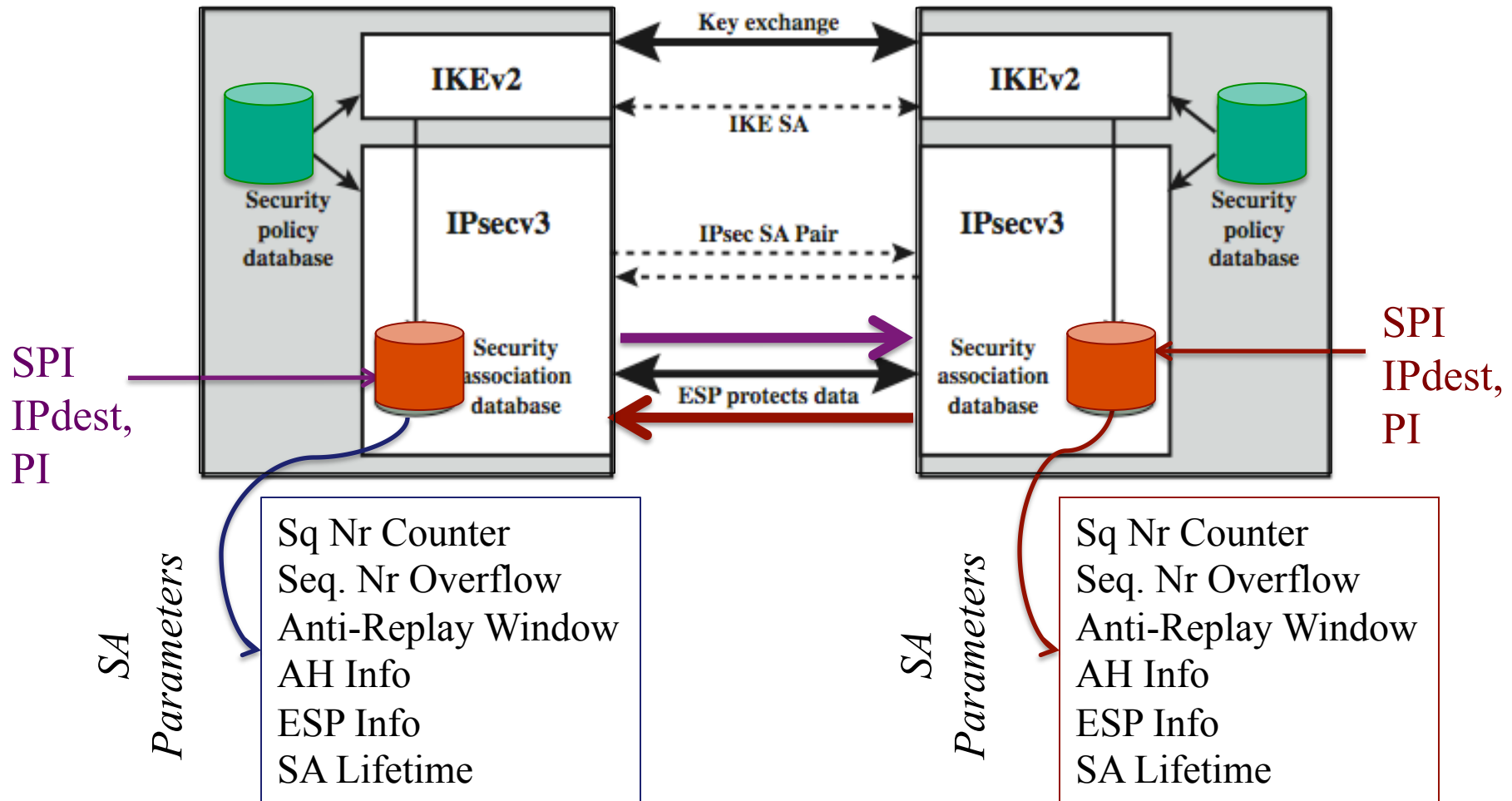
# SADs, SPDs, and SAs

Each **SA**: defines a "One-Way" Relationship related to "One-Way" IP FLOW between an IPSender sender & IPSec receiver that affords security policies for traffic flow in the right sense

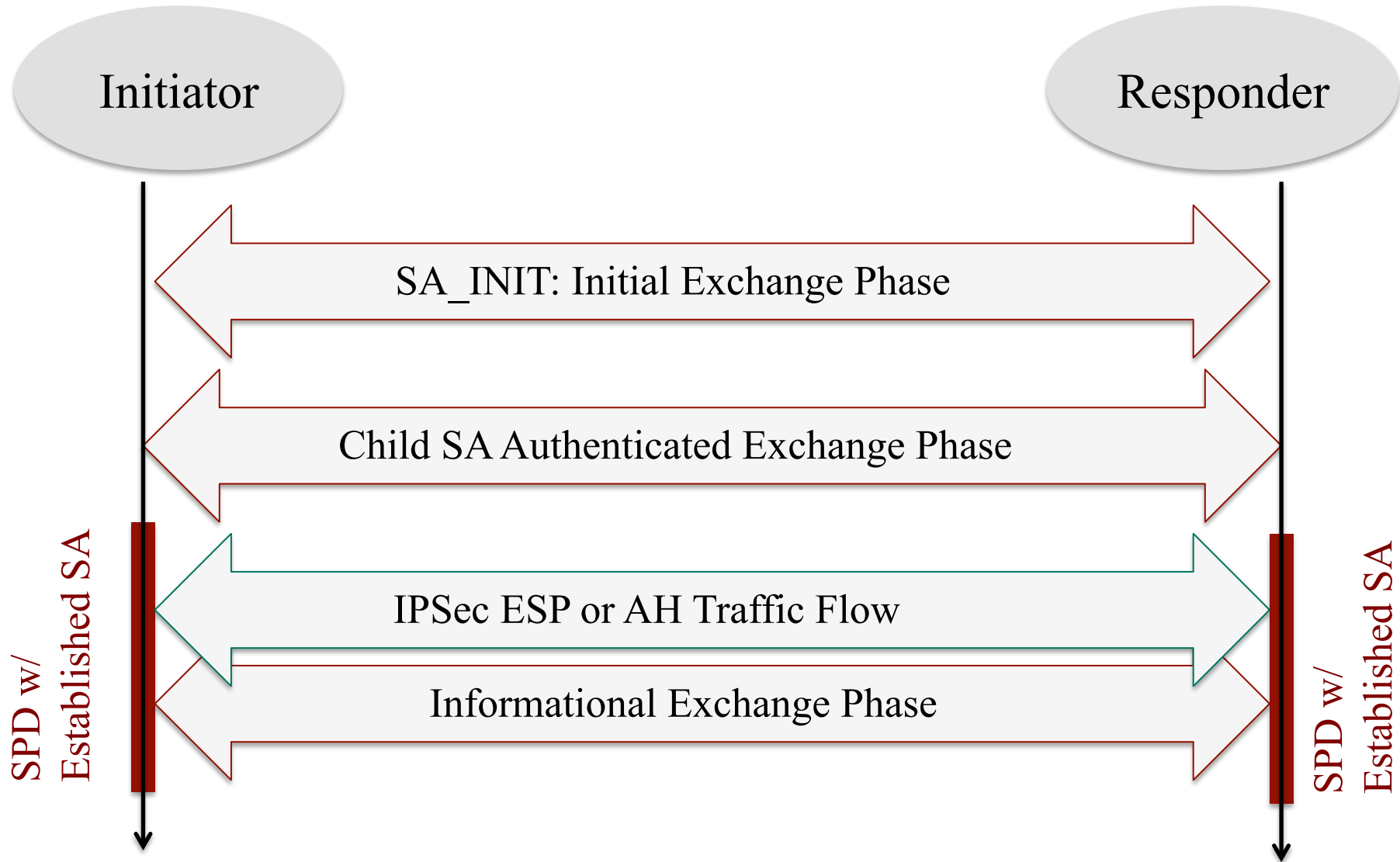


# IPSec security policy management

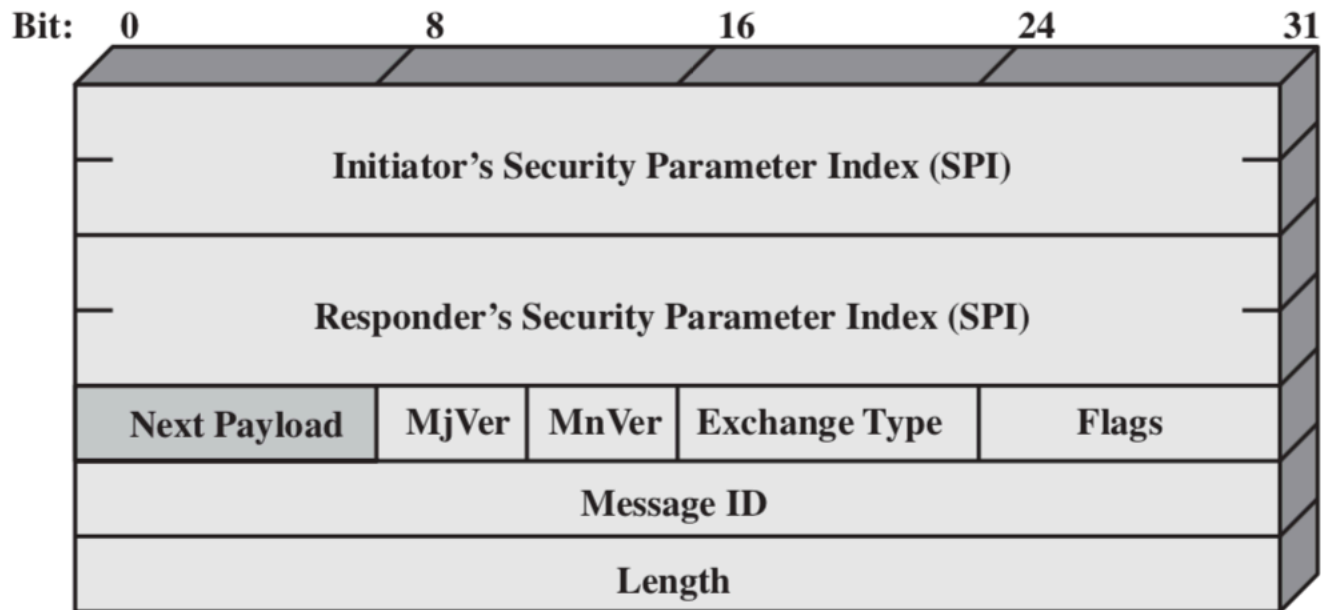
- IPSec architecture: IKEv2 + SPD and SAD
- Unidirectional Security Associations



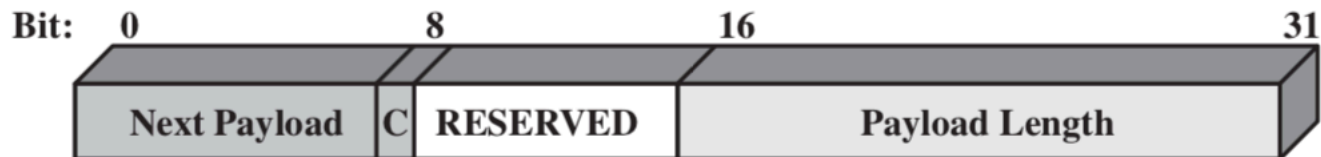
# IKEv2 Exchanges



# IKEv2 and ISAKMP

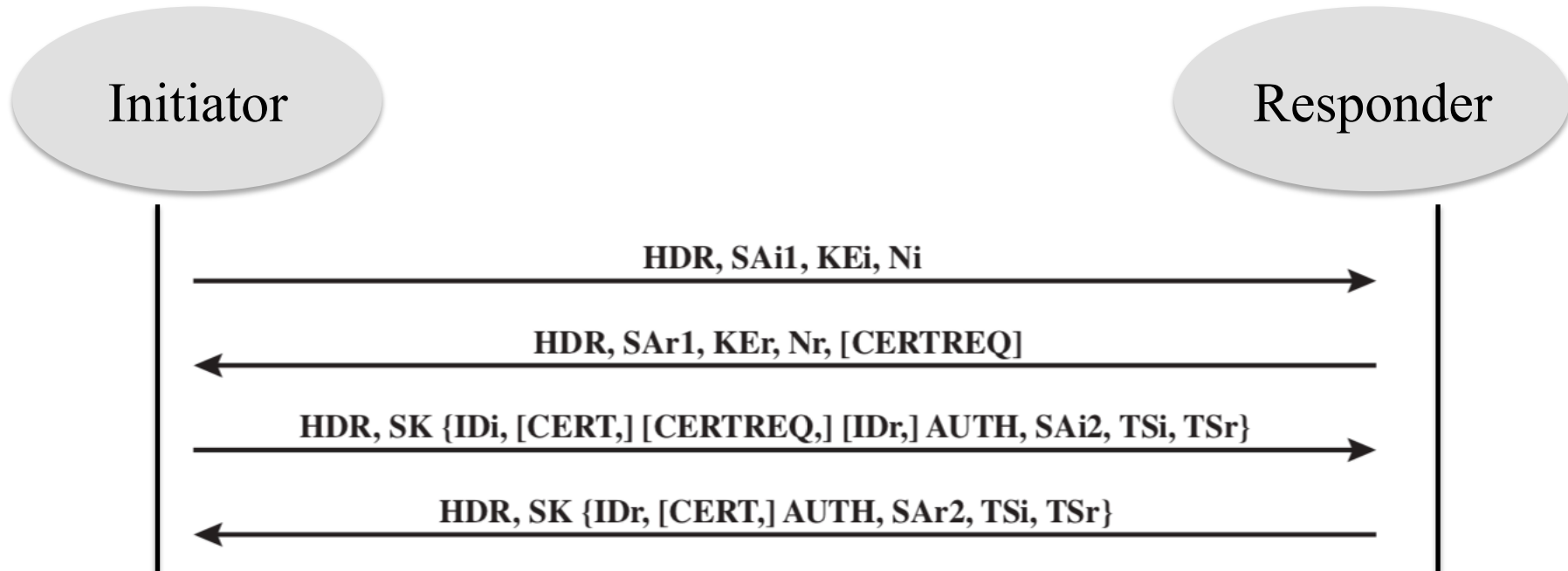


(a) IKE header



(b) Generic Payload header

# IKEv2 Exchanges: SA\_INIT Phase



HDR = IKE header

SAx1 = offered and chosen algorithms, DH group

KEx = Diffie-Hellman public key

Nx = nonces

CERTREQ = Certificate request

IDx = identity

CERT = certificate

SK {...} = MAC and encrypt

AUTH = Authentication

SAx2 = algorithms, parameters for IPsec SA

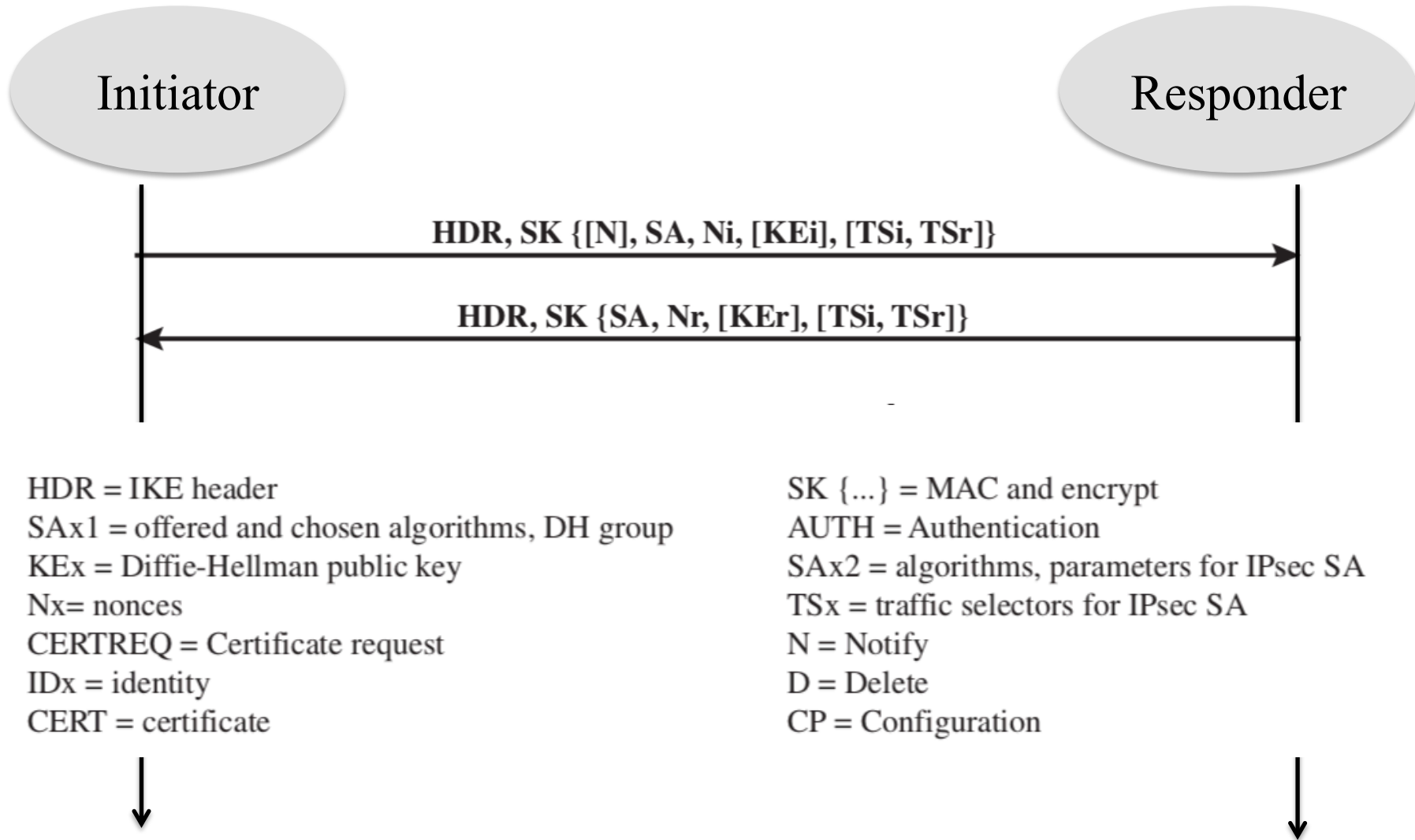
TSx = traffic selectors for IPsec SA

N = Notify

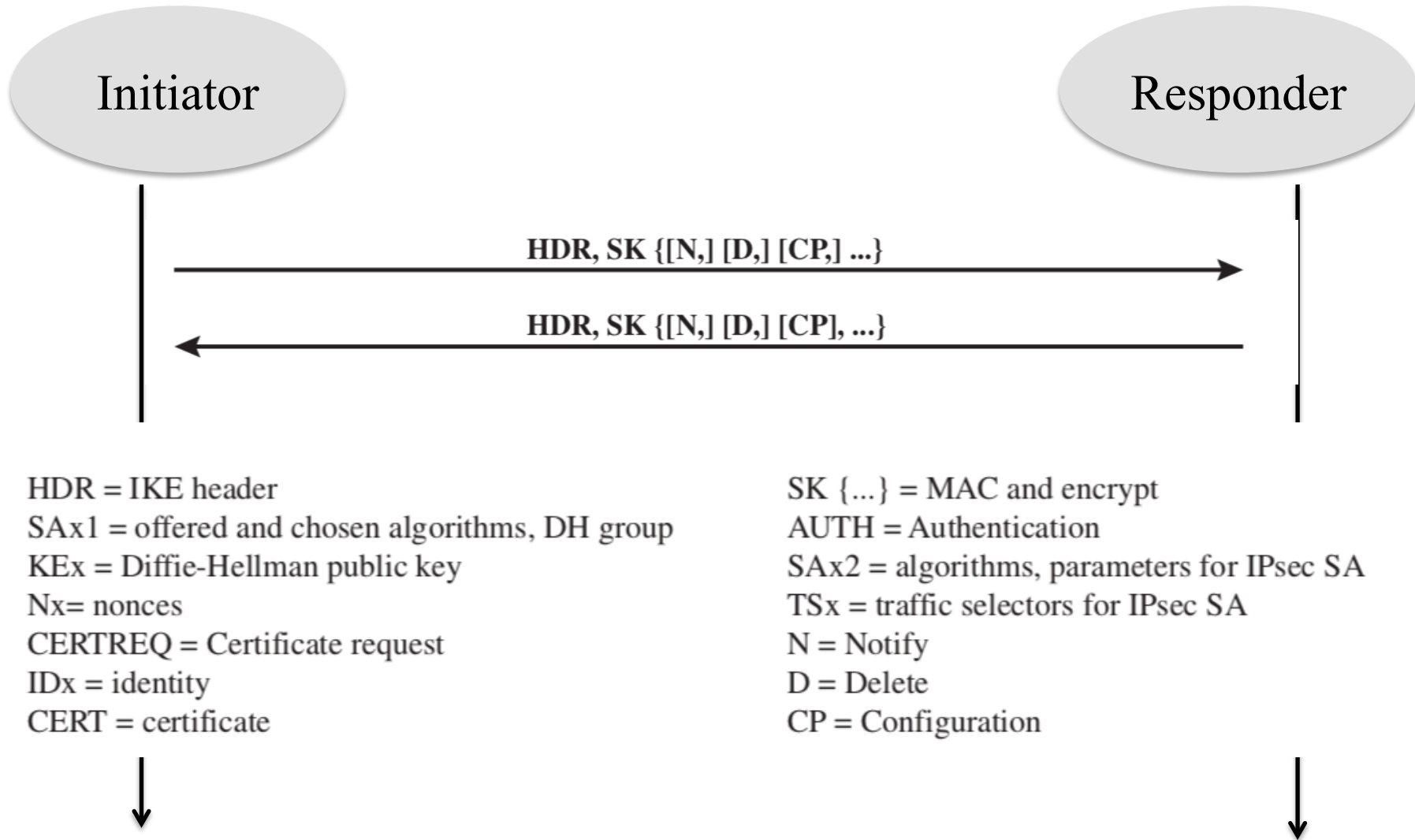
D = Delete

CP = Configuration

# IKEv2 Exchanges: Child SA Phase



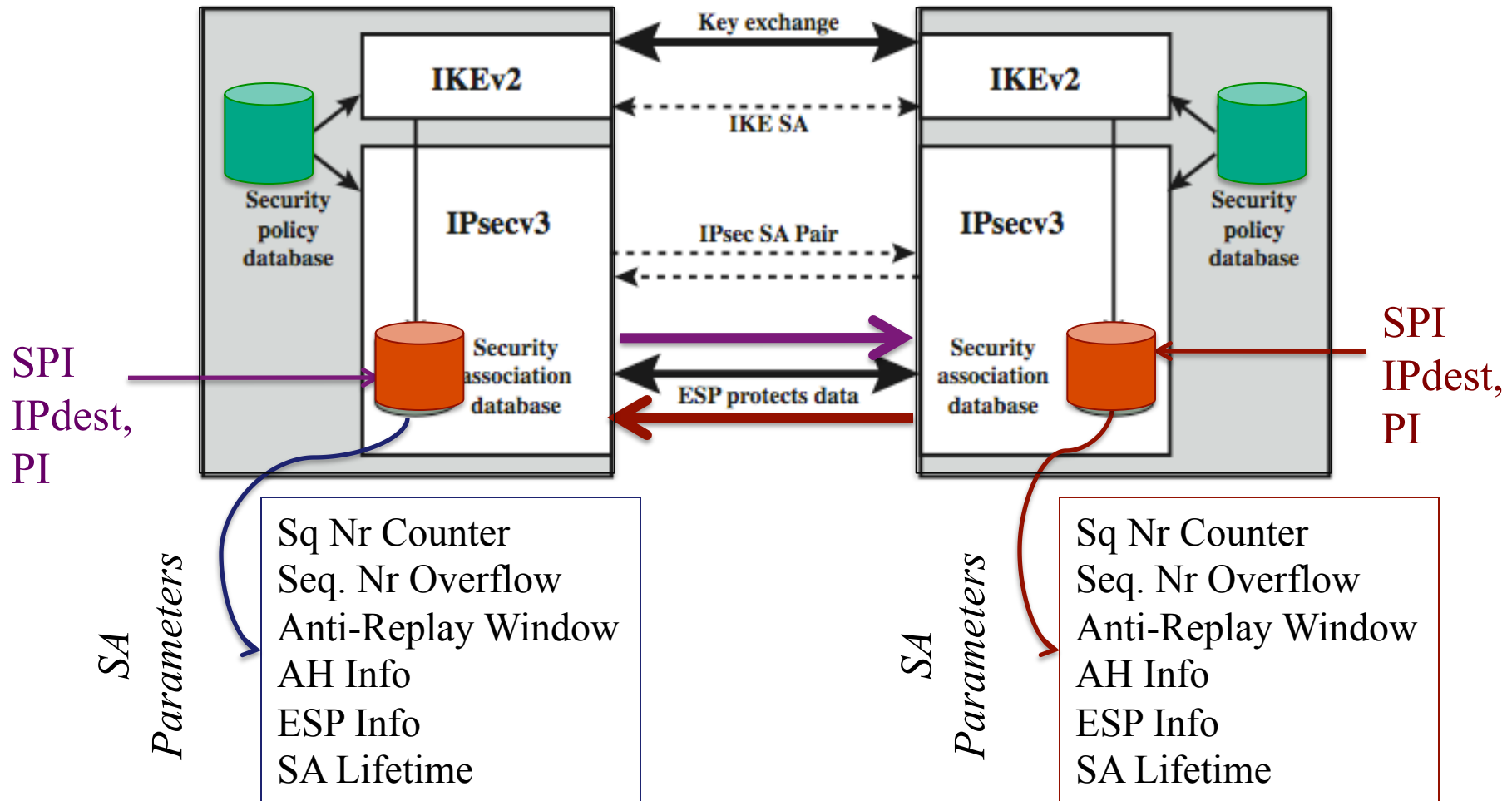
# IKEv2 Exchanges: Informational Phase



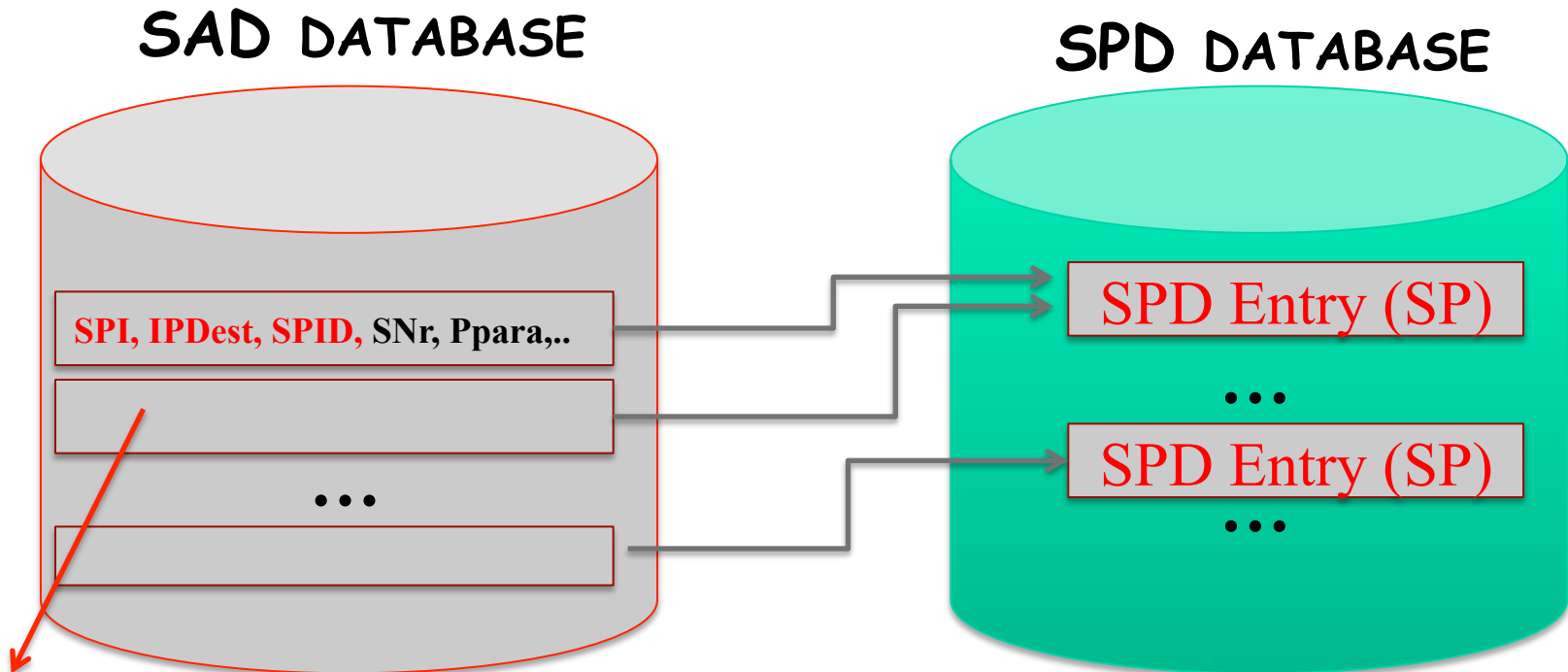


# IPSec security policy management

- IPSec architecture: IKEv2 + SPD and SAD
- Unidirectional Security Associations



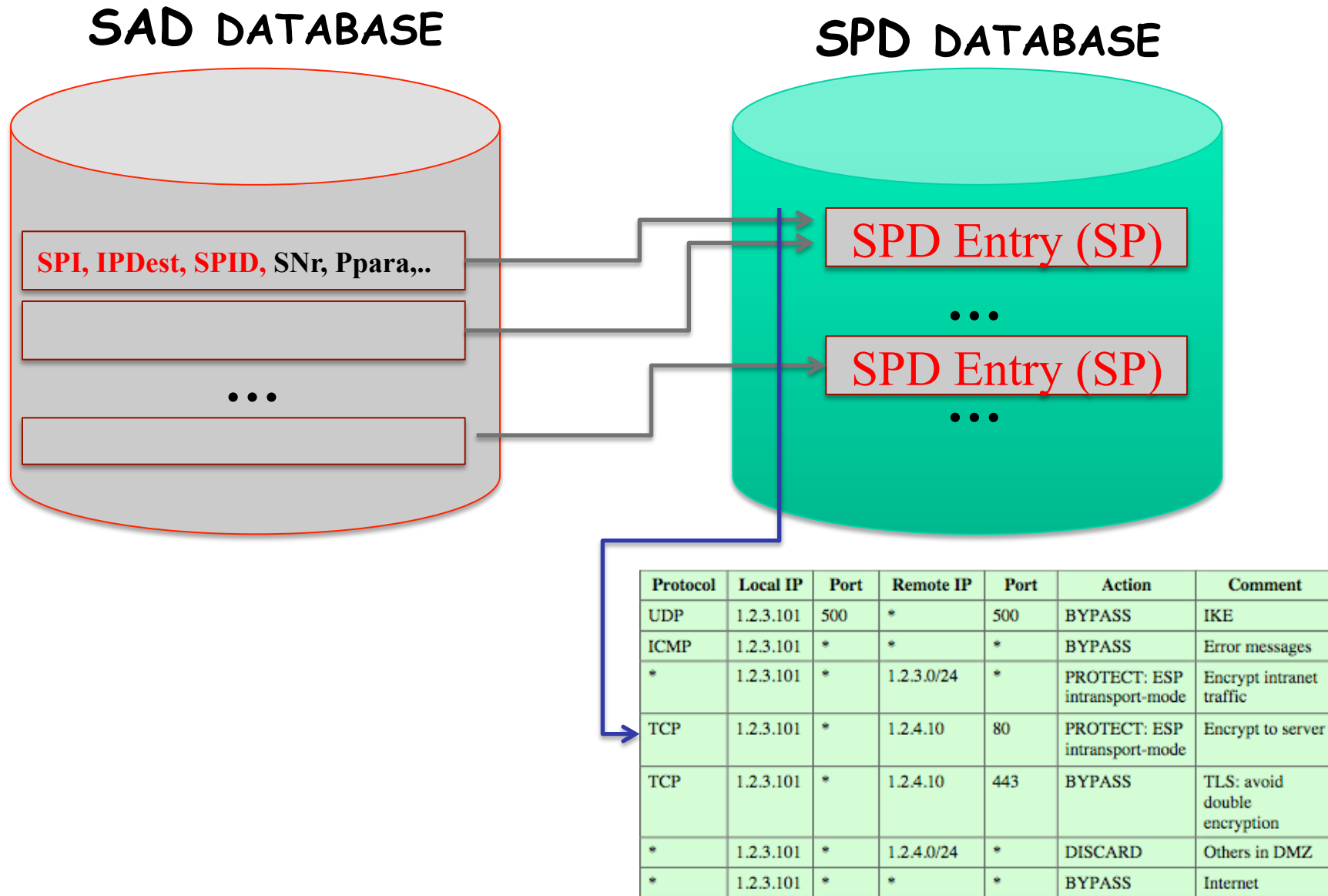
# SADs, SPDs, SAs (and info in SAs)



- An SA is defined by 3 parameters:
  - SPI: Security Parameters Index (SPI)**  
Identifier travelling in the IPSec packet headers
  - IP Destination Address**
  - Security Protocol Identifier (SPID)**
- ... and additionally some other parameters  
**Seq nr., AH & ESP info, SA lifetime, etc**

Sq Nr Counter  
Seq. Nr Overflow  
Anti-Replay Window  
AH Info  
ESP Info  
SA Lifetime

# SADs, SPDs, SAs (and info in SAs)

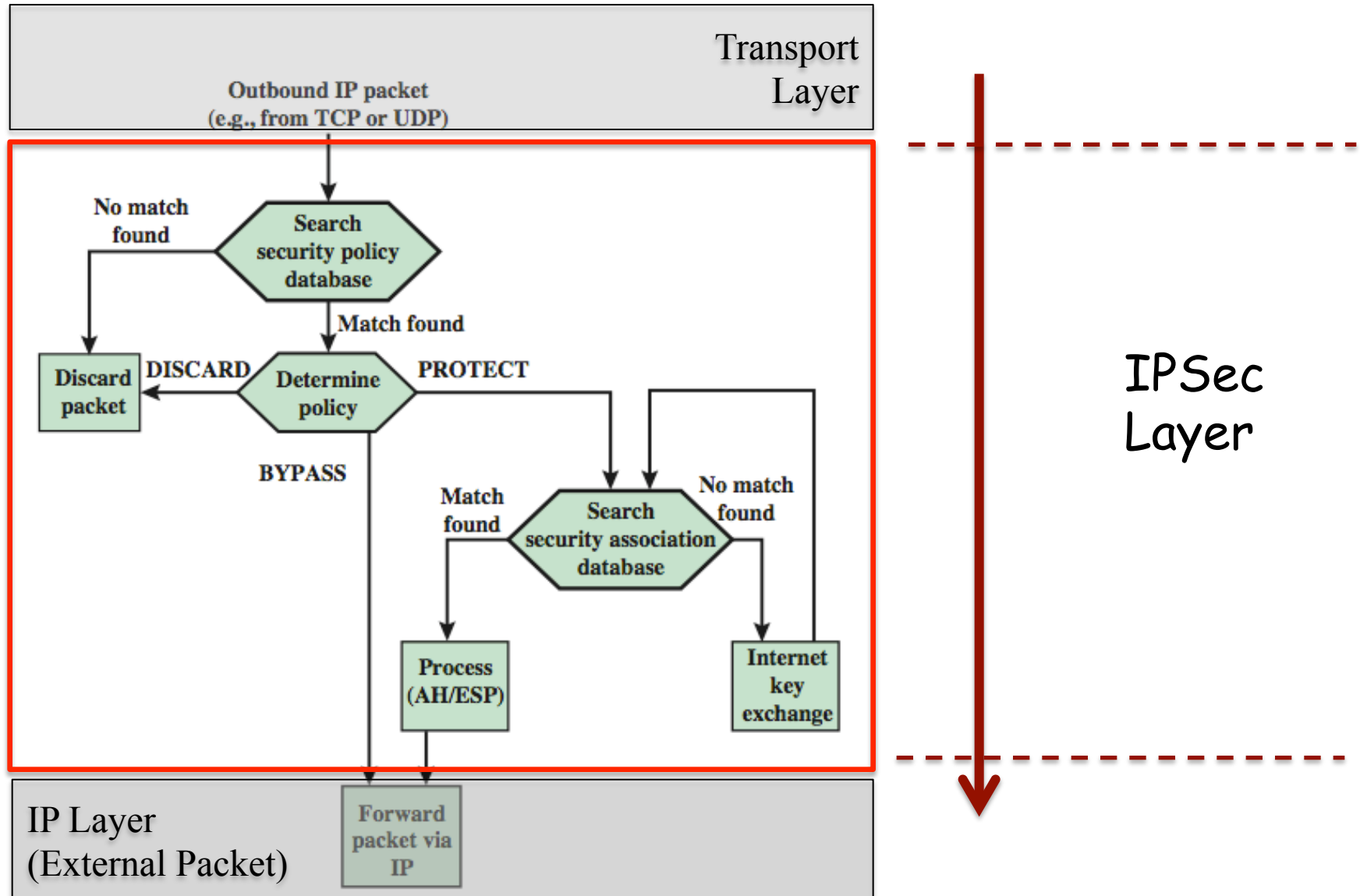


# Security Policy Database Implementation

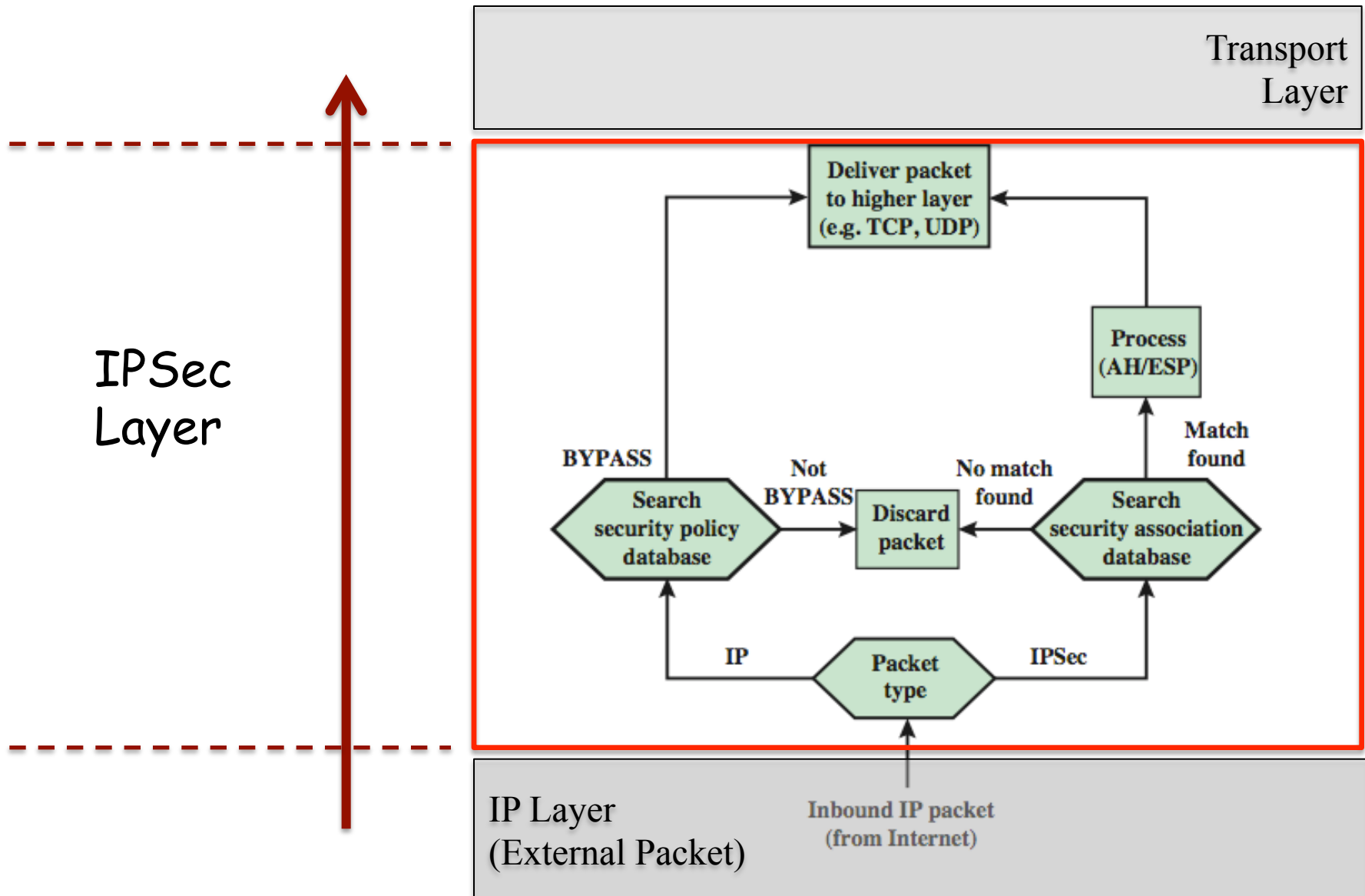
- Relates IP traffic to specific SAs
  - Match subset of IP traffic to the relevant SA
  - Use Selectors to filter outgoing traffic to map
    - Different selectors can be used (see bibliography)
  - Based on: Local & Remote IP addresses, Next layer Protocol, Name, Local & Remote Ports

| Protocol | Local IP  | Port | Remote IP  | Port | Action                           | Comment                            |
|----------|-----------|------|------------|------|----------------------------------|------------------------------------|
| UDP      | 1.2.3.101 | 500  | *          | 500  | BYPASS                           | IKE                                |
| ICMP     | 1.2.3.101 | *    | *          | *    | BYPASS                           | Error messages                     |
| *        | 1.2.3.101 | *    | 1.2.3.0/24 | *    | PROTECT: ESP<br>intransport-mode | Encrypt intranet<br>traffic        |
| TCP      | 1.2.3.101 | *    | 1.2.4.10   | 80   | PROTECT: ESP<br>intransport-mode | Encrypt to server                  |
| TCP      | 1.2.3.101 | *    | 1.2.4.10   | 443  | BYPASS                           | TLS: avoid<br>double<br>encryption |
| *        | 1.2.3.101 | *    | 1.2.4.0/24 | *    | DISCARD                          | Others in DMZ                      |
| *        | 1.2.3.101 | *    | *          | *    | BYPASS                           | Internet                           |

# IPSec: Processing of Outbound Packets



# IPSec: Processing of Inbound Packets

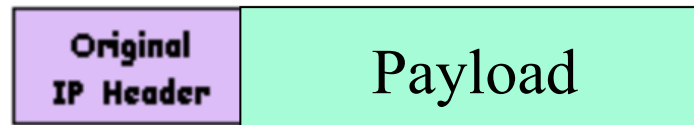


# Roadmap / Outline

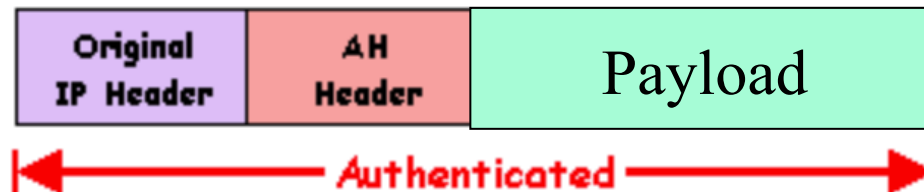
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  - IPSec Security Associations
  - IPSec Security protocols and encapsulation
  - Anti-Replaying Service
  - Security and Flexibility: Combination of Security Associations
  - IPSec crypto-suite
  - Key Management Schemes

# AH/IP in Transport and Tunnel modes

Before applying AH



IPSec Transport Mode: After applying AH



Transport Mode

IPSec Tunnel Mode: After applying AH

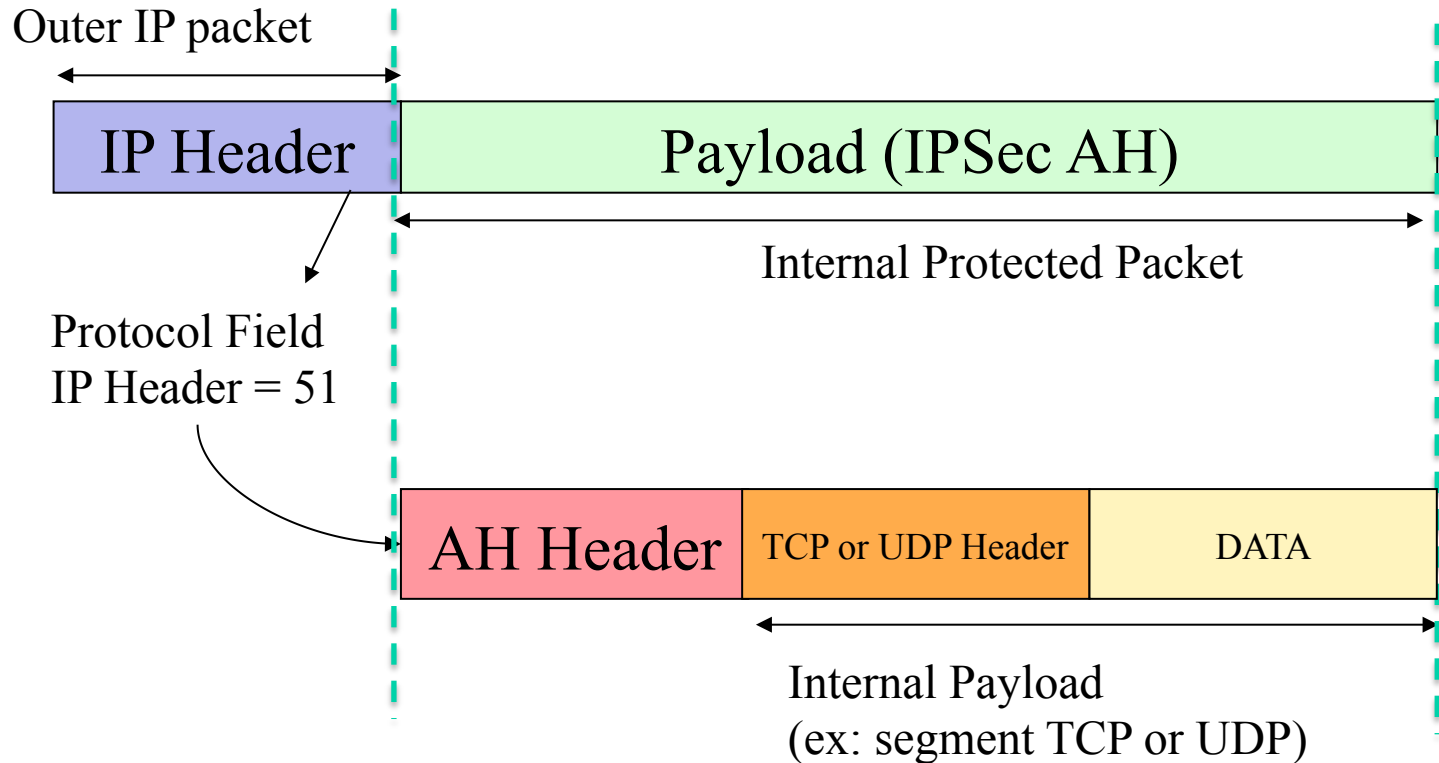


Tunnel Mode

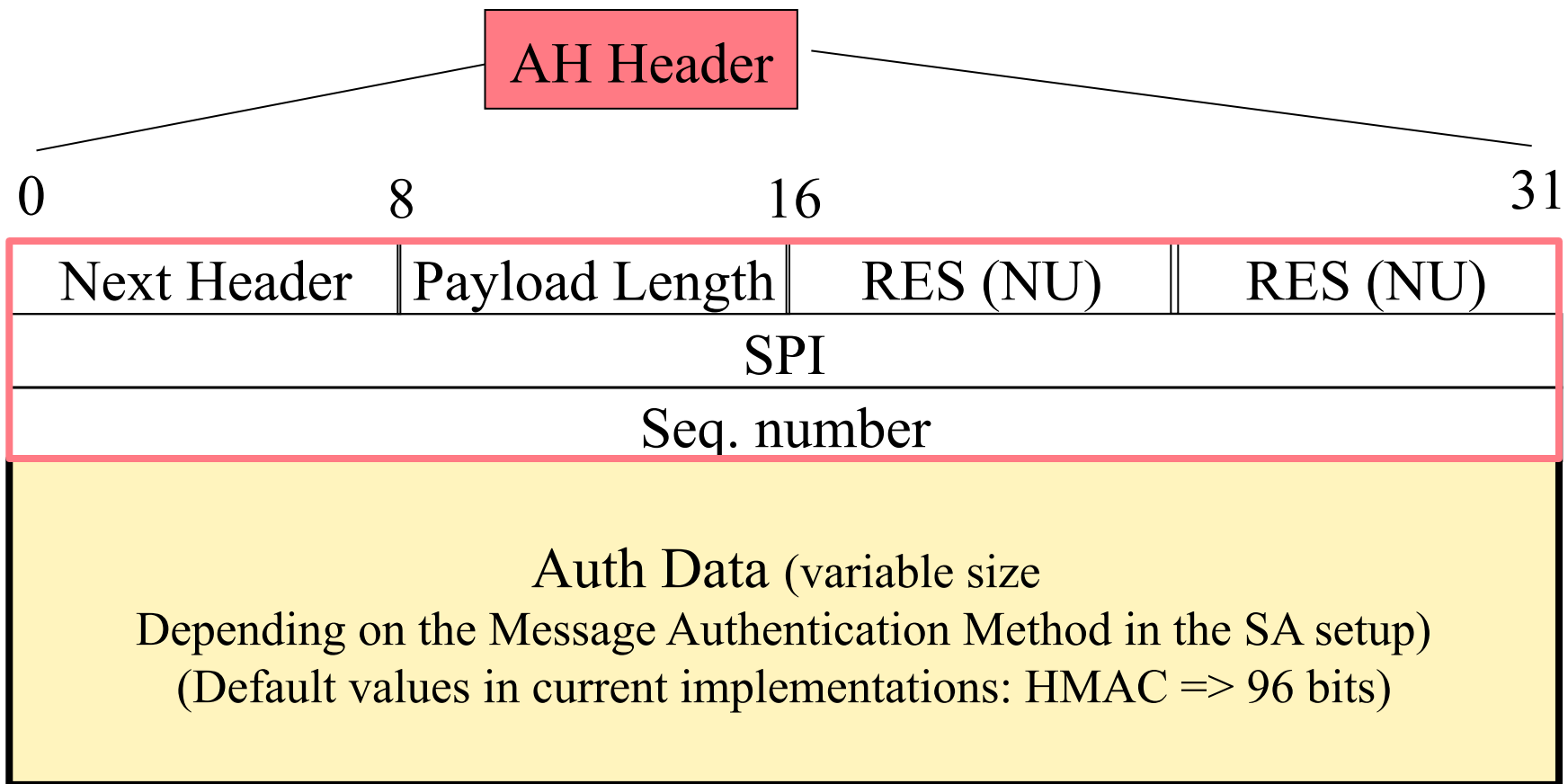


# AH (Authentication Header Protocol)

Encapsulation:



# AH Protocol



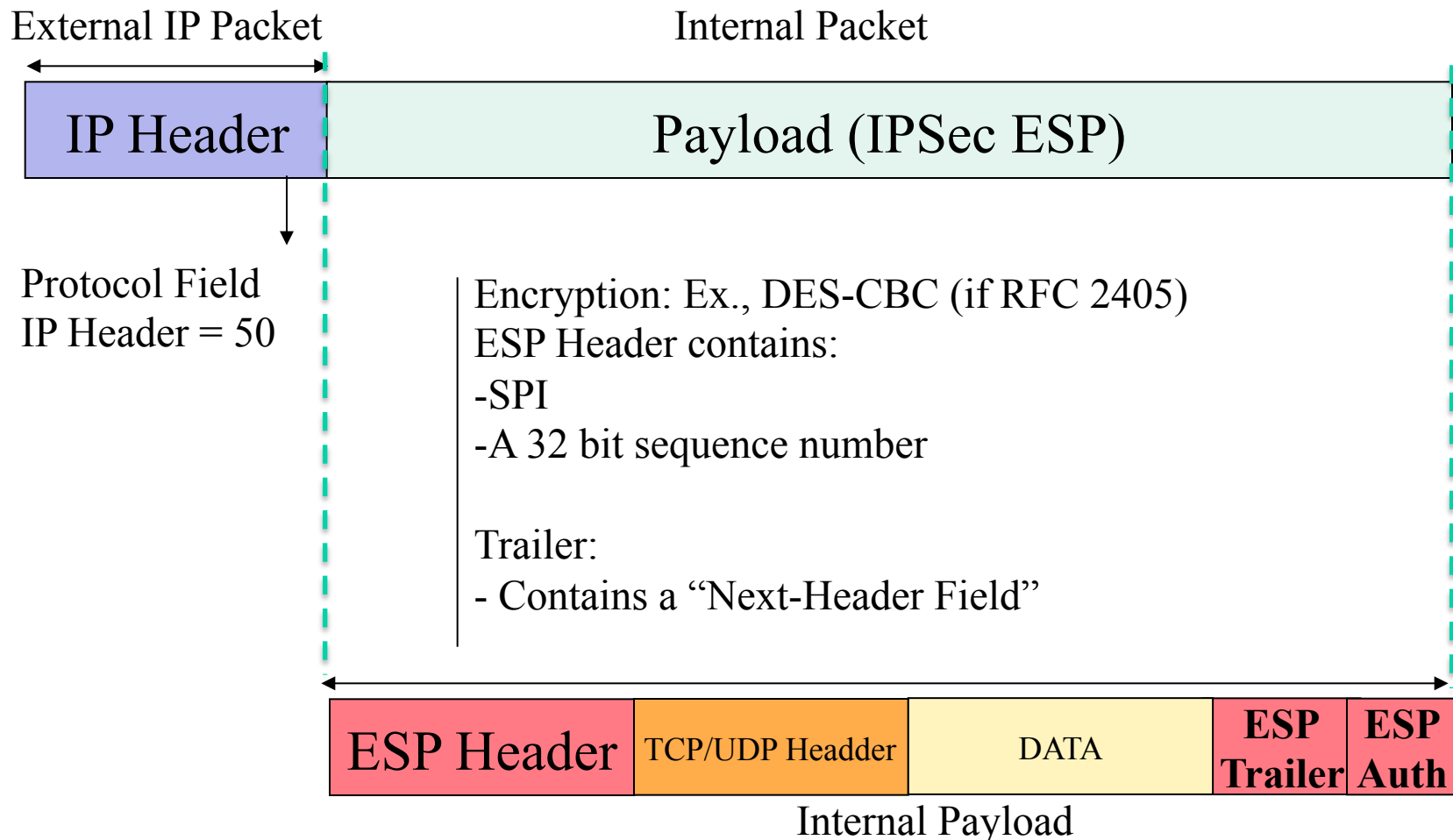
Auth Data (described in RFC 2402 ... => RFC 4302)  
Contains an ICV (Integrity Check Value) computed as a  
96 bit MAC (HMAC-MD5-96, ou HMAC-SHA-1.96)

# Example of AH encapsulation (Wireshark)

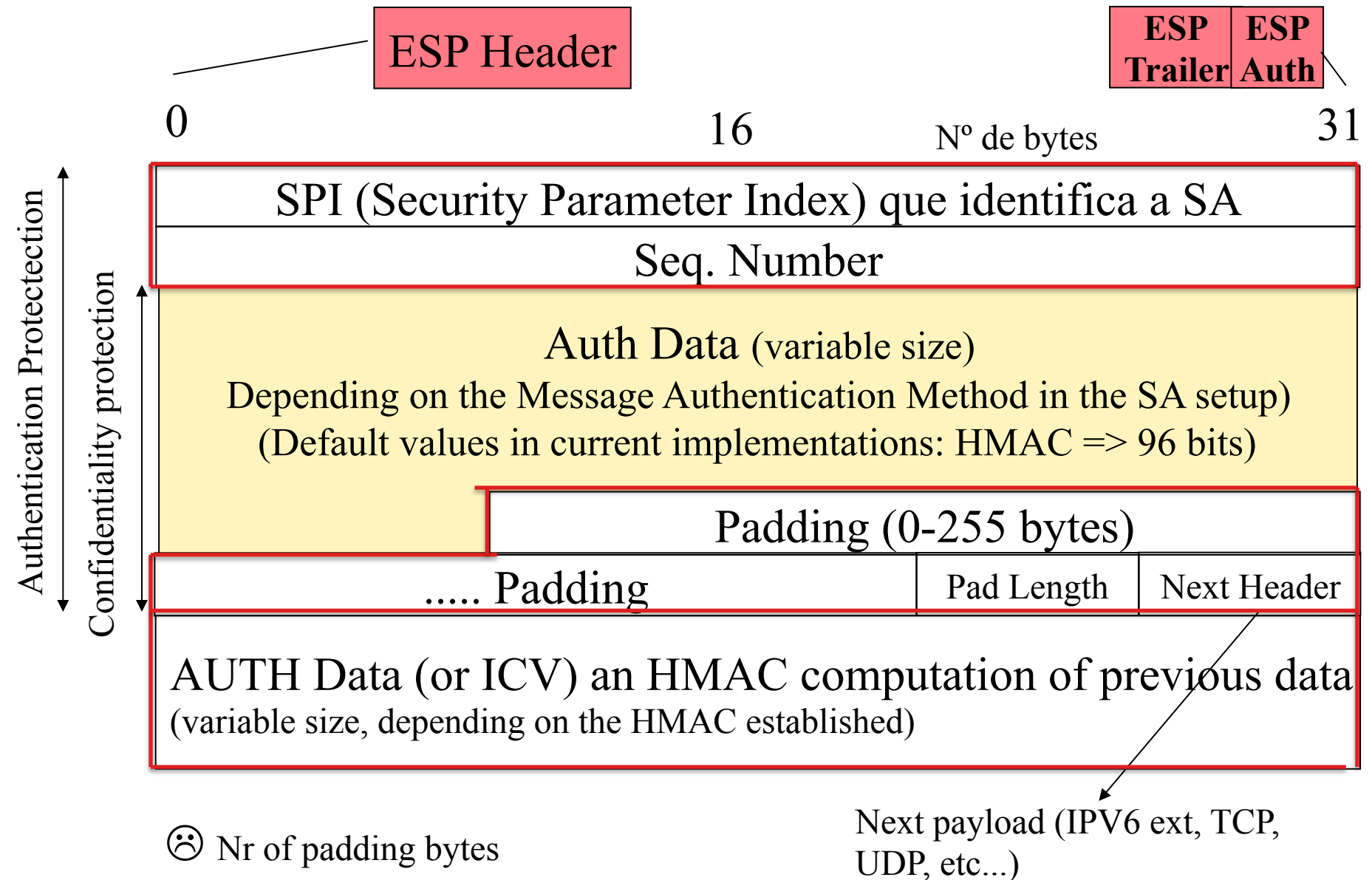
```
Frame 1: 158 bytes on wire (1264 bits), 158 bytes captured (1264 bits) on interface 0
Ethernet II, Src: Cisco_8b:36:d0 (00:1d:a1:8b:36:d0), Dst: Cisco_ed:7a:f0 (00:17:5a:ed:7a:f0)
Internet Protocol Version 4, Src: 192.168.12.1 (192.168.12.1), Dst: 192.168.12.2 (192.168.12.2)
  Version: 4
  Header Length: 20 bytes
  Differentiated Services Field: 0x00 (DSCP 0x00: Default; ECN: 0x00: Not-ECT (Not ECN-Capable Transport))
  Total Length: 144
  Identification: 0x0215 (533)
  Flags: 0x00
  Fragment offset: 0
  Time to live: 255
  Protocol: Authentication Header (51)
  Header checksum: 0x1fd2 [validation disabled]
  Source: 192.168.12.1 (192.168.12.1)
  Destination: 192.168.12.2 (192.168.12.2)
  [Source GeoIP: Unknown]
  [Destination GeoIP: Unknown]
Authentication Header
  Next Header: IP (0x04)
  Length: 24
  AH SPI: 0x646adc80
  AH Sequence: 5
  AH ICV: 606d214066853c0390cfe577
Internet Protocol Version 4, Src: 192.168.12.1 (192.168.12.1), Dst: 192.168.12.2 (192.168.12.2)
  Version: 4
  Header Length: 20 bytes
  Differentiated Services Field: 0x00 (DSCP 0x00: Default; ECN: 0x00: Not-ECT (Not ECN-Capable Transport))
  Total Length: 100
  Identification: 0x003c (60)
  Flags: 0x00
    0... .... = Reserved bit: Not set
    .0.. .... = Don't fragment: Not set
    ..0. .... = More fragments: Not set
  Fragment offset: 0
  Time to live: 255
  Protocol: ICMP (1)
  Header checksum: 0x2209 [validation disabled]
  Source: 192.168.12.1 (192.168.12.1)
  Destination: 192.168.12.2 (192.168.12.2)
  [Source GeoIP: Unknown]
  [Destination GeoIP: Unknown]
Internet Control Message Protocol
```

# ESP - Encapsulation Security Payload

- More complex than AH (more overhead but more security concerns)



# ESP



# ESP (wireshark trace example)

```
+ Frame 2: 182 bytes on wire (1456 bits), 182 bytes captured (1456 bits) on interface 0
+ Ethernet II, Src: Cisco_8b:36:d0 (00:1d:a1:8b:36:d0), Dst: Cisco_ed:7a:f0 (00:17:5a:ed:7a:f0)
- Internet Protocol Version 4, Src: 192.168.12.1 (192.168.12.1), Dst: 192.168.12.2 (192.168.12.2)
  Version: 4
  Header Length: 20 bytes
  + Differentiated Services Field: 0x00 (DSCP 0x00: Default; ECN: 0x00: Not-ECT (Not ECN-Capable Transport))
  Total Length: 168
  Identification: 0x023e (574)
  + Flags: 0x00
  Fragment offset: 0
  Time to live: 255
  Protocol: Encap Security Payload (50)
  + Header checksum: 0x1f92 [validation disabled]
  Source: 192.168.12.1 (192.168.12.1)
  Destination: 192.168.12.2 (192.168.12.2)
  [Source GeoIP: Unknown]
  [Destination GeoIP: Unknown]
- Encapsulating Security Payload
  ESP SPI: 0x8bb181a7 (2343666087)
  ESP Sequence: 5
```

# Encryption & Authentication Algorithms & Padding Processing

- ESP can encrypt payload data, padding, pad length, and next header fields
  - If needed have IV at start of payload data
  - Provides message content confidentiality, data origin authentication, connectionless integrity, an anti-replay service, limited traffic flow confidentiality
- ESP can have optional ICV for integrity
  - Is computed after encryption is performed
- ESP uses padding
  - To expand plaintext to required length
  - To align pad length and next header fields
  - To provide partial traffic flow confidentiality

# Ex: Secure VPN access (fct.unl.pt)

- VPN Service <https://www.div-i.fct.unl.pt/servicos/vpn>
- Available by using VPN Server: vpn.fct.unl.pt
- Can use VPN Clients
  - Checkpoint Endpoint Security SW (MacOS, Windows)
- UDP-enabled ISAKMP and ESP Encapsulation
  - Can use for example Wireshark for Traffic Inspection and Analysis



# Roadmap / Outline

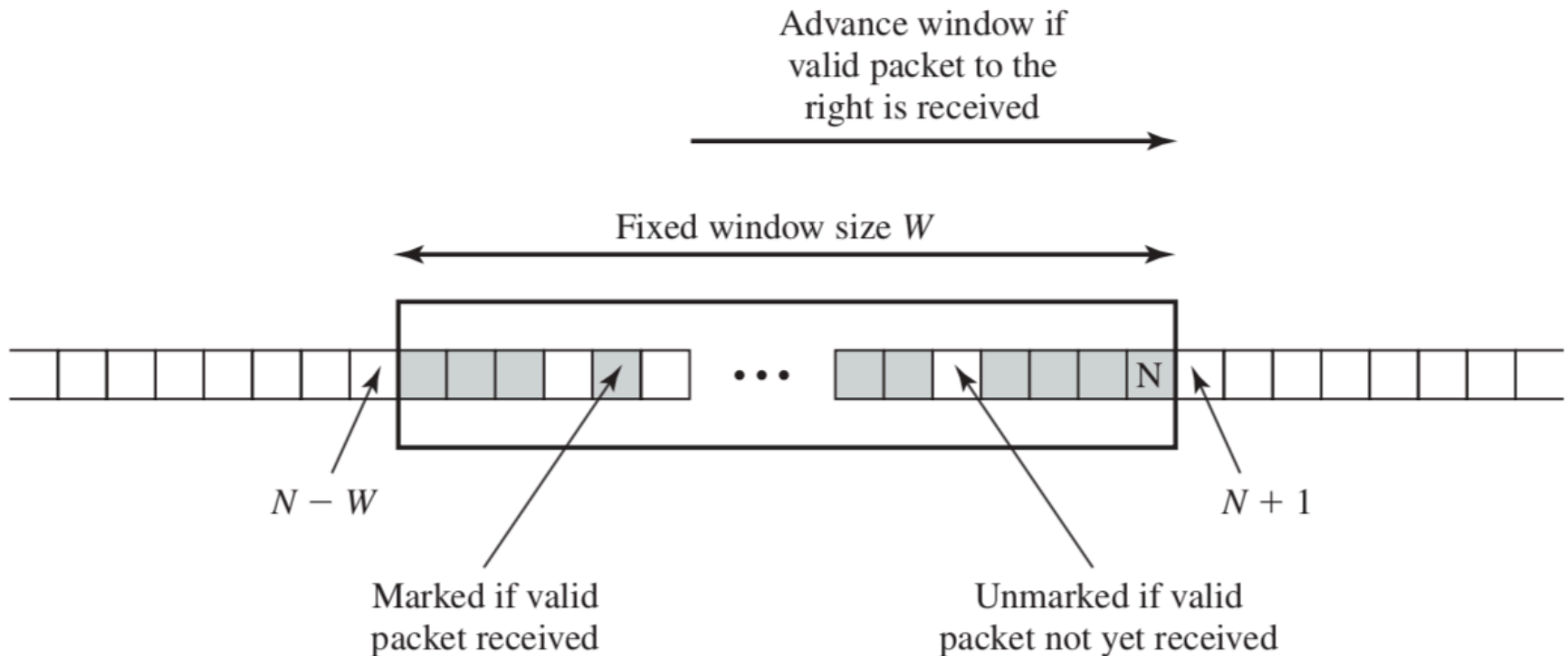
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  - Anti-Replaying Service
  - Security and Flexibility: Combination of Security Associations
  - IPSec crypto-suite
  - Key Management Schemes

# Anti-Replay Service

- Replay: what if attacker resends a copy of an authenticated packet
- IPSec Countermeasure: Use of a sequence number (SN) to thwart the attack
  - Sender initializes sequence number to 0 when a new SA is established
    - Increment SN for each packet
    - Must not exceed limit of  $2^{32} - 1$
  - Receiver then accepts packets with seq numbers within a window of  $(N - W + 1)$
- But ... What what if packets arrive out of order ?

# Out-of-Order packets and control

- IPSec solution: Sliding window control



# Processing of anti-replay windows

- If received packet falls within the window and is new
  - Check MAC.
  - If the packet is authenticated, the corresponding slot in the window is marked (valid packet)
- If received packet is to the right of the window and is new
  - Check MAC.
  - If the packet is authenticated, the window is advanced
  - so that this sequence number is the right edge of the window, and the corresponding slot in the window is marked (valid packet).
- If received packet is to the left of the window or if authentication fails
  - the packet is discarded; generates an auditable event (logging).

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# Combining Security Associations

- SA's can implement either AH or ESP (not both)
- But we can implement both
- Can combine SA's for flexibility vs. security tradeoffs: enforcement of **Security Association Bundles (SABs)**
- A SAB may terminate at different or same endpoints
  - Combination in different ways, by
    - **Transport adjacency**
    - **Iterated tunneling**
- Combining authentication & encryption w/ different IPSec sub-protocols
  - ESP with authentication
  - Bundled inner ESP & outer AH
  - Bundled inner transport & outer ESP

# Example (wireshark traffic: ESP/AH/IP)

- Frame 5: 178 bytes on wire (1424 bits), 178 bytes captured (1424 bits) on interface 0
- Ethernet II, Src: Cisco\_8b:36:d0 (00:1d:a1:8b:36:d0), Dst: Cisco\_ed:7a:f0 (00:17:5a:ed:7a:f0)
- Internet Protocol Version 4, Src: 192.168.12.1 (192.168.12.1), Dst: 192.168.12.2 (192.168.12.2)
  - Version: 4
  - Header Length: 20 bytes
  - Differentiated Services Field: 0x00 (DSCP 0x00: Default; ECN: 0x00: Not-ECT (Not ECN-Capable Transport))
  - Total Length: 164
  - Identification: 0x0056 (86)
  - Flags: 0x00
  - Fragment offset: 0
  - Time to live: 255
  - Protocol: Authentication Header (51)
  - Header checksum: 0x217d [validation disabled]
  - Source: 192.168.12.1 (192.168.12.1)
  - Destination: 192.168.12.2 (192.168.12.2)
  - [Source GeoIP: Unknown]
  - [Destination GeoIP: Unknown]
- Authentication Header
  - Next Header: Encap Security Payload (0x32)
  - Length: 24
  - AH SPI: 0xa90dc9aa
  - AH Sequence: 1
  - AH ICV: 157ba6cc340b1a30049ea551
- Encapsulating Security Payload
  - ESP SPI: 0xd2264f7a (3525726074)
  - ESP Sequence: 1

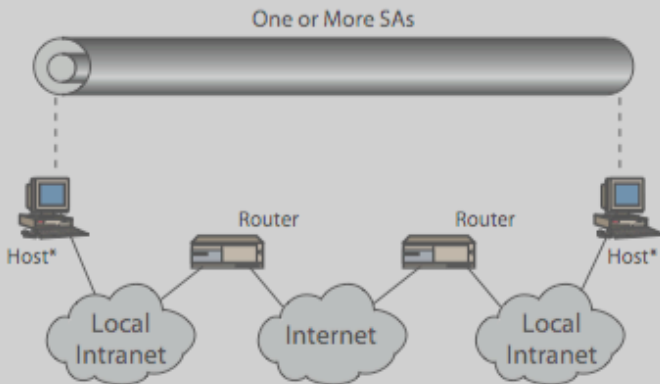
# More on flexibility: SABs vs. Modes

- To maximize tradeoffs, the combination can be done involving:
  - SA bundles with different policies
  - And different IPSec modes
  - Exploring adjacency or iteration
- Many possible combinations according to the security and flexibility requirements



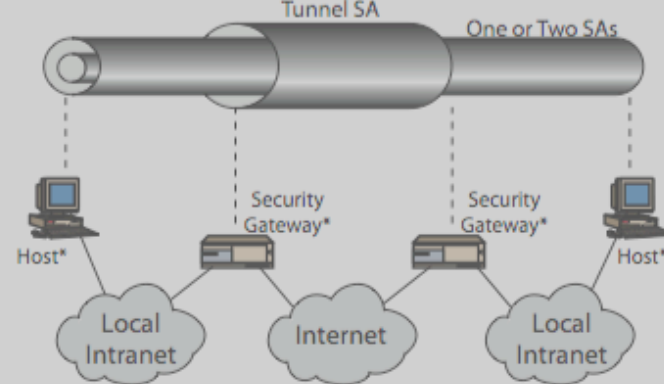
# SA combinations and Bundles

## (1) 2-transport SABs

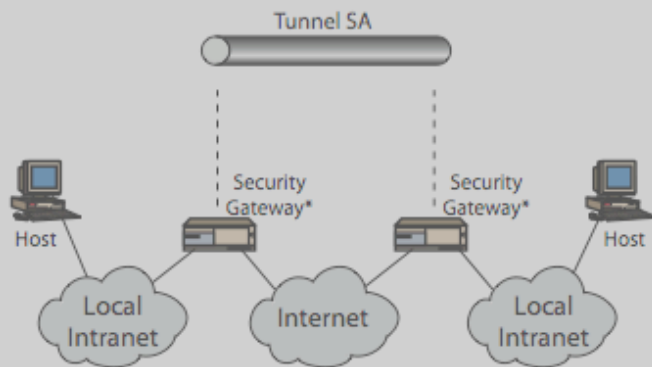


(a) Case 1

## (3) 2-transport SABs and 1-tunnel SAB End-to-End security added to (2)



(c) Case 3




(b) Case 2

## (2) 1-tunnel SAB: ex of single tunneled VPN solution

## (4) 1-2 Transport SABs and 1 Tunnel SA: A secure Remote Access

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# IPSec Cryptographic Suites

- IPSec uses a variety of cryptographic algorithm types
  - RFC4308 defines VPN cryptographic suites
    - VPN-A matches common corporate VPN security using 3DES & HMAC
    - **VPN-B has stronger security for new VPNs implementing IPsecv3 and IKEv2 using AES**
  - RFC4869 updated to RFC 6379 defines four cryptographic suites compatible with US NSA specs
    - Provide choices for ESP & IKE
    - AES-GCM, AES-CBC, HMAC-SHA, ECP, ECDSA
- ... Ongoing / Evolving standardization (IETF): IPSec WG

# IPSec cryptosuite (summary)

As defined for VPNs  
(RFC 4308)

IPSec w/ IKE v1    IPSec w/ IKE v2,v3

|                | VPN-A         | VPN-B                 |
|----------------|---------------|-----------------------|
| ESP encryption | 3DES-CBC      | AES-CBC (128-bit key) |
| ESP integrity  | HMAC-SHA1-96  | AES-XCBC-MAC-96       |
| IKE encryption | 3DES-CBC      | AES-CBC (128-bit key) |
| IKE PRF        | HMAC-SHA1     | AES-XCBC-PRF-128      |
| IKE Integrity  | HMAC-SHA1-96  | AES-XCBC-MAC-96       |
| IKE DH group   | 1024-bit MODP | 2048-bit MODP         |

As defined for VPNs  
NSA suite (RFC 6379)

IPSec w/ NSA Security Level Suite B

|                              | GCM-128               | GCM-256               | GMAC-128               | GMAC-256               |
|------------------------------|-----------------------|-----------------------|------------------------|------------------------|
| ESP encryption/<br>Integrity | AES-GCM (128-bit key) | AES-GCM (256-bit key) | Null                   | Null                   |
| ESP integrity                | Null                  | Null                  | AES-GMAC (128-bit key) | AES-GMAC (256-bit key) |
| IKE encryption               | AES-CBC (128-bit key) | AES-CBC (256-bit key) | AES-CBC (128-bit key)  | AES-CBC (256-bit key)  |
| IKE PRF                      | HMAC-SHA-256          | HMAC-SHA-384          | HMAC-SHA-256           | HMAC-SHA-384           |
| IKE Integrity                | HMAC-SHA-256-128      | HMAC-SHA-384-192      | HMAC-SHA-256-128       | HMAC-SHA-384-192       |
| IKE DH group                 | 256-bit random ECP    | 384-bit random ECP    | 256-bit random ECP     | 384-bit random ECP     |
| IKE authentication           | ECDSA-256             | ECDSA-384             | ECDSA-256              | ECDSA-384              |

# IPSec, ECC and more recent developments

- [RFC 8031 \(was draft-ietf-ipsecme-safecurves\)](#)
  - **Curve25519 and Curve448** for the Internet Key Exchange Protocol Version 2 (IKEv2) Key Agreement
- **Curve25519: public Keys w/ 256 bits**
  - Curve25519 is intended for the ~128-bit security level, comparable to the 256-bit random ECP Groups (group 19) defined in RFC 5903, also known as NIST P-256 or secp256r1. Curve448 is intended for the ~224-bit security level.
- **Curve448: public keys w/ 448 bits**

Curve25519 and Curve448 are designed to facilitate the production of high-performance constant-time implementations. Implementers are encouraged to use a constant-time implementation of the functions. This point is of crucial importance, especially if the implementation chooses to reuse its ephemeral key pair in many key exchanges for performance reasons.

# IPSec Cryptosuites (Some Improvements)

[RFC 8031 \(was draft-ietf-ipsecme-safecurves\)](#)

- **Curve25519 and Curve448 for the Internet Key Exchange Protocol Version 2 (IKEv2) Key Agreement**

[RFC 8019 \(was draft-ietf-ipsecme-ddos-protection\)](#)

- **Protecting Internet Key Exchange Protocol Version 2 (IKEv2) Implementations from Distributed Denial-of-Service Attacks**

[RFC 7619 \(was draft-ietf-ipsecme-ikev2-null-auth\)](#)

- **The NULL Authentication Method in the Internet Key Exchange Protocol Version 2 (IKEv2)**

[RFC 7427 \(was draft-kivinen-ipsecme-signature-auth\)](#)

- **Signature Authentication in the Internet Key Exchange Version 2 (IKEv2)**

[RFC 7321 \(was draft-ietf-ipsecme-esp-ah-reqts\)](#)

- **Cryptographic Algorithm Implementation Requirements and Usage Guidance for Encapsulating Security Payload (ESP) and Authentication Header (AH)**

[RFC 6989 \(was draft-ietf-ipsecme-dh-checks\)](#)

- **Additional Diffie-Hellman Tests for the Internet Key Exchange Protocol Version 2 (IKEv2)**

# Cryptosuite updates: RFCs 4308 to 7321

- ESP Authenticated Encryption (Combined Mode Algorithms)
  - **SHOULD+** AES-GCM with a 16 octet ICV [RFC4106]
  - MAY AES-CCM [RFC4309]
- ESP Encryption Algorithms
  - **MUST** NULL [RFC2410]
  - **MUST** AES-CBC [RFC3602]
  - MAY AES-CTR [RFC3686]
  - MAY TripleDES-CBC [RFC2451]
  - **MUST NOT** DES-CBC [RFC2405]

# Cryptosuite updates: RFCs 4308 to 7321

- ESP Authentication
  - **MUST** HMAC-SHA1-96 [RFC2404]
  - **SHOULD+** AES-GMAC with AES-128 [RFC4543]
  - **SHOULD** AES-XCBC-MAC-96 [RFC3566]
  - **MAY** NULL [RFC4303]



# Authentication for IKE v2 (RFC 7427)

- Hash Algorithm
  - SHA1
  - SHA2-256
  - SHA2-384
  - SHA2-512
- Digital Signatures:
  - PKCS#1 1.5 RSA
  - SHA1, SHA2-256, SHA2-384, SHA2-512 WithRSAEncryption
  - DSA with SHA1 and SHA2-256
  - ECDSA with SHA1, SHA2-256, SHA2-384, SHA2-512
  - RSASSA-PSS
  - RSASSA-PSS and SHA-256
- Keysizes: Standardization conservative: in general the statement is the recommendation to be aware of “transitions” in keysizes, according to PKI management recommendations (currently transition > 2048 bits)

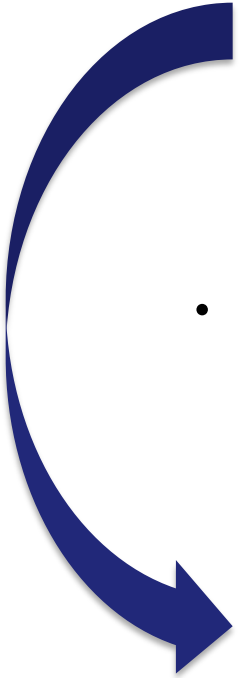
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# IPSec Key Management

- Handles key generation & distribution
  - SA establishment process
- Typically need 2 pairs of keys
  - 2 per direction for AH (1 key) and ESP (1 key)
- **Manual key management**
  - Sysadmin manually configures every system
    - Setup (different admin facilities in different systems) for SAs establishment and SP Enforcements
- **Automated key management**
  - Dynamic (on-demand) establishment of SAs and SPs

# History of IKE

- 
- Early contenders (in the IKE standardization origin):
    - Photuris: Authenticated DH with cookies & Identity Hiding
    - SKIP: Auth. DH with long-term exponents
  - **ISAKMP:**
    - A protocol specifying only payload formats & exchanges (i.e., an empty protocol)
    - Adopted by the IPsec working group
  - **Photuris and Oakley: a Modified Photuris;**
    - Designed to work on ISAKMP
  - **IKE: A particular (evolved) Oakley/ISAKMP combination**
  - **Evolution: from IKE v.1 to IKE v2.0**

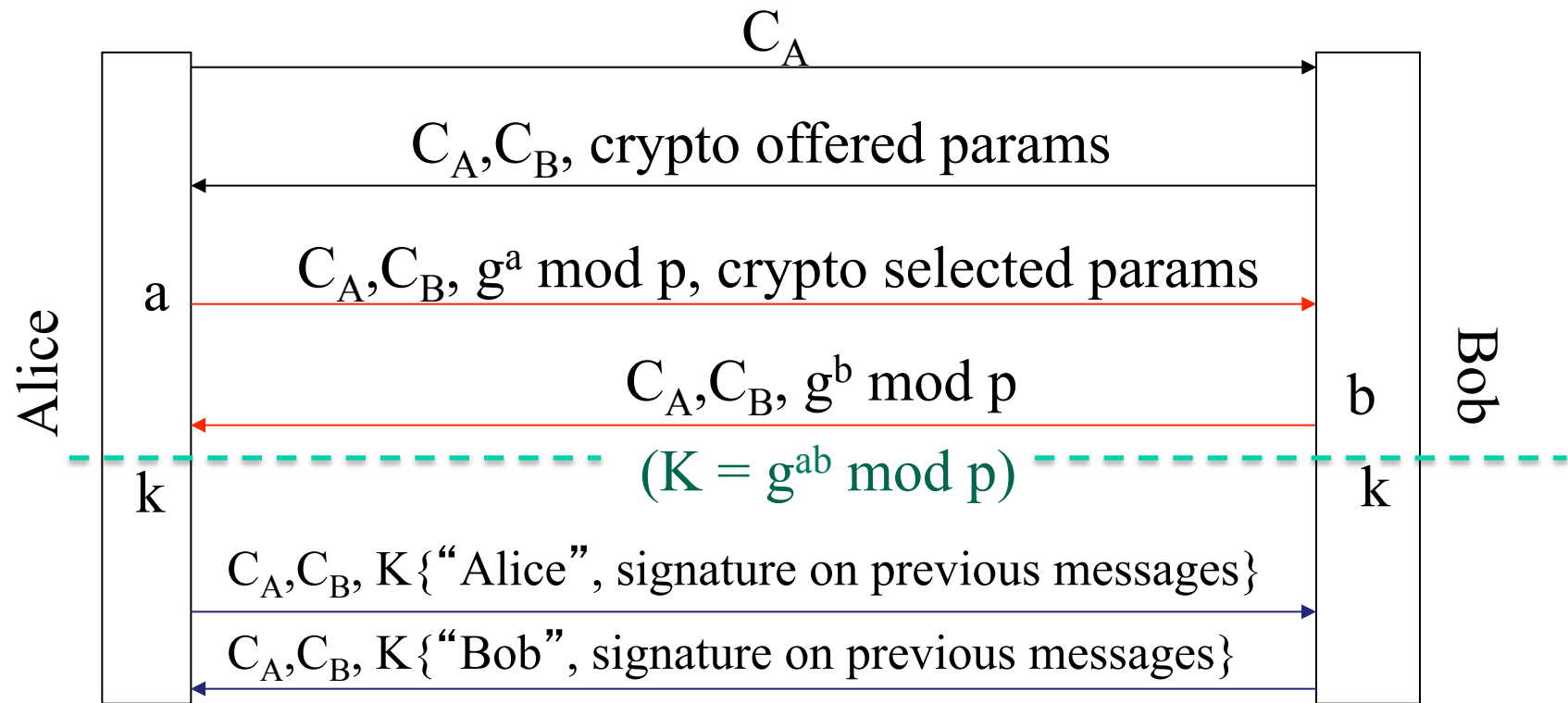
# Supplementary Materials

- IKEv1 and IKEv1/ISAMP
- Phitouris and Oakley Schemes

# Oakley Key Exchange Protocol

- Based on Diffie-Hellman key exchange
- Adds features to address weaknesses
  - No info on parties, man-in-middle attack, cost
  - Adds cookies, groups (global params), nonces, and DH key exchange with authentication
- Can use ECC (defined curves) for ECDSA agreements

# Photuris Model based on DH Key establishment



$C_A$ : Alice's cookie; for connection ID

$C_B$ : Bob's cookie; against DoS



Signed Agreement: ex., ECCDSA



Fast Authentication w/ HMACs

# Photuris - Features

- DoS protection by cookies  
(note:  $C_B$  can be stateless)
- Authentication & integrity protection of the messages  
by a combined signature at the last rounds
- Identity hiding from passive attackers (How?)

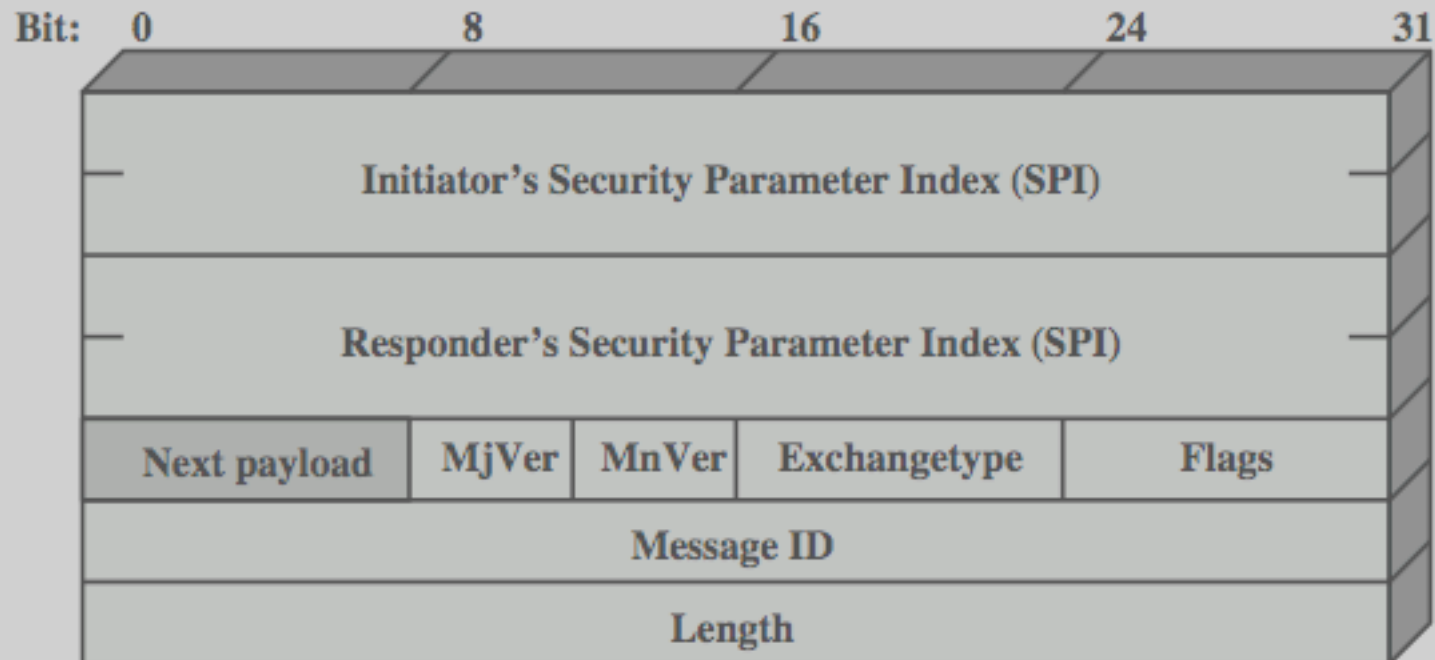


# ISAKMP

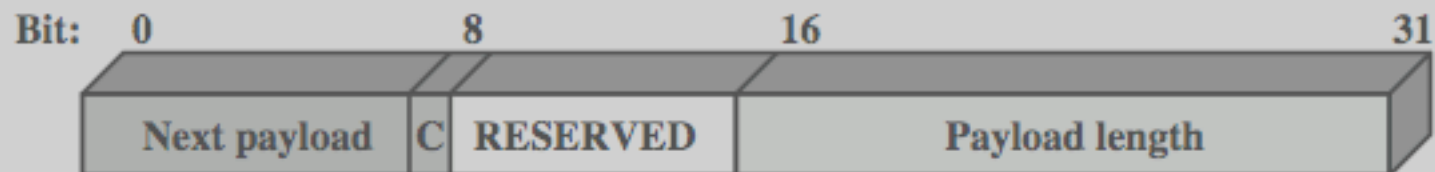
## Internet Security Association and Key Management Protocol

- Provides framework for key management
- Defines procedures and packet formats to establish, negotiate, modify, & delete SAs
- Independent of key exchange protocol, encryption alg, & authentication method
- **Used by IKEv1 (IKE v1/ISAKMP)**
- **IKEv2 no longer uses Oakley & ISAKMP terms ... introduced simplifications and improvements ... but basic functionality is same**

# ISAKMP message formats



(a) IKE Header



(b) Generic Payload Header

# IKE(v1) /ISAKMP

- IKE v1 is now under a smooth deprecation process...

# IKE(v1) /ISAKMP : Two Phases

## Phase 1:

- does authenticated DH, establishes session key & “ISAKMP SA”
- two possible modes: Main & Aggressive
- two keys are derived from the session key:  
SKEYID\_e: to encrypt Phase 2 messages  
SKEYID\_a: to authenticate Phase 2 messages

## Phase 2:

- IPsec SA & session key established; messages encrypted & authenticated with Phase 1 keys
- Additional DH exchange is optional (for PFS)

# IKE v.1: Phase 1 Exchange

Two possible modes:

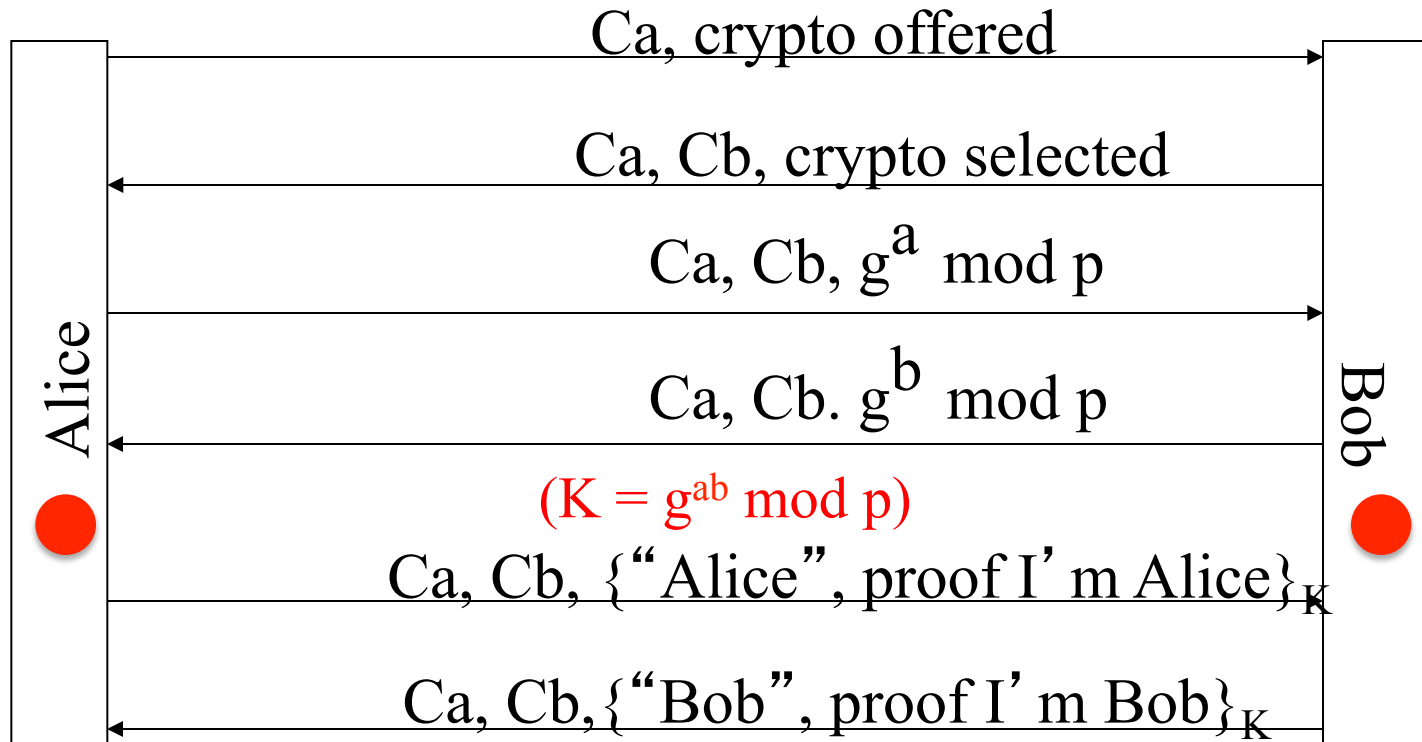
- Main mode: 6 rounds; provides identity hiding
- Aggressive mode: 3 rounds

Types of authentication:

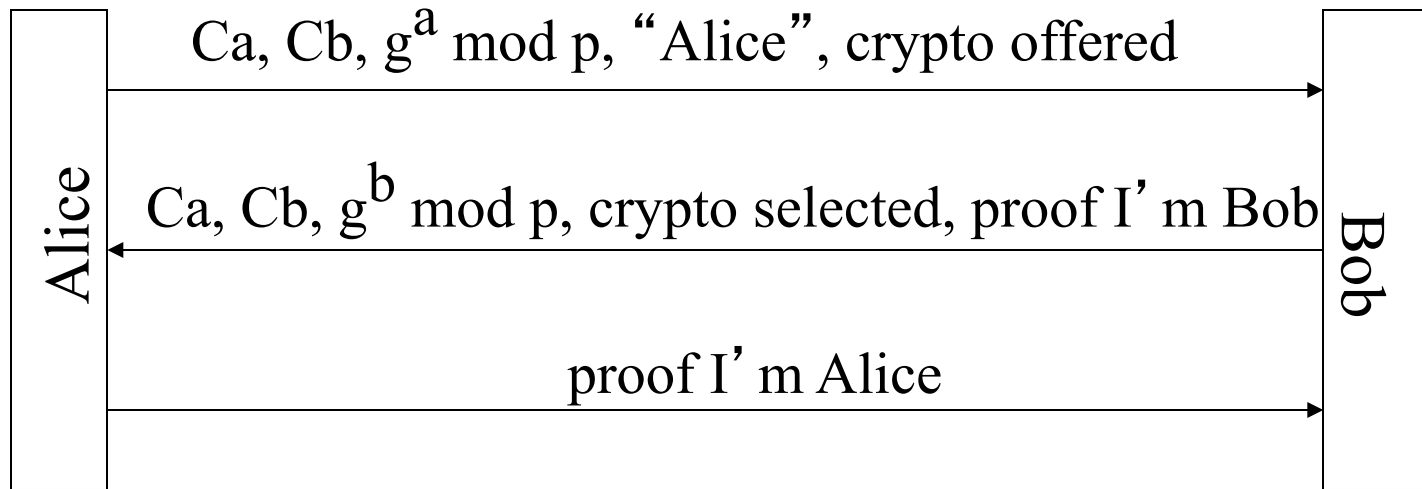
- MAC with pre-shared secret key
- digital signatures
- public key encryption
  - original: all public key encryption
  - revised: public + secret key encryption

(Each type has its benefits; but is it worth the complexity?)

# IKE v.1: Phase 1 – Main Mode (generic)



# IKE v.1 Phase 1 - Aggressive Mode (generic)



# IKE v.1 : Phase 1 Issues & Problems

## **Crypto parameters:**

Alice presents all algorithm combinations she can support  
(may be too many combinations)

## **Authentication:**

- Certain fields (why not all?! ) of the protocol messages are hashed & signed/encrypted in the final rounds
- Not included: Bob's accepted parameters (problematic)

## **Cookies & Statelessness:**

- Cookie protection: similar to "Photuris cookies"
- Bob is no longer stateless (problematic) since "crypto offered" must be remembered from message 1.



# IKE v.1: Phase 1 Issues (cont)

## Session Keys:

- **2 session keys** (1 for enc. & 1 for auth.) are generated (from the initial established K).
- **So, there are 4 keys; 2 for each direction**

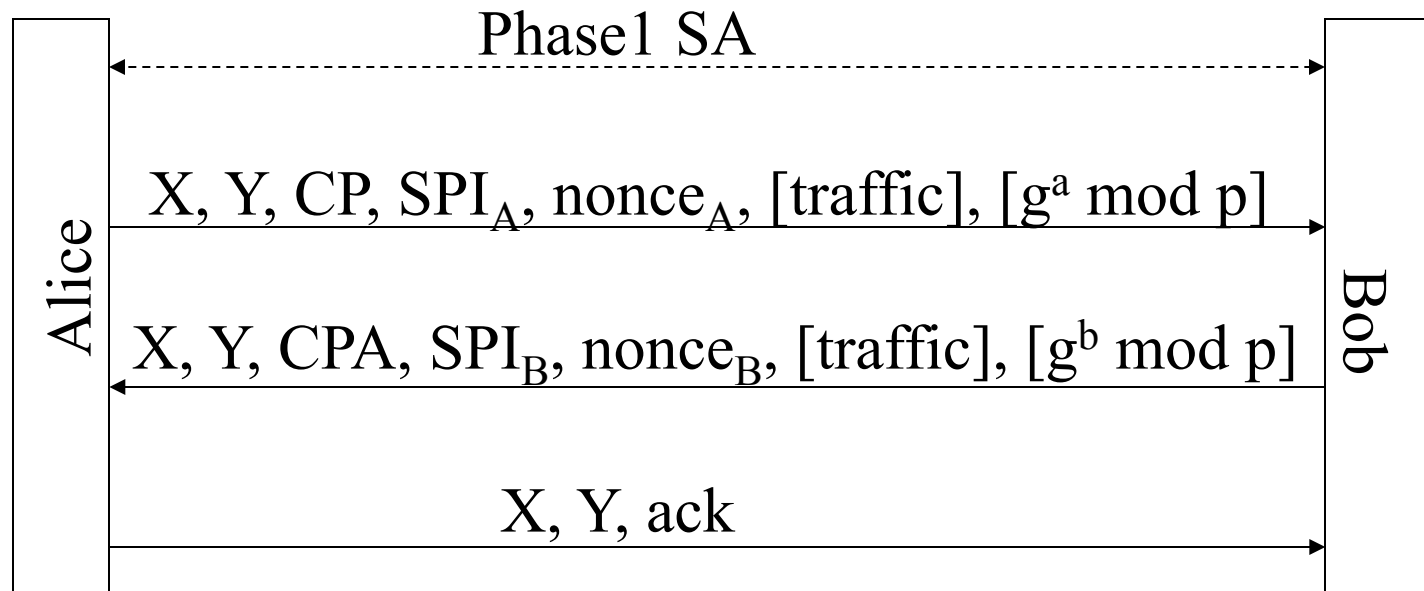
## Complexity:

- 8 different protocols are defined
  - 2 modes
  - Each with 4 types of authentication methods
- **Regarded as unnecessarily flexible, lack of relevant issues and complex**

# IKE v.1: Phase 2 Exchange

- Establishes IPsec SA & session key
- Runs over the IKE SA established in Phase 1. (messages are encrypted/authenticated with Phase 1 keys)
- Key generation: based on Phase 1 key, SPI, nonces.
- DH exchange: Optional (for PFS).
- IPsec Traffic Selector: Established optionally. Specifies what traffic is acceptable. (e.g., What port numbers are allowed to use this SA.)

# IKE v.1 : Phase 2



- $X$ : pair of cookies generated in Phase 1
- $Y$ : session identifier
- $traffic$ : IPsec traffic selector (optional)


# IKEv2 Protocol

## Aims of

- Simplifying IKEv1
- Fixing some bugs (vulnerabilities)
- Fixing ambiguities
- While remaining as close to IKEv1 as possible. (... “no gratuitous changes”)

# IKEv2 History ... (IETF standardization roadmap)

From 1998 (First IKE)  
RFC 2409

- 
- 5/2005 RFC 4109, IKE v1
  - 12/2005 RFC 4306, IKE v2 (1<sup>st</sup> version)
  - 08/2008 RFC 5282, IKE v2 : Auth. Enc. Algorithms w/ Encrypted Payload  
Basically: AES w/ GCM and AES w/CCM
  - 09/2010 RFC 5996, IKE v2 (bis, revision of 1<sup>st</sup> version)  
RFC 5998, IKE v2 (bis): update for EAP-Only Authent.  
Flexibility/resuse of EAP Auth. Methods  
and configurable options
  - 07/2013 RFC 6989, IKE v2 (bis) w/ Additional D-H Tests  
(DH Imp. Validations, ECCDSA Sign.)
  - 10/2014 RFC 7296, IKE v2 (bis, obsolets 5996)

# IKEv2 History ... (IETF standardization roadmap)

10/2014

RFC 7296, IKE v2 (bis, obsoletes 5996)

- ECCDSA - DH Param. Redefinitions, and integration of EAP
- Update for ambiguity issues on verifications, error handling...
- Optimizing latency: 2 round trips (4 messages)
- Rekeying schemes w/ 1 round trips (2 messages)

→ HDR, SAI1, KEi, Ni


← HDR, SAr1, KEr, Nr, [CERTREQ]

→ HDR, SK {IDi, [CERT,] [CERTREQ,] [IDr,] AUTH, SAI2, TSi, TSr}

← HDR, SK {IDr, [CERT,] AUTH, SAr2, TSi, TSr}



# IKEv2 History ... (IETF standardization roadmap)

- 
- 10/2014 RFC 7296, IKE v2 (bis, obsolets 5996)  
-)
- 01/2015 RFC 7427, IKE v2 (update of 7296)  
Signature Authentication and clarifications  
sha1 and sha2 (256,384,512) w/RSA and PKCS#1  
DSA w/ sha1 and sha256  
ECDSA w/ sha1, sha256, sha384 and sha512  
RSASSA-PSS
- 01/2016 RFC 7670 (updates 7296)  
Use of other RAW PK types  
(not only DER encodings, as PKCS#1)  
due to the need of interpretation of the  
SubjectPublicKeyInfo in X509v3 (RFC 5280)  
- New Certificate encoding formats  
- Ambiguity/Lack of support: IDs vs. related Public keys

# IKEV2 Exchanges

- Different exchanges are defined for flexibility
  - Addressing security and performance tradeoffs
  - Interesting to automatic setup in different SAs, different iterated or adjacent combinations and different modes for each specific purposes



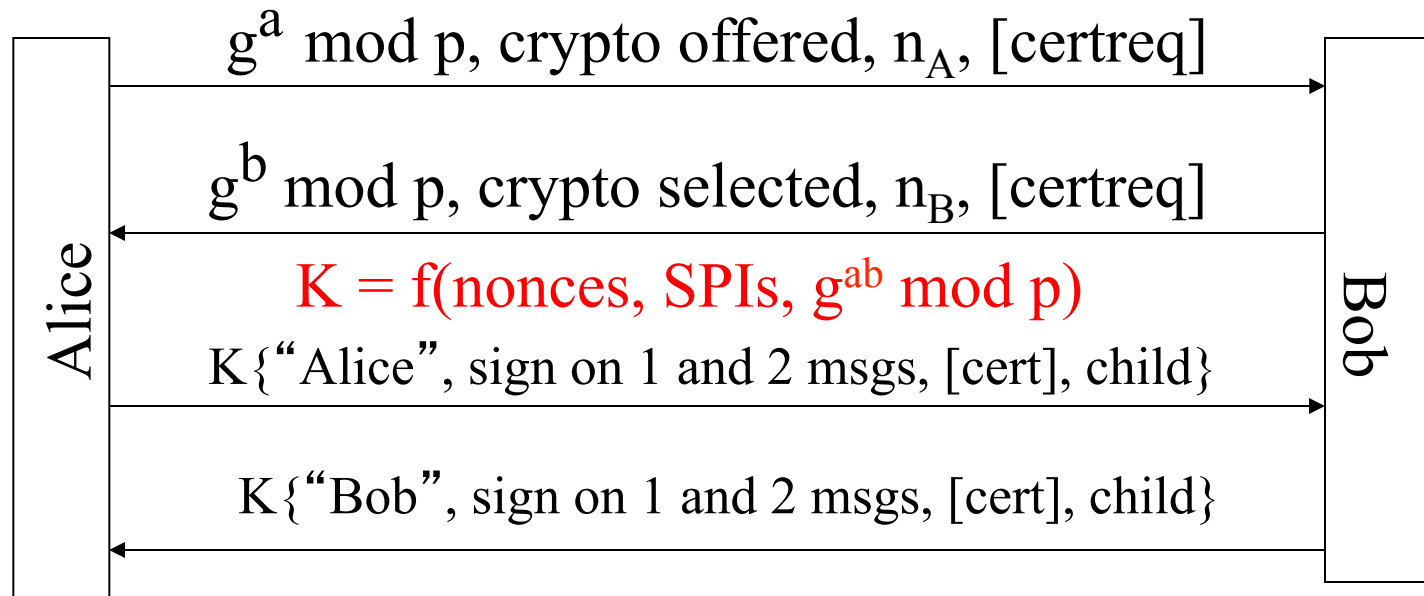
# IKEv2 - Main Features

- Only one mode of authentication: Public key signatures based on X509 Certificates
- Three possible runs
  - **Initial:** IKE SA + IPsec SA are established in the same protocol, in 4 messages. (~ Phase 1)
  - **Child-SA-Exchanges:** Additional child SAs, if needed, are established in 2 messages. (~ Phase 2)
  - **Informational Exchanges**
- DoS protection optional, via cookies (stateless).
- Crypto negotiation is simplified
  - Support for well defined / standardized “cryptosuites”
  - Ability to say “any of these enc., with any of these hash...”

# IKEv2 - The Exchange Protocol (cont)

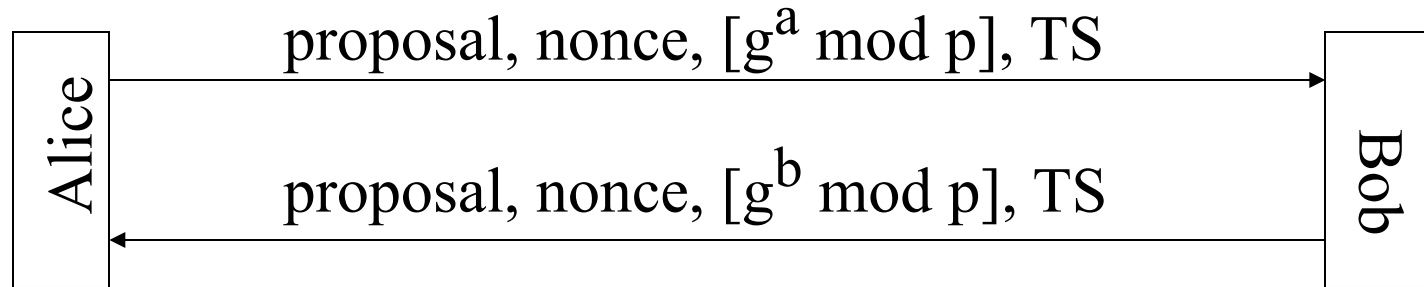
- DoS protection: Optional; by Bob responding the first message with a (stateless) cookie.
- Originally, designed with 3 rounds. Later 4 rounds is agreed on:
  - Initiator needs a 4<sup>th</sup> message anyway to know when to start the transmission.
  - Extra msgs for cookie exchange can be incorporated into 4 msgs, if Alice repeats msg.1 info in msg.3
- Preserves identity hiding from passive attackers.

# IKEv2 - The base exchange protocol



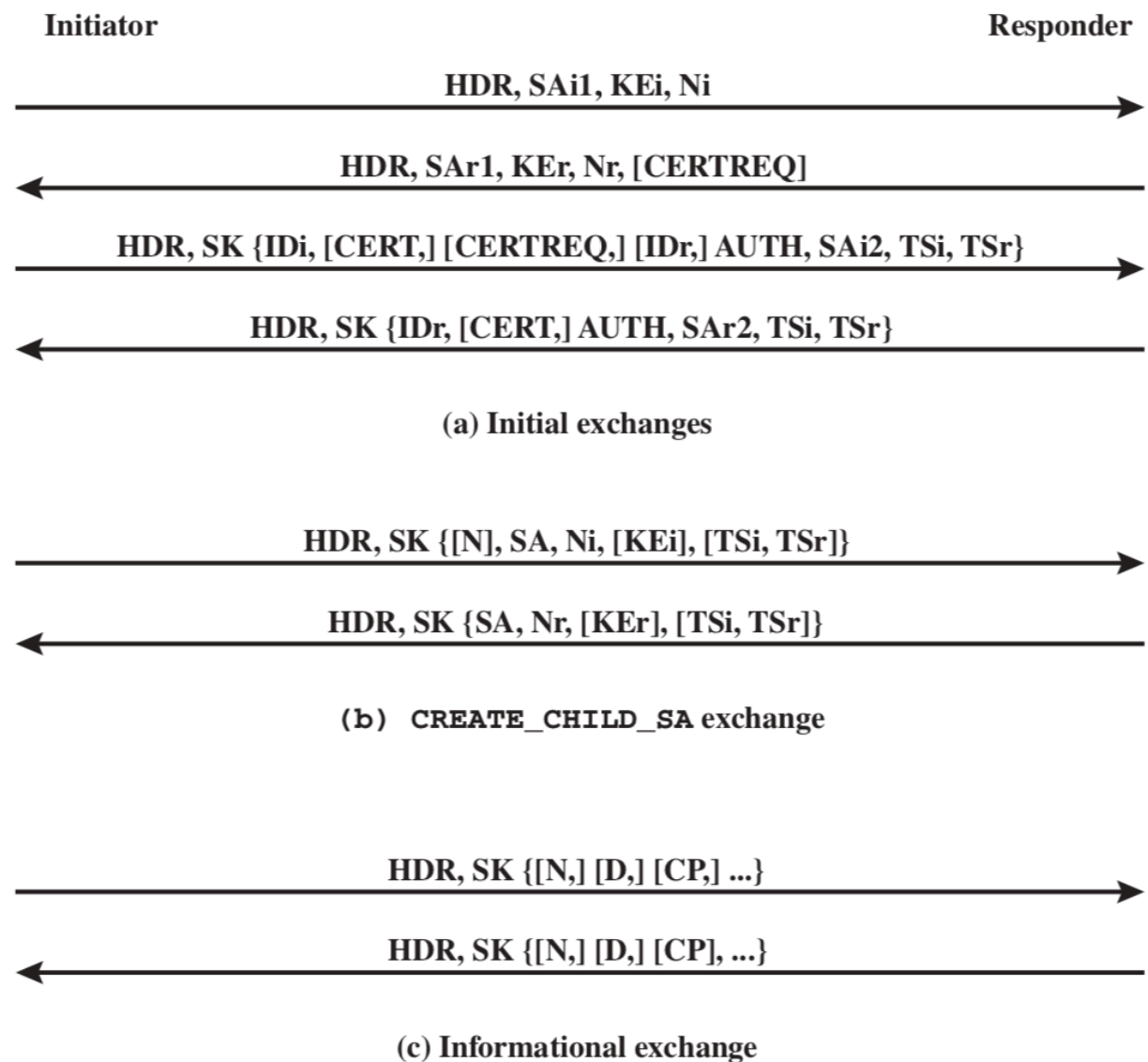
- Bob can optionally refuse the first message and require return of a cookie.
- Adds extra 2 messages.

# IKEv2 - Child SA Creation



- Proposal: crypto suites, SPI, protocol (ESP, AH, IP compression)
- TS: Traffic selector
- Derived keys: Function of IKE keying material, nonces of this exchange, plus optional DH output.

# IKEv2 complete exchange



HDR = IKE header

SAx1 = offered and chosen algorithms, DH group

KEx = Diffie-Hellman public key

Nx = nonces

CERTREQ = Certificate request

IDx = identity

CERT = certificate

SK {...} = MAC and encrypt

AUTH = Authentication

SAx2 = algorithms, parameters for IPsec SA

TSx = traffic selectors for IPsec SA

N = Notify

D = Delete

CP = Configuration

# Other IKEv2 Features

## Reliability:

- All messages are request/response.
- Initiator is responsible for retransmission if it doesn't receive a response.

## Traffic selector negotiation:

- In IKEv1: Responder can just say yes/no.
- In IKEv2: Negotiation ability added.

## Rekeying:

- Either side can rekey at any time.
- Rekeyed IKE-SA inherits all the child-SAs.

# Revision: Suggested Readings and Study

## Readings:



W. Stallings, Network Security Essentials - Applications and Standards

- Ed.. 2011 Chap 8 IP Security, 9.1 to 9.6, pp. 302 to 335
- Ed.. 2017 Chap 9 IP Security, 9.1 to 9.6, pp. 302 to 335